

1

2

**Aquatic Construction and Maintenance Effects**

---

3

## Aquatic Construction and Maintenance Effects

### 5.H.0 Executive Summary

Construction and maintenance activities associated with several conservation measures included in the Bay Delta Conservation Plan (BDCP) covered activities have the potential to cause adverse effects on covered fish species. The specific conservation measures and the types of effects they may have on covered fish species are listed in Table 5.H.0-1. Analysis of these potential effects was conducted based on engineering data developed to date, assumptions made based on monitoring data for similar projects, and assumptions made about restoration design. All of these effects are temporary and localized to the area of construction or maintenance, and none are expected to result in any substantial adverse effects on the covered fish species (Table 5.H.0-2). The following section summarizes conclusions of construction and maintenance effects.

**Table 5.H.0-1. Conservation Measures that May Result in Construction- and Maintenance-Related Effects**

CM	Title/Description	Construction and Maintenance Elements (Aquatic Only)
1	Water Facilities and Operation	<ul style="list-style-type: none"> <li>• Clearing and grubbing/demolition on the riverbank at each of the three intake locations</li> <li>• Detour and levee reinforcement on the riverbank at each of the three intake locations</li> <li>• Setback levee on the riverbank at each of the three intake locations</li> <li>• Installation of sheet-pile wall cofferdams at the three intake locations on the riverbank and in the river channel</li> <li>• Dewatering of completed cofferdams, if possible</li> <li>• Excavation and dredging at each of the three intake locations on the riverbank and in the river channel after the cofferdam is constructed</li> <li>• Installation of foundation piles for each of the three intakes inside completed cofferdams</li> <li>• Armor and restoration of shorelines at each of the three intake locations after the cofferdam is constructed</li> <li>• Clearing and grubbing at the six barge landings (most likely limited to any riparian areas in the path of equipment used to construct the landings, and access for equipment, onloading and offloading supplies from the landings), pile driving, construction of the dock on top of the piles, and ultimately dismantling of the dock and cutting off the piles</li> <li>• Construction of the north Delta intakes is expected to begin about 2 years after BDCP authorization and continue for 9–10 years</li> </ul>
2	Yolo Bypass Fisheries Enhancement	<ul style="list-style-type: none"> <li>• Physical modifications to Fremont Weir and Yolo Bypass (e.g., new/modified fish ladders, new gated seasonal floodplain channel)</li> <li>• Fish screens at Yolo diversions</li> <li>• New/replaced Tule Canal and toe drain impoundment structures and agricultural crossings</li> <li>• Lisbon Weir improvements (e.g., fish gate)</li> <li>• Lower and upper Putah Creek improvements (e.g., realignments)</li> <li>• Fish barriers at Knights Landing Ridge Cut and Colusa Basin Drain</li> <li>• Physical and nonphysical barriers in Sacramento River (e.g., bubble curtains or log booms)</li> </ul>

CM	Title/Description	Construction and Maintenance Elements (Aquatic Only)
3	Natural Communities Protection and Restoration	<ul style="list-style-type: none"> <li>• Levee improvements</li> <li>• Removal of berms, levees, etc., and construction of berms, levees, reworking of agricultural, delivery channels, etc.</li> <li>• Sacramento Weir Improvements (could include a channel from Sacramento River to Sacramento Weir and from Sacramento Weir to Toe Drain)</li> <li>• The above modifications will be initiated by year 11 and operational by year 13</li> </ul>
4	Tidal Natural Communities Restoration	<ul style="list-style-type: none"> <li>• Restore natural remnant meander tidal channels</li> <li>• Excavate channels to allow establishment of sinuous, high-density, dendritic network</li> <li>• Modify ditches, cuts, and levees to encourage more natural tidal circulation</li> <li>• Recontour surface elevations to maximize tidal marsh creation prior to levee breaching</li> <li>• Cultivate stands of tules through flood irrigation prior to levee breaching</li> <li>• Restoration of the first 4,000 acres, immediately after BDCP authorization (65,000 acres total)</li> </ul>
5	Seasonally Inundated Floodplain Restoration	<ul style="list-style-type: none"> <li>• Set back, remove, and/or breach levees</li> <li>• Remove riprap and bank protection between setback levees</li> <li>• Modify channels</li> <li>• Create floodway bypasses</li> <li>• At least 1,000 acres restored by year 15 years, and increments of 1,800 acres for each 5-year time period until year 40 (10,000 acres total)</li> </ul>
6	Channel Margin Enhancement	<ul style="list-style-type: none"> <li>• Remove riprap from channel margins</li> <li>• Modify or set back levees</li> <li>• Install large woody material in levees</li> <li>• About 5 miles of channel margin enhancement by each of years 10, 20, 25, and 30 (20 miles total)</li> </ul>
7	Riparian Natural Community Restoration	<ul style="list-style-type: none"> <li>• Remove riprap</li> <li>• Modify levees and/or channel modification, including possible bench construction</li> <li>• Install riparian plantings</li> <li>• Riparian restoration will be a component of CM4, CM5, CM6 projects</li> </ul>
9	Vernal Pool and Alkali Seasonal Wetland Complex Restoration	<ul style="list-style-type: none"> <li>• Excavate or recontour historical vernal pools; because vernal pools typically have no outlets to receiving waters used by covered fish, this conservation measure will not result in any effects on covered fish</li> <li>• Most restoration actions likely implemented by year 15</li> </ul>
10	Nontidal Marsh Restoration	<ul style="list-style-type: none"> <li>• Establish connectivity with existing water conveyance system</li> <li>• Grade to create wetland topography</li> <li>• Completed by year 10</li> </ul>
12	Methylmercury Management	<ul style="list-style-type: none"> <li>• Provide site-specific characterization and monitoring to mitigate methylmercury production during construction and operations</li> <li>• This conservation measure does not result in construction; therefore, will not result in any construction effects on covered fish; however, methylmercury and this conservation measure are discussed in the context of potentially disturbing sediment containing methylmercury during construction</li> </ul>
14	Stockton Deep Water Ship Channel Dissolved Oxygen Levels	<ul style="list-style-type: none"> <li>• Possible construction of additional aeration facilities</li> <li>• Provide funding for the continued long-term operation and maintenance of an aeration facility by year 1</li> </ul>

CM	Title/Description	Construction and Maintenance Elements (Aquatic Only)
15	Localized Reduction of Predatory Fishes	<ul style="list-style-type: none"> <li>• Removal of unused predator-housing structures (e.g., old piers, abandoned boats)</li> <li>• Predator reduction efforts will begin by year 3 and continue throughout the BDCP term</li> </ul>
16	Nonphysical Fish Barriers	<ul style="list-style-type: none"> <li>• Install nonphysical fish barriers (e.g., sounds, light, bubbles)</li> <li>• Delta Cross Channel and Georgiana Slough barriers expected by year 4</li> </ul>
18	Conservation Hatcheries	<ul style="list-style-type: none"> <li>• Possible bank and channel construction</li> <li>• Hatcheries expanded or constructed by years 4 and 7, respectively</li> </ul>
19	Urban Stormwater Treatment	<ul style="list-style-type: none"> <li>• Establish vegetative buffer strips</li> <li>• Construct bioretention systems</li> <li>• Program operational by about year 3</li> </ul>
21	Nonproject Diversions	<ul style="list-style-type: none"> <li>• Removal/relocation of unscreened diversions</li> <li>• Consolidation of existing smaller unscreened diversions into one larger screened diversion</li> <li>• Program operational by about year 3, with individual actions requiring 4 to 8 years each to design, permit, and construct</li> </ul>
22	Avoidance and Minimization Measures	<ul style="list-style-type: none"> <li>• This conservation measure is intended to minimize and avoid effects related to the other conservation measures and will not result in any additional effects</li> </ul>

CM = Conservation Measure.

1

2 **5.H.0.1 CM1 Water Facilities and Operation**

3 **Construction of the new intake facilities will result in localized, temporary increases in turbidity**  
 4 **and associated suspended sediments that may contain contaminants, but those increases will be**  
 5 **minimized through standard monitoring and sediment control measures. Additionally, as with any**  
 6 **construction activities, there is potential for accidental spills of fuels and lubricants. All of these**  
 7 **effects will be monitored and controlled during construction by BDCP minimization measures and**  
 8 **permit requirements.**

9 Cofferdam installation at the intakes and pile driving at the barge landings will disturb bottom  
 10 sediments and could result in temporary turbidity levels that could affect covered fish species. In-  
 11 water construction activities that could generate increased turbidity are not continuous. Sheet pile  
 12 driving for the cofferdams typically will occur during an approximately 8-hour period each day for  
 13 about 5 months (during the in-water work window of June through October). In-water work  
 14 associated with constructing the barge landings could take several weeks but also will be confined  
 15 to a typical 8-hour work day.

16 Of the urban-related toxic constituents identified in Appendix 5.D, *Contaminants*, metals (lead and  
 17 copper), hydrocarbons, organochlorine pesticides, and polychlorinated biphenyls (PCBs) are  
 18 common urban contaminants with the greatest affinity for sediments and potentially could be  
 19 present in sediments that will be disturbed during installation of the cofferdams. In addition,  
 20 mercury is present in the Sacramento River and could be sequestered in bottom sediments. The  
 21 barge landings will be constructed on smaller waterways and are more likely to have agriculture-  
 22 related toxins, including copper and organochlorine pesticides.

23 Sediment disturbance caused by in-water construction may cause localized and temporary turbidity  
 24 and the suspension of potentially contaminated sediments. These effects will be minimized by

1 installing in-river cofferdams to isolate most other subsequent construction activities from the  
2 water. In addition, the implementation of the measures described in Appendix 3.C, *Avoidance and*  
3 *Minimization Measures*, such as: Avoidance and Minimization Measure (AMM) 3 *Stormwater*  
4 *Pollution Prevention Plan (SWPPP)*, *AMM4 Erosion and Sediment Control Plan*, *AMM7 Barge*  
5 *Operations Plan*, and *AMM2 Construction Best Management Practices and Monitoring* will minimize  
6 the potential for turbidity and sediment resuspension in surface waters.

7 The measures described in *CM22 Avoidance and Minimization Measures* were developed to ensure  
8 compliance with expected requirements of local permits, clearances, and National Pollutant  
9 Discharge Elimination System (NPDES) permits or other waste discharge requirements (WDRs)  
10 from the Regional Water Quality Control Board (Regional Water Board). *CM22 Avoidance and*  
11 *Minimization Measures* also includes implementation of appropriate best management practices  
12 (BMPs) to protect water resources from contamination.

13 Additionally, as with any construction activity, accidental spills may occur, but implementation of  
14 *CM22 Avoidance and Minimization Measures* will reduce the potential for introduction of  
15 contaminants to surface waters and provide for effective containment and cleanup should accidental  
16 spills occur. These commitments include: *AMM5 Spill Prevention, Containment, and Countermeasure*  
17 *Plan*; *AMM1 Worker Awareness Training*; and *AMM2 Construction Best Management Practices and*  
18 *Monitoring*. In addition, the majority of the in-water construction work will be isolated from the  
19 river environment by installing cofferdams around the intake sites.

20 Construction at the intake and barge landing sites requires in-water pile driving. If piles are driven  
21 with a vibratory driver, adverse effects on fish from underwater sound exposure will be avoided. If  
22 impact pile driving is needed, potentially injurious sound levels will be localized (up to 3,280 feet  
23 from the pile driving location), temporary (up to 30% of work days during the 5-month in-water  
24 construction period, from June through October), and intermittent (up to about 150 minutes spread  
25 over a period of 8 daylight work hours per day). The in-water work window is established so that  
26 potentially injurious activities are likely to occur when most covered fish species are absent or only  
27 present at low densities. However, certain covered fish species potentially could be present during  
28 the in-water work window and during pile driving activities. Thus, impact pile driving potentially  
29 could affect the covered species, including low numbers of migrating adults and rearing juvenile  
30 Chinook salmon, steelhead, sturgeon, and lamprey, as well as other noncovered fish species that  
31 serve as prey for these covered species.

32 To the extent possible, the cofferdams necessary for the intake construction will be installed using a  
33 vibratory pile driver, which is not likely to cause physical injury to covered fish species. However,  
34 the geologic conditions at each intake site determine the type of pile driver needed. Impact pile  
35 driving may be required if hard substrate is encountered, which generates underwater sound levels  
36 that exceed injury and harm thresholds for fish, as opposed to vibratory pile driving. At other  
37 locations along the Sacramento River (e.g., Freeport intake location north of the proposed north  
38 Delta intake sites), the geologic conditions permitted vibratory pile driving approximately 70% of  
39 the time, but hard substrate required impact pile driving approximately 30% of the time. Assuming  
40 a maximum installation of 12 piles per day and 700 hammer strikes per pile where an impact  
41 hammer is required, this equates to a maximum duration of impact hammer operation of  
42 approximately 150 minutes on any given work day at each intake location. Pile driving operations  
43 typically will be restricted to an 8-hour, daylight-only work period.

1 On those days when impact pile driving occurs, there could be periods of time when the underwater  
2 sound levels exceed injury and harm thresholds used by the National Marine Fisheries Service.  
3 Sound levels exceeding adverse effect thresholds will extend outward from the pile driving locations  
4 up to 3,280 feet, as bounded by channel configuration at each site (injurious sound levels are not  
5 transmitted around river bends).

6 Because of the timing of in-water construction (June through October), most covered species life  
7 stages are not present in the areas affected by elevated sound levels from pile driving activities. The  
8 habitat at the intake sites is of relatively poor condition, with steep riprap armored banks and  
9 limited in-water or overwater habitat features typically associated with rearing habitat. As a result,  
10 these river reaches are expected to be used primarily as migratory corridors. For most species with  
11 migratory life stages that have the potential to be present, only a small portion of the population is  
12 expected to be exposed to the increased underwater sound levels because these increases generally  
13 would occur at the end or beginning of peak migration periods. The upstream adult migration of  
14 several covered fish may coincide with these in-water pile driving activities, including green and  
15 white sturgeon, fall-run Chinook salmon, spring-run Chinook salmon, steelhead, and lamprey.  
16 Likewise, late juvenile outmigrating salmonids and delta smelt adults, eggs, and larvae may be  
17 present in June or July, and juvenile sturgeon and lamprey may be present throughout the typical  
18 June through October in-water work window. Adult and juvenile Chinook salmon, sturgeon, splittail,  
19 and lamprey may be able to move away from the area affected by the underwater sound. If pile  
20 driving occurs, the sound generated at each intake location would be intermittent over a period of  
21 8 hours each day. Effects on covered fish species are likely to be low to moderate, depending on the  
22 duration of exposure and the actual need for impact driving (vs. vibratory driving).

23 In addition to the in-water pile driving activities, pile driving will occur inside the completed  
24 cofferdams to construct the intakes. Because of the number of these piles, this work will need to  
25 occur throughout the year and will have the potential to affect covered species occurring in the area.  
26 However, working inside a dewatered cofferdam is expected to reduce the intensity of the sound  
27 levels transmitted to the water. While such reductions are highly variable, a conservative 10-decibel  
28 (dB) reduction estimate is assumed (Thorson and Reyff 2004; California Department of  
29 Transportation 2009, 2010; Illinworth & Rodkin, Inc. 2007). If a cofferdam cannot be effectively or  
30 completely dewatered, a bubble curtain (or other comparable device) will be used to obtain a  
31 similar 10 dB sound reduction. Achieving this level of sound reduction will maintain the peak sound  
32 pressure levels below the single-strike injury threshold. Therefore, potential effects on fish will be  
33 based on the cumulative sound exposure from multiple pile strikes. The 10 dB sound level  
34 reductions will also limit the range where the cumulative sound exposure thresholds may be  
35 exceeded, although this range is also affected by the number of pile strikes that a fish would be  
36 exposed to during any given day.

37 Assuming an additional attenuation rate of 4.5 dB per doubling of distance, the maximum distance  
38 within which the cumulative effects threshold criteria would likely be exceeded is about 136 meters  
39 (about 450 feet) from the impact pile driving locations. As this distance is shorter than the estimated  
40 width of the river at the three intake sites (between about 535 and 645 feet wide), potentially  
41 harmful cumulative underwater sound levels would not extend across the entire river. Therefore,  
42 some refuge areas would be present along the opposite shoreline, with the size of the refuge area  
43 dependent on the actual number of impact pile strikes occurring during a particular work day. The  
44 size of the refuge area will be larger if fewer strikes occur in a day, and smaller if more strikes occur.

1 While pile driving at the barge landing sites will occur during the approved in-water construction  
2 window, an attenuation device (e.g., isolation casing, bubble curtain) typically will be used to reduce  
3 the underwater sound levels. As described above, a 10 dB reduction is expected to be achievable  
4 with these devices. However, the water channels at these sites are less than 450 feet wide, resulting  
5 in little or no refuge areas for fish to avoid potential sound level effects, under the same pile driving  
6 conditions as described above.

7 Except for splittail and delta smelt, no spawning occurs in this area, so no egg or fry life stages of  
8 Chinook salmon or steelhead would be affected, and no egg or larvae life stages of sturgeon or  
9 lamprey would be affected. Few delta smelt or splittail adults would be expected in the vicinity of  
10 the intake construction because it is not their primary habitat area. Overall, there could be instances  
11 of take and/or disruption of behavior or migration during intake construction, but underwater noise  
12 thresholds would be exceeded when the fewest fish, and therefore the lowest potential for effects,  
13 would occur.

14 **Construction of the new intake facilities may result in a permanent impact of up to about 2.6 total**  
15 **miles of low-value channel margin habitat and up to 5.1 total acres of open-water area, likely used**  
16 **primarily as migratory habitat, although some limited rearing also may occur. These areas also**  
17 **may provide some limited spawning habitat for some species. Project construction may result in**  
18 **the temporary loss of up to 7.5 total acres of open-water habitat. These permanent and**  
19 **temporary impacts will occur in three roughly even patches on one side of the river, at each intake**  
20 **location. These temporary and permanent habitat impacts will be offset by the BDCP restoration**  
21 **efforts listed in Table 5.H.0-1.**

22 The affected habitat associated with the intake facilities currently is armored levee bank with  
23 limited riparian vegetation of generally low-value for species rearing. The armored banks prevent  
24 wood from accumulating and providing habitat complexity that is typical of unarmored banks.  
25 Although some vegetation grows along the banks at the intake sites, about 98% of the shoreline has  
26 less than 25% overhead cover (primarily from overhanging vegetation), and about 23% of the  
27 shoreline has less than 5% overhead cover. These low-overhead cover densities result in limited  
28 shade or organic input. There are no side channels, floodplain connections, or mechanisms for such  
29 off-channel habitat to develop in these areas. In addition, the slopes of the existing banks are  
30 typically steep at the intake locations. As discussed in Appendix 5.E, *Habitat Restoration*, the current  
31 conditions at the intake sites are representative of the habitats with the lowest use by juvenile  
32 salmon (steep bank, riprap, low density of large woody debris) and most other covered species.

33 At each intake, between 1.6 and 3.1 acres of river area will be located behind the cofferdam and  
34 temporarily or permanently lost. During the in-water construction period, a total of up to about  
35 19.6 acres of in-water habitat would be affected by construction and dredging activities. These  
36 effects are likely to result in the loss of low-value spawning, rearing, and migration habitat for  
37 covered fish species. Likewise, the footprint of each intake and transition wall structures would  
38 result in permanent loss of between about 1,560 and 2,400 feet of primarily steep-banked,  
39 riprapped habitat at each intake, totaling up to about 2.6 miles of shoreline and 5.1 acres of in-water  
40 habitat.

41 Habitat restoration completed under the BDCP conservation measures will occur at various times  
42 throughout the life of the project. *CM4 Tidal Natural Communities Restoration* will provide  
43 substantially more rearing and spawning habitat for delta smelt and Sacramento splittail by  
44 restoring 65,000 acres of tidal natural communities and transitional uplands to accommodate sea  
45 level rise. This restoration will be implemented incrementally, with the first 4,000 acres restored

1 immediately after BDCP authorization. Similarly, *CM6 Channel Margin Enhancement* also will be  
2 phased in over a number of years, with 5 miles of enhancement completed by year 10 and an  
3 additional 5 miles completed by each of years 20, 25, and 30, for a total of 20 miles of enhancement.  
4 Channel margin enhancement is intended to improve habitat function in the north Delta, along  
5 important fish migratory and rearing routes. *CM7 Riparian Natural Community Restoration* actions  
6 also will occur over time, with 2,300 acres restored by year 15 and 5,000 (cumulative) acres  
7 restored by year 40.

8 **Construction of the head of Old River operable gate includes placement of sheetpiles and riprap,**  
9 **which could directly injure covered fish species in the vicinity, and dredging activities, which could**  
10 **entrain and injure fish.**

11 Cofferdams, if used, would be installed to isolate gate construction areas from the channel. Although  
12 vibratory pile driving would be the primary method for installing the cofferdams, some impact pile  
13 driving may be required. The potential effect of underwater sound levels generated by impact pile  
14 driving, would be the same as those described for pile driving at the north Delta intake locations.

15 Placement of cofferdams in the channels could also trap fish, which could be killed during  
16 dewatering of the construction area and other construction activities. Direct injury associated with  
17 construction and maintenance activities, including dredging, would have a less-than-significant  
18 impact on the covered species, because the number of fish injured would likely be small, due to  
19 adherence with in-water work window, environmental commitments, and BMPs described in  
20 Appendix 3.C, *Avoidance and Minimization Measures*. Construction activities would remove, disturb,  
21 modify, and replace channel-bottom and channel-bank substrates, although this area would be  
22 similar to the existing footprint of the temporary barriers, with previously modified shallow-water  
23 habitat.

24 Construction of the operable gate would take approximately 2 years, with cofferdams used primarily  
25 in the first year, and limited activities to remove the cofferdams in the subsequent year.

## 26 **5.H.0.2 Other Conservation Measures**

27 **Other aquatic-related conservation measures include *CM14 Stockton Deep Water Ship Channel***  
28 ***Dissolved Oxygen Levels*, *CM15 Localized Reduction of Predatory Fishes*, and *CM16 Nonphysical***  
29 ***Fish Barriers*. These measures likely will cause only temporary, localized, and minor noise and**  
30 **turbidity effects and the potential for accidental spills at each specific site. Such effects will be**  
31 **similar to, but of a much smaller magnitude than, those described for construction of the intakes**  
32 **(*CM1 Water Facilities and Operation*). These other conservation measures also rely on the**  
33 **implementation of *CM22 Avoidance and Minimization Measures* to minimize the potential to**  
34 **affect covered fish species.**

35 Similar to the intake construction, activities associated with *CM2 Yolo Bypass Fisheries Enhancement*,  
36 *CM4 Tidal Natural Communities Restoration*, *CM5 Seasonally Inundated Floodplain Restoration*, *CM6*  
37 *Channel Margin Enhancement*, *CM7 Riparian Natural Community Restoration*, *CM10 Nontidal Marsh*  
38 *Restoration*, *CM14 Stockton Deep Water Ship Channel Dissolved Oxygen Levels*, *CM15 Localized*  
39 *Reduction of Predatory Fishes*, *CM16 Nonphysical Fish Barriers*, and *CM21 Nonproject Diversions* will  
40 be limited to periods of lowest fish density and include implementation of minimization measures  
41 described in *CM22 Avoidance and Minimization Measures*.

42 Underwater noise associated with in-water construction for these conservation measures is not  
43 expected to be as high as that estimated for the new intakes, primarily because vibratory hammers



1 are expected to be used for construction of these smaller structures (e.g., nonphysical barriers and  
2 intake screens) when pile driving is necessary, which is not expected to result in adverse effects on  
3 fish. Similarly, removal of in-water structures will be conducted using a vibratory hammer or crane  
4 on a barge. Other in-water construction activities, such as dredging, also are not expected to exceed  
5 underwater sound thresholds.

6 The magnitude of water quality effects on covered fish from turbidity and associated suspended  
7 sediments and from accidental spills also would likely be of lower magnitude than that described for  
8 the intakes, and would likely be minimal overall because of the very temporary and localized nature  
9 of the activities and the timing of activities outside periods of high fish density. Accidental spills,  
10 turbidity, and other water quality effects will be minimized through implementation of *CM22*  
11 *Avoidance and Minimization Measures*, as well as by requirements of the permits necessary to  
12 construct these facilities. These measures include: *AMM3 Stormwater Pollution Prevention Plan*;  
13 *AMM4 Erosion and Sediment Control Plan*; *AMM5 Spill Prevention, Containment, and Countermeasure*  
14 *Plan*; *AMM7 Barge Operations Plan*; *AMM1 Worker Awareness Training*; and *AMM2 Construction Best*  
15 *Management Practices and Monitoring*.

16 **Restoration construction and activities associated with nonphysical barriers, removal of in-water**  
17 **structures, and installing intake screening have the potential to permanently or temporarily**  
18 **remove or disturb aquatic habitats as a result of levee breaching or other activities that directly or**  
19 **indirectly (e.g., bank scour) result in the loss of habitat. However, this removal or temporary**  
20 **disturbance is expected to be small, highly localized, and fully offset by the benefits provided by**  
21 **the conservation measures.**

22 The restoration, intake screening, in-water structure removal, and nonphysical barriers may result  
23 in very minor loss or changes in habitat, with exact amounts depending on the specific areas and  
24 design of the conservation measures. For the most part, these activities will be located in areas that  
25 avoid or minimize effects on sensitive habitats to the extent possible. Tidal marsh restoration  
26 included in *CM4 Tidal Natural Communities Restoration* will provide substantially more rearing and  
27 spawning habitat for some covered fish, which will more than offset the potential temporary and  
28 minor changes in habitat resulting from construction of these conservation measures.

29 **Periodic maintenance of the intake facilities, other in-water and overwater structures, and at the**  
30 **restoration sites has the potential to temporarily increase localized noise in the vicinity of the**  
31 **intakes and structures; except during emergencies, maintenance can be planned to avoid periods**  
32 **of high fish densities.**

33 No maintenance activities are expected to use an impact pile driver, and, therefore, underwater  
34 noise is expected to be minimal. Similarly, minimal sediment disturbance is anticipated. As with all  
35 in-water construction activities, there is potential for accidental spills, but that potential will be  
36 minimized through measures described in *CM22 Avoidance and Minimization Measures*. These  
37 activities also will be timed to avoid periods of high fish densities, except during emergencies.

38 **In-water construction and maintenance activities have the potential to directly harm or kill**  
39 **individual fish, but *CM22 Avoidance and Minimization Measures*, including timing activities to**  
40 **periods of lowest fish density, will be implemented to minimize this effect to the extent possible;**  
41 **emergency maintenance may require in-water activities during periods of high fish density.**

42 All in-water work activities have the potential to directly harm or kill individual fish in the vicinity of  
43 the construction activities, but no major effects on species are expected from these activities, with  
44 the implementation *CM22 Avoidance and Minimization Measures*. Overall, construction and

1 maintenance associated with the BDCP covered activities will be spread throughout the Plan Area  
2 and will occur primarily during periods of low fish density and over the BDCP implementation  
3 period (50 years). *CM22 Avoidance and Minimization Measures* will minimize and avoid many  
4 potential effects, and other conservation measures will enhance existing habitat conditions,  
5 including *CM2 Yolo Bypass Fisheries Enhancement*, *CM4 Tidal Natural Communities Restoration*, *CM5*  
6 *Seasonally Inundated Floodplain Restoration*, *CM6 Channel Margin Enhancement*, and *CM7 Riparian*  
7 *Natural Community Restoration*. These conservation measures include restoration and other  
8 operational improvements to provide alternative habitats and areas of refuge from construction  
9 activities. Except for during emergencies, direct effects on individuals during construction or  
10 maintenance are expected to be minimized by the implementation of *CM22 Avoidance and*  
11 *Minimization Measures* and the *Water Quality Control Plan for the Sacramento and San Joaquin River*  
12 *Basins* (Basin Plan).

1 **Table 5.H.0-2. Potential for Effects of Construction and Maintenance Activities on Covered Fish Species**

Species	Life Stage	BDCP Subregions								Total Effects
		Yolo Bypass	Cache Slough	North Delta	West Delta	Suisun Bay	Suisun Marsh	East Delta	South Delta	
Delta smelt	Egg	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM	RC, RM	RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Larva	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Juvenile	RC, RM	RC, RM, OCC, OCM		RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
Longfin smelt	Egg	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Larva	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Juvenile					RC, RM, OCC, OCM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
Steelhead	Egg/Embryo									
	Fry									
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC*, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC*, FM, OCC, OCM, RC, RM	FC*, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
Winter-run Chinook salmon	Egg/Embryo									
	Fry	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM					FC, FM, OCC, OCM, RC, RM
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM

Species	Life Stage	BDCP Subregions								Total Effects
		Yolo Bypass	Cache Slough	North Delta	West Delta	Suisun Bay	Suisun Marsh	East Delta	South Delta	
Spring-run Chinook salmon	Egg/Embryo									
	Fry									
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM
Fall-/late fall-run Chinook salmon	Egg/Embryo									
	Fry	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
Late fall-run Chinook salmon	Egg/Embryo									
	Fry									
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM
Sacramento splittail	Egg/Embryo	RC, RM	RC, RM	FC, FM, OCC, OCM, RC, RM	RC, RM		RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Larvae	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM

Species	Life Stage	BDCP Subregions								Total Effects
		Yolo Bypass	Cache Slough	North Delta	West Delta	Suisun Bay	Suisun Marsh	East Delta	South Delta	
White sturgeon	Egg/Embryo									
	Larva	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
Green sturgeon	Egg/Embryo									
	Larva									
	Juvenile	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
Pacific lamprey	Egg/Embryo									
	Ammocoete	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM
	Macrophthalmia	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
River lamprey	Egg/Embryo									
	Ammocoete	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM			FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM
	Macrophthalmia	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM
	Adult	RC, RM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	RC, RM, OCC, OCM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM	FC, FM, OCC, OCM, RC, RM

Species	Life Stage	BDCP Subregions								Total Effects
		Yolo Bypass	Cache Slough	North Delta	West Delta	Suisun Bay	Suisun Marsh	East Delta	South Delta	
Categories of effects as a result of BDCP implementation: FC = facility construction; FM = facility maintenance; RC = restoration construction; RM = restoration maintenance; OCC = other conservation measures construction; OCM = other conservation measures maintenance. * Underwater sound generated by impact pile driving is resulting in the moderate effect.										
Potential for Effects:										
None	None: Covered fish species are not present in area of effects of construction and maintenance activities.									
Low	Low: Covered fish species are present in area of effects of construction and maintenance activities but have low abundance of species/life stage in the area, and no or low effects on covered fish species are identified.									
Medium	Medium: Covered fish species are present in area of effects of construction and maintenance activities but have a moderate abundance of species/life stage in the area, and potential for effect is deemed to be moderate.									
High	High: Covered fish species are present in area of effects of construction and maintenance activities, and potential for effect is deemed to be high.									
Note: Uncertainty is not included in the potential of effect, as it has been determined that the uncertainty is not sufficient to indicate elevated effects.										

1

# Aquatic Construction and Maintenance Effects

## Contents

	Page
<b>Appendix 5.H Aquatic Construction and Maintenance Effects .....</b>	<b>5.H-1</b>
5.H.0 Executive Summary .....	5.H-i
5.H.0.1 CM1 Water Facilities and Operation .....	5.H-iii
5.H.0.2 Other Conservation Measures.....	5.H-vii
5.H.1 Organization of Appendix.....	5.H-1
5.H.2 Introduction.....	5.H-1
5.H.3 Information on Covered Fish Species .....	5.H-10
5.H.4 Construction and Maintenance Activities .....	5.H-15
5.H.4.1 Construction Activities.....	5.H-15
5.H.4.1.1 CM1 Water Facilities and Operation .....	5.H-15
5.H.4.1.1.1 Construction of Intakes.....	5.H-15
5.H.4.1.1.2 Intake Site Dredging.....	5.H-18
5.H.4.1.1.3 Construction of Pipelines and Portals.....	5.H-19
5.H.4.1.1.4 Construction of Barge Landings .....	5.H-19
5.H.4.1.2 Conservation Measures Focused on Restoration .....	5.H-19
5.H.4.1.2.1 CM2 Yolo Bypass Fisheries Enhancement.....	5.H-20
5.H.4.1.2.2 CM4 Tidal Natural Communities Restoration .....	5.H-20
5.H.4.1.2.3 CM5 Seasonally Inundated Floodplain Restoration.....	5.H-21
5.H.4.1.2.4 CM6 Channel Margin Enhancement .....	5.H-21
5.H.4.1.2.5 CM7 Riparian Natural Community Restoration .....	5.H-21
5.H.4.1.3 Other Conservation Measures That Include Construction .....	5.H-22
5.H.4.2 Maintenance Activities .....	5.H-23
5.H.4.2.1 CM1 Water Facilities and Operation .....	5.H-23
5.H.4.2.2 Conservation Measures Focused on Restoration .....	5.H-23
5.H.4.2.3 Other Conservation Measures.....	5.H-24
5.H.5 Methods Used to Evaluate Potential Construction and Maintenance Effects on Covered Fish Species .....	5.H-24
5.H.5.1.1 Underwater Sound.....	5.H-24
5.H.5.1.2 Water Quality.....	5.H-28
5.H.5.1.2.1 Erosion and Sedimentation.....	5.H-28
5.H.5.1.2.2 Turbidity .....	5.H-28
5.H.5.1.2.3 Toxins .....	5.H-28
5.H.5.1.2.4 Methylmercury Production.....	5.H-30
5.H.5.1.2.5 Accidental Spills .....	5.H-30
5.H.5.1.3 Modification to Habitat .....	5.H-32
5.H.5.1.3.1 Removal/Destruction of Cover .....	5.H-32
5.H.5.1.3.2 Changes to Channel Hydraulics.....	5.H-32

1                   5.H.5.1.3.3   Changes in Salinity ..... 5.H-32

2                   5.H.5.1.3.4   Changes in Flow Velocities ..... 5.H-32

3                   5.H.5.1.3.5   Changes in Turbidity and Nutrient Cycling..... 5.H-33

4                   5.H.5.1.4    Physical Injury or Loss of Individuals ..... 5.H-33

5                   5.H.5.1.4.1   Entrapment ..... 5.H-33

6                   5.H.5.1.4.2   Dredging/Excavation ..... 5.H-33

7                   5.H.6   Results of Analysis of Construction Effects on Covered Fish Species..... 5.H-33

8                   5.H.6.1   CM1 Water Facilities and Operation ..... 5.H-33

9                   5.H.6.1.1   Presence of Fish Species during Conservation Measure 1 Construction..... 5.H-33

10                  5.H.6.1.1.1   Salmonids ..... 5.H-33

11                  5.H.6.1.1.2   Delta Smelt ..... 5.H-34

12                  5.H.6.1.1.3   Longfin Smelt ..... 5.H-34

13                  5.H.6.1.1.4   Splittail ..... 5.H-34

14                  5.H.6.1.1.5   Green and White Sturgeon ..... 5.H-34

15                  5.H.6.1.1.6   Pacific and River Lamprey ..... 5.H-35

16                  5.H.6.1.2   Underwater Sound..... 5.H-35

17                  5.H.6.1.2.1   Cofferdam Installations..... 5.H-35

18                  5.H.6.1.2.2   Intake and Pumping Plant Foundation Pile Installations ..... 5.H-36

19                  5.H.6.1.2.3   Barge Landing Pile Installations ..... 5.H-37

20                  5.H.6.1.2.4   Sound Effects Evaluation..... 5.H-38

21                  5.H.6.1.2.5   Delta Smelt ..... 5.H-47

22                  5.H.6.1.2.6   Longfin Smelt ..... 5.H-48

23                  5.H.6.1.2.7   Central Valley Steelhead ..... 5.H-48

24                  5.H.6.1.2.8   Winter-Run Chinook Salmon..... 5.H-49

25                  5.H.6.1.2.9   Spring-Run Chinook Salmon..... 5.H-49

26                  5.H.6.1.2.10   Late Fall-Run Chinook Salmon ..... 5.H-50

27                  5.H.6.1.2.11   Fall-Run Chinook Salmon ..... 5.H-50

28                  5.H.6.1.2.12   Splittail ..... 5.H-51

29                  5.H.6.1.2.13   Green Sturgeon ..... 5.H-51

30                  5.H.6.1.2.14   White Sturgeon ..... 5.H-52

31                  5.H.6.1.2.15   Pacific Lamprey ..... 5.H-52

32                  5.H.6.1.2.16   River Lamprey ..... 5.H-53

33                  5.H.6.1.3   Water Quality..... 5.H-53

34                  5.H.6.1.3.1   Turbidity ..... 5.H-53

35                  5.H.6.1.3.2   Toxins ..... 5.H-54

36                  5.H.6.1.3.3   Spills ..... 5.H-55

37                  5.H.6.1.4   Habitat Modification..... 5.H-56

38                  5.H.6.1.4.1   Potential Habitat Modification Effects on Covered Fish Species ..... 5.H-58

39                  5.H.6.1.5   Physical Injury or Loss ..... 5.H-60

40                  5.H.6.1.5.1   Entrapment and Handling Stress ..... 5.H-60

41                  5.H.6.2   Conservation Measures Focused on Restoration..... 5.H-61

42                  5.H.6.2.1   Presence of Fish Species during Construction ..... 5.H-61

43                  5.H.6.2.2   Water Quality..... 5.H-61

44                  5.H.6.2.2.1   Erosion and Sedimentation ..... 5.H-61

45                  5.H.6.2.2.2   Turbidity ..... 5.H-61

46                  5.H.6.2.2.3   Toxins ..... 5.H-61

47                  5.H.6.2.2.4   Accidental Spills ..... 5.H-62

48                  5.H.6.2.3   Habitat Modification..... 5.H-62



1           5.H.6.2.4   Physical Injury or Loss of Individuals ..... 5.H-62

2           5.H.6.3    Other Conservation Measures..... 5.H-63

3           5.H.6.3.1   CM14 Stockton Deep Water Ship Channel Dissolved Oxygen Levels ..... 5.H-63

4           5.H.6.3.1.1   Decrease in Water Quality ..... 5.H-63

5           5.H.6.3.1.2   Habitat Modification ..... 5.H-63

6           5.H.6.3.2   CM15 Localized Reduction of Predatory Fishes..... 5.H-63

7           5.H.6.3.2.1   Underwater Sound ..... 5.H-64

8           5.H.6.3.2.2   Decrease in Water Quality ..... 5.H-64

9           5.H.6.3.2.3   Habitat Modification ..... 5.H-64

10          5.H.6.3.3   CM16 Nonphysical Fish Barriers ..... 5.H-65

11          5.H.6.3.3.1   Underwater Sound ..... 5.H-65

12          5.H.6.3.3.2   Decrease in Water Quality ..... 5.H-65

13          5.H.6.3.3.3   Habitat Modification ..... 5.H-65

14          5.H.6.3.4   CM21 Nonproject Diversions ..... 5.H-66

15          5.H.6.3.4.1   Underwater Sound ..... 5.H-66

16          5.H.6.3.4.2   Water Quality ..... 5.H-66

17          5.H.6.3.4.3   Habitat Modification ..... 5.H-66

18          5.H.7    Maintenance-Related Effects ..... 5.H-67

19          5.H.7.1   Underwater Sound ..... 5.H-67

20          5.H.7.2   Water Quality ..... 5.H-67

21          5.H.7.3   Habitat Modification..... 5.H-68

22          5.H.7.4   Physical Injury or Loss of Individuals ..... 5.H-69

23          5.H.8    Conclusions..... 5.H-70

24          5.H.9    References Cited ..... 5.H-75

25          5.H.9.1   Literature Cited..... 5.H-75

26          5.H.9.2   Personal Communications ..... 5.H-84

27

1 **Tables**

---

	<b>Page</b>
2	
3 5.H.0-1 Conservation Measures that May Result in Construction- and Maintenance-Related	
4 Effects .....	5.H-i
5 5.H.0-2 Potential for Effects of Construction and Maintenance Activities on Covered Fish	
6 Species .....	5.H-x
7 5.H.2-1 Main Construction Elements of BDCP Conservation Measures with Potential to Affect	
8 Aquatic Environments .....	5.H-2
9 5.H.2-2 Construction and Maintenance Activities, Stressors and Potential Effects on Covered	
10 Fish Species.....	5.H-6
11 5.H.3-1 Potential Monthly Distribution of Adults and Juveniles of Non-Salmonid Fish Species in	
12 the Plan Area .....	5.H-11
13 5.H.3-2 Potential Monthly Distribution of Adults and Juveniles of Salmonids .....	5.H-13
14 5.H.4-1 Dimensions of North Delta Intakes and Associated Construction Footprints.....	5.H-16
15 5.H.5-1 Potential for Construction Activities to Affect Water Quality .....	5.H-31
16 5.H.6-1 Summary of Underwater Sound Levels Expected during Impact Pile Driving Activities	
17 and Distances Where Effect Thresholds May Be Exceeded .....	5.H-36
18 5.H.6-2 Life Stages of Covered Species Present in the North, East and South Delta Subregions	
19 during the In-Water Construction Window (June 1–October 31).....	5.H-43
20 5.H.6-3 Length, Width, and Area of Water Bodies Potentially Exposed to Underwater Sound	
21 Levels above 183 dB SELcumulative If In-Water Impact Pile Driving Is Required .....	5.H-45
22 5.H.6-4 Species Present and Estimated Duration of Exposure to Impact Pile Driving during	
23 Cofferdam Installation, Assuming That Impact Pile Driving Is Necessary for 30% of the	
24 Piles.....	5.H-47
25 5.H.6-5 Temporary Channel Habitat Modification.....	5.H-57
26 5.H.6-6 Permanent Channel Habitat Modifications.....	5.H-58
27 5.H.8-1 Construction and Maintenance Activities Associated with Conservation Measures and	
28 Potential Stressors and Effects on Fish and Fish Habitat .....	5.H-71
29	

30 **Figures**

---

	<b>Page</b>
31	
32 5.H.4-1 Representative Intake with Cofferdam and Transition Walls .....	5.H-17
33 5.H.6-1 Sheet Pile Impact Driving (Single Strike SEL = 180 dB at 10 m) .....	5.H-39
34 5.H.6-2 24-Inch Steel Pipe Pile in Dewatered Cofferdam Impact Driving	
35 (Single Strike SEL = 167 dB at 10 m) .....	5.H-40
36 5.H.6-3 24-Inch Steel Pipe Pile Impact Driving (Single Strike SEL = 177 dB at 10 m) .....	5.H-41
37 5.H.6-4 Tunnel Option Intake and Barge Landing Locations.....	5.H-42
38	
39	

# 1 Acronyms and Abbreviations

---

BDCP	Bay Delta Conservation Plan
Caltrans	California Department of Transportation
CIDH	cast-in-drilled-hole
CM	conservation measure
cm	centimeter
dB	decibels
DO	dissolved oxygen
DPS	distinct population segment
DWR	California Department of Water Resources
LED	light-emitting diode
MCY	million cubic-yards
MIL	modulated intense light
NMFS	National Marine Fisheries Service
NPDES	National Pollutant Discharge Elimination Service
NTU	nephelometric turbidity unit
RM	river mile
RMS	root mean squared
ROA	restoration opportunity area
RWQCB	Regional Water Quality Control Board
SAV	submerged aquatic vegetation
SEL	sound exposure level
SEL <sub>cumulative</sub>	cumulative sound exposure level
SR	State Route
SWPPP	stormwater pollution prevention plan
Basin Plan	Water Quality Control Plan for the Sacramento and San Joaquin River Basins
WDR	waste discharge requirement

2

## Aquatic Construction and Maintenance Effects

---

### 5.H.1 Organization of Appendix

This appendix provides details of technical analyses of effects of restoration on covered fish species under the Bay Delta Conservation Plan (BDCP). The appendix is organized as follows.

- **Section 5.H.2, *Introduction***, provides a summary of the different construction activities associated with each conservation measure, stressors, and potential effects.
- **Section 5.H.3, *Information on Covered Fish Species***, describes fish species anticipated to occur in different BDCP areas throughout the year and the habitat those species use.
- **Section 5.H.4, *Construction and Maintenance Activities***, describes the phasing, timing, and activities anticipated under each conservation measure.
- **Section 5.H.5, *Methods Used to Evaluate Potential Construction and Maintenance Effects on Covered Fish Species***, outlines the methods used to assess the effects of the stressors associated with construction and maintenance on the covered fish species.
- **Section 5.H.6, *Results of Analysis of Construction Effects on Covered Fish Species***, identifies the effects on covered fish species by life stage and region associated with construction activities for each conservation measure.
- **Section 5.H.7, *Maintenance-Related Effects***, identifies the effects on covered fish species by life stage and region associated with construction activities for each conservation measure.
- **Section 5.H.8, *Conclusions***, summarizes the overall results of the construction and maintenance effect analyses.

### 5.H.2 Introduction

This appendix analyzes the potential effects on the aquatic environment and covered fish species associated with proposed construction and maintenance activities (for effects of construction and maintenance activities on covered terrestrial species and natural communities, see Chapter 5, *Effects Analysis*). The conservation measures and the construction and maintenance elements of these measures are listed in Table 5.H.2-1. Although there are various types of structures and construction activities associated with the different conservation measures, the construction and maintenance activities can be grouped by a few potential effects, as shown in Table 5.H.2-1.

The construction and maintenance activities described here are limited to those that have the potential to affect the aquatic environment and covered fish species. While the construction and maintenance activities for all of the conservation measures are extensive, the activities will be spread throughout the Plan Area and over the implementation period (50 years) of the BDCP. However, most of the conservation measure construction activities will begin by years 5 to 10, with the intent of meeting most species response goals and objectives by year 15. The extended implementation schedule is based on the time required to acquire lands for restoration, develop site specific plans, obtain regulatory approval/permits, and conduct construction activities. It is assumed

1 that some of the initial restoration activities will occur on public land, facilitating quicker  
 2 implementation, while the subsequent restoration of private lands will take longer to implement  
 3 because of the land acquisition process. As described in more detail below, most of the construction-  
 4 and maintenance-related impacts of the north Delta intakes (Conservation Measure [CM] 1 *Water*  
 5 *Facilities and Operation*), restoration (*CM2 Yolo Bypass Fisheries Enhancement*, *CM4 Tidal Natural*  
 6 *Communities Restoration*, *CM5 Seasonally Inundated Floodplain Restoration*, *CM6 Channel Margin*  
 7 *Enhancement*, and *CM7 Riparian Natural Community Restoration*), and other conservation measures  
 8 are expected to be localized and occur over a relatively short period of time, because impacts will be  
 9 associated with discrete activities at specific sites.

10 The north Delta diversion facilities also will require a sequential implementation schedule.  
 11 Therefore, a number of the conservation measure identified in Table 5.H.2-1 will be initiated before  
 12 construction-related effects occur and continue to be implemented well past the construction  
 13 period. Monitoring and adaptive management will provide opportunities to assess the effectiveness  
 14 of the implemented conservation measures and allow potential adjustments to the implementation  
 15 of subsequent conservation measures to facilitate achieving the BDCP biological goals and  
 16 objectives.

17 **Table 5.H.2-1. Main Construction Elements of BDCP Conservation Measures with Potential to Affect**  
 18 **Aquatic Environments (details of these measures provided in Chapter 3)**

CM	Title/Description	Construction Elements (Aquatic Only)	Area/Subregion
1	Water Facilities and Operation	<ul style="list-style-type: none"> <li>• Clearing and grubbing/demolition on the riverbank at each of the three intake locations</li> <li>• Detour and levee reinforcement on the riverbank at each of the three intake locations</li> <li>• Setback levee on the riverbank at each of the three intake locations</li> <li>• Installing sheet-pile wall cofferdams at each of the three intake locations on the riverbank and in the river channel</li> <li>• Dewatering of the cofferdams, where feasible</li> <li>• Excavating and dredging at each of the three intake locations on the riverbank and in the river channel after the cofferdam is constructed</li> <li>• Installing foundation piles for each of the three intakes after the cofferdam is constructed</li> <li>• Armoring and restoring the shoreline at each of the three intake locations after the cofferdam is constructed</li> <li>• Clearing and grubbing at the six barge landings (most likely limited to any riparian areas in the path of equipment used to construct the landings, and access for equipment, unloading and offloading supplies from the landings), pile driving, construction of the dock on top of the piles, and ultimately dismantling of the dock and cutting off the piles</li> <li>• Construction of north Delta intakes is expected to begin about 2 years after permit issuance and continue for 9–10 years</li> </ul>	North Delta South Delta East Delta

CM	Title/Description	Construction Elements (Aquatic Only)	Area/Subregion
2	Yolo Bypass Fisheries Enhancement	<ul style="list-style-type: none"> <li>Physical modifications to Fremont Weir and Yolo Bypass (e.g., new/modified fish ladders, new gated seasonal floodplain channel)</li> <li>Fish screens at Yolo diversions</li> <li>New/replaced Tule Canal and toe drain impoundment structures and agricultural crossings</li> <li>Lisbon Weir improvements (e.g., fish gate)</li> <li>Lower and upper Putah Creek improvements (e.g., realignments)</li> <li>Fish barriers at Knights Landing Ridge Cut and Colusa Basin Drain</li> <li>Physical and nonphysical barriers in Sacramento River (e.g., bubble curtains or log booms)</li> <li>Levee improvements</li> <li>Removal of berms, levees, etc., and construction of berms, levees, reworking of agricultural, delivery channels, etc.</li> <li>Sacramento Weir Improvements (could include a channel from Sacramento River to Sacramento Weir and from Sacramento Weir to Toe Drain)</li> <li>The above modifications will be initiated by year 11 and operations by year 13</li> </ul>	Yolo Bypass
3	Natural Communities Protection and Restoration	<ul style="list-style-type: none"> <li>This conservation measure will not result in any construction effects on covered fish because there will be no construction associated with it</li> </ul>	NA
4	Tidal Natural Communities Restoration	<ul style="list-style-type: none"> <li>Restore natural remnant meander tidal channels</li> <li>Excavate channels to allow establishment of sinuous, high-density, dendritic network</li> <li>Modify ditches, cuts, and levees to encourage more natural tidal circulation</li> <li>Recontour surface elevations to maximize tidal marsh creation prior to levee breaching</li> <li>Cultivate stands of tules through flood irrigation prior to levee breaching</li> <li>Restoration of the first 4,000 acres immediately after BDCP authorization (65,000 acres total)</li> </ul>	Suisun Marsh Cache Slough East Delta West Delta South Delta
5	Seasonally Inundated Floodplain Restoration	<ul style="list-style-type: none"> <li>Set back, remove, and/or breach levees</li> <li>Remove riprap and bank protection between setback levees</li> <li>Modify channels</li> <li>Create floodway bypasses</li> <li>At least 1,000 acres restored by year 15, and increments of 1,800 acres for each 5-year time period until year 40 (10,000 acres total)</li> </ul>	Southern Delta
6	Channel Margin Enhancement	<ul style="list-style-type: none"> <li>Remove riprap from channel margins</li> <li>Modify or set back levees</li> <li>Install large woody material in levees</li> <li>About 5 miles of channel margin enhancement by each of years 10, 20, 25, and 30 (20 miles total)</li> </ul>	North Delta East Delta South Delta

CM	Title/Description	Construction Elements (Aquatic Only)	Area/Subregion
7	Riparian Natural Community Restoration	<ul style="list-style-type: none"> <li>Remove riprap</li> <li>Modify levees and/or channel modification, including possible bench construction</li> <li>Install riparian plantings</li> <li>Riparian restoration also will be a component CM4, CM5, CM6 projects</li> </ul>	North Delta East Delta South Delta
8	Grassland Natural Community Restoration	<ul style="list-style-type: none"> <li>This conservation measure will not result in any effects on covered fish because there will be no effects on or in the aquatic habitat</li> <li>Restoration actions implemented between years 3 and 30</li> </ul>	NA
9	Vernal Pool and Alkali Seasonal Wetland Complex Restoration	<ul style="list-style-type: none"> <li>Excavate or recontour historical vernal pools; because vernal pools typically have no outlets to receiving waters used by covered fish, this conservation measure will not result in any effects on covered fish</li> <li>Most restoration actions likely implemented in the first 15 years</li> </ul>	Yolo Bypass Cache Slough Suisun Marsh Suisun Bay South Delta
10	Nontidal Marsh Restoration	<ul style="list-style-type: none"> <li>Establish connectivity with existing water conveyance system</li> <li>Grade to create wetland topography</li> <li>Completed by year 10</li> </ul>	Yolo Bypass North Delta
11	Natural Communities Enhancement and Management	<ul style="list-style-type: none"> <li>This conservation measure will not result in any effects on covered fish because there will be no effects on or in the aquatic habitat</li> </ul>	NA
12	Methylmercury Management	<ul style="list-style-type: none"> <li>Provide site-specific characterization and monitoring to mitigate methylmercury production during construction and operations</li> <li>This conservation measure does not result in construction; therefore, conservation measure will not result in any construction effects on covered fish; however, methylmercury and this conservation measure are discussed in the context of potentially disturbing sediment containing methylmercury during construction</li> </ul>	Yolo Bypass Suisun Marsh Cache Slough East Delta West Delta South Delta
13	Invasive Aquatic Vegetation Control	<ul style="list-style-type: none"> <li>This conservation measure does not result in construction; therefore, conservation measure will not result in any construction effects on covered fish</li> <li>Implement aquatic vegetation control by year 2</li> </ul>	Plan Area
14	Stockton Deep Water Ship Channel Dissolved Oxygen Levels	<ul style="list-style-type: none"> <li>Possible construction of additional aeration facilities</li> <li>Provide funding for the continued long-term operation and maintenance of an aeration facility by year 1</li> </ul>	South Delta
15	Localized Reduction of Predatory Fishes	<ul style="list-style-type: none"> <li>Removal of unused predator-housing structures (e.g., old piers, abandoned boats)</li> <li>Predator reduction efforts will begin by year 3 and continue throughout the permit term</li> </ul>	North Delta South Delta East Delta
16	Nonphysical Fish Barriers	<ul style="list-style-type: none"> <li>Install nonphysical fish barriers (e.g., sounds, light, bubbles)</li> <li>Delta Cross Channel and Georgiana Slough barriers expected by year 4</li> </ul>	South Delta North Delta Yolo Bypass East Delta
17	Illegal Harvest Reduction	<ul style="list-style-type: none"> <li>This conservation measure does not result in construction; therefore, conservation measure will not result in any construction effects on covered fish</li> <li>Enforcement actions expected to begin in year 3</li> </ul>	NA

CM	Title/Description	Construction Elements (Aquatic Only)	Area/Subregion
18	Conservation Hatcheries	<ul style="list-style-type: none"> <li>• Possible bank and channel construction</li> <li>• Hatcheries expanded or constructed by years 4 and 7, respectively</li> </ul>	West Delta
19	Urban Stormwater Treatment	<ul style="list-style-type: none"> <li>• Establish vegetative buffer strips</li> <li>• Construct bioretention systems</li> <li>• Program operational in year 3</li> </ul>	North Delta South Delta
20	Recreational Users Invasive Species Program	<ul style="list-style-type: none"> <li>• There will be no construction associated with this conservation measure; therefore, this conservation measure will not result in any effects on covered fish</li> <li>• Beginning in year 1</li> </ul>	NA
21	Nonproject Diversions	<ul style="list-style-type: none"> <li>• Removal/relocation of unscreened diversions</li> <li>• Consolidation of existing smaller unscreened diversions into one larger screened diversion</li> <li>• Program operational in year 3, with individual actions requiring 4 to 8 years each to design, permit, and construct</li> </ul>	Plan Area
22	Avoidance and Minimization Measures	<ul style="list-style-type: none"> <li>• This conservation measure is intended to minimize and avoid effects related to the other conservation measures and will not result in any additional effects</li> </ul>	NA

1

2 The construction and maintenance activities associated with the conservation measures will result  
 3 in similar types of potential stressors and effects on aquatic species. For example, cofferdam  
 4 installation during intake construction under *CM1 Water Facilities and Operation* and levee  
 5 breaching under *CM4 Tidal Natural Communities Restoration* for restoration both will result in  
 6 increases in turbidity and temporary reductions in water quality, which could reduce foraging  
 7 habitat for fish. However, although the type of effect may be similar, effects may differ in degree  
 8 depending on location, duration, and timing. The effects on covered fish species depend on the  
 9 timing of the activity and the fish present during the construction activity (as described in  
 10 Section 5.H.2 and Table 5.H.2-2) and the type of construction or maintenance activity (as described  
 11 in Section 5.H.3). Table 5.H.2-2 below summarizes the different types of construction activities,  
 12 conservation measures, associated stressors, and potential effects on fish. These stressors and  
 13 effects are discussed in detail in this appendix. Restoration actions proposed under *CM2 Yolo Bypass*  
 14 *Fisheries Enhancement*, *CM4 Tidal Natural Communities Restoration*, *CM5 Seasonally Inundated*  
 15 *Floodplain Restoration*, *CM6 Channel Margin Enhancement*, and *CM7 Riparian Natural Community*  
 16 *Restoration* are described in further detail in Chapter 3 and Appendix 5.E, *Habitat Restoration*.

17 Construction of the conveyance facilities, forebay and reservoir by Stone Lake, and other features  
 18 that are isolated from the surface waters of the Delta does not have the potential to affect the  
 19 covered fish species and therefore is not discussed in this appendix.



1 **Table 5.H.2-2. Construction and Maintenance Activities, Stressors and Potential Effects on Covered Fish Species**

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat
<b>Construction</b>			
Impact pile driving	1	Underwater noise	Disturbance of fish passage, fish displacement, and/or fish injury or loss
		Increased turbidity*	Altered foraging success
			Altered predation risk
			Reduced DO
Toxins from sediments	Impairment of behavior, development, growth and/or reproduction		
Vibratory sheet driving or vibratory pile driving	1, 16, 21	Underwater noise	Disturbance of fish passage and/or fish displacement
		Increased turbidity*	Altered foraging success
			Altered predation risk
			Reduced DO
		Toxins from sediments	Impairment of behavior, development, growth, and/or reproduction
Increased erosion/sedimentation	Disturbance of rearing habitat		
		Disturbance of benthic habitat	Decreased foraging success
Grading	2, 4, 5, 6, 7	Increased erosion/sedimentation	Impairment of spawning and/or rearing
		Increased turbidity*	Altered foraging success
			Altered predation risk
		Reduced DO	
Channel dredging/excavation	4, 5, 15	Increased turbidity*	Altered foraging success
			Altered predation risk
			Reduced DO
		Resuspension of toxins attached to sediments	Impairment of behavior, development, growth, and/or reproduction
		Disturbance/removal of channel sediments	Disturbance of spawning and/or rearing habitat
			Disturbance, injury, and/or mortality of fish
Injury or loss of benthic invertebrates	Decreased forage for benthic feeding fish		

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat
		Underwater construction activities	Mechanical injury or loss of juvenile or adult sturgeon due to dredging equipment
Refueling, operating, and storing construction equipment and materials	1, 2, 4, 5, 6, 7, 14, 15, 16, 18, 19, 21	Accidental spills or runoff of toxins	Impairment of behavior, development, growth, and/or reproduction
		Increased erosion/sedimentation	Impairment of spawning, rearing and/or migration habitat
		Increased turbidity*	Altered foraging success
			Altered predation risk
Placement/removal of rip-rap or other bank protection	1, 4, 5, 6, 7	Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat
		Increased turbidity	Altered foraging success
			Altered predation risk
Levee breaching	4, 5	Changes in channel morphology and hydraulics	Disturbance of fish passage and/or fish displacement
			Impairment of spawning, rearing, and/or migration habitat
		Increased turbidity*	Altered foraging success
			Altered predation risk
			Reduced DO
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat
Changes in flow velocities	Impairment of fish passage and/or fish displacement		
	Reduction in rearing habitat		
Construction of levees/embankments	4, 5	Removal/destruction of cover	Reduction in habitat quantity and/or quality
		Changes in salinity	Disturbance of fish passage and/or fish displacement
			Impairment of spawning, rearing, and/or migration habitat
Use of equipment in riparian areas	1, 2, 4, 6, 7	Changes in noise, light, from physical movements of people and equipment	Disturbance of fish passage and/or fish displacement

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat
Clearing, grubbing and/or demolition on riverbanks	1, 2, 4, 5, 6, 7, 14, 18, 19, 21	Increased turbidity*	Altered foraging success
			Altered predation risk
			Reduced DO
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing areas
		Reduced cover/shade	
		Reduced input to river of leaves, insects	Reduced rearing habitat quality
Detour and levee reinforcement and setback levees	1	Bank disturbance	Reduced spawning and/or rearing habitat quality
Installation of aeration facilities	21	Changes in channel morphology and hydraulics	Disturbance of spawning and/or rearing habitat
Removal of in-water docks, vessels, or barriers	1, 15, 16	Channel disturbance	Disturbance of spawning and/or rearing habitat
		Disturbance of benthic habitat	Decreased foraging success
Construction of dikes to maintain adjacent land uses	2, 4, 5	Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat
		Increased turbidity*	Altered foraging success
			Altered predation risk
			Reduced DO
Installation of irrigation infrastructure and levees to control irrigation during vegetation establishment	2, 4	Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat
		Increased turbidity*	Altered foraging success
			Altered predation risk
			Reduced DO
<b>Maintenance</b>			
Use of in-water equipment; water control structure maintenance or replacement; infrastructure maintenance	1, 14, 16, 18, 19, 21	Increased turbidity*	Altered foraging success
			Altered predation risk
			Reduced DO
		Toxins (from sediments and spills)	Impairment of behavior, development, growth, survival, and/or reproduction
		Channel disturbance	Disturbance of spawning and/or rearing habitat
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat
Dredging	1, 4, 16	Increased turbidity*	Altered foraging success
			Altered predation risk
			Reduced DO
		Contaminant resuspension	Impairment of growth, survival, and/or reproduction
		Disturbance and/or removal of channel sediments	Impairment of spawning and/or rearing habitat
Disturbance, injury, and/or mortality of fish			
Levee maintenance (e.g., grading, breach repair, and riprap replacement)	2, 4, 5, 6, and 7	Increased turbidity*	Altered foraging success
			Altered predation risk
			Reduced DO
		Toxins (from sediments)	Impairment of growth, survival, and/or reproduction
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat
<p>DO = dissolved oxygen.</p> <p>* Elevated turbidity levels can have a positive or negative effect on fish, which varies by species and/or life stage, and based on a balance between protection from predators and the ability to see and capture prey (see Appendix 5.E, <i>Habitat Restoration</i>, for detailed turbidity suitability modeling results for the various covered fish species).</p>			

### 1 **5.H.3 Information on Covered Fish Species**

2 All covered fish species in the Plan Area potentially are affected by construction and maintenance  
3 activities. This section summarizes the potential spatial and temporal occurrence of these species in  
4 construction and maintenance areas during key life history events (spawning, rearing, and  
5 migration). Details on the life histories of fish species are provided in Appendix 2.A, *Species*  
6 *Accounts*, and summarized in Table 5.H.3-1 and Table 5.H.3-2 below.

7

1  
2

**Table 5.H.3-1. Potential Monthly Distribution of Adults and Juveniles of Non-Salmonid Fish Species in the Plan Area**

Species	Distribution	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Typical in-water construction window for <i>CM1 Water Facilities and Operation</i> . Note in-water construction activities for other conservation measures are currently unknown but will be conducted during the in-water work period to the extent practicable.													
<b>Delta Smelt<sup>1</sup></b>													
Adults	All BDCP subregions but most abundant in West Delta and Cache Slough												
Larvae	All BDCP subregions but most abundant in West Delta, Cache Slough, Suisun Marsh, and Suisun Bay												
Sub-Adults	All BDCP subregions but primarily in Suisun Bay, West Delta and Cache Slough												
<b>Longfin Smelt<sup>2</sup></b>													
Adults	All BDCP subregions but are most abundant in the West Delta, Suisun Marsh, and Suisun Bay												
Larvae	All BDCP subregions but are most abundant in the West Delta, Suisun Marsh, and Suisun Bay												
Sub-Adults	Primarily in West Delta, Suisun Marsh, and Suisun Bay												
<b>Splittail<sup>3</sup></b>													
Adults/spawners	All BDCP subregions migrating to floodplains and backwaters to spawn												
Larvae	In all BDCP subregions except Suisun Marsh and Suisun Bay with highest abundance in subregions with floodplains, Yolo and East Delta												
Juveniles	All BDCP subregions moving down river corridors to Suisun Marsh and Suisun Bay												
<b>Green Sturgeon<sup>4</sup></b>													
Adults	Suisun Bay, West Delta, North Delta, Cache Slough, South Delta												
Larvae-post larvae ≤ 10 mo.													
Juveniles	All BDCP subregions												

Species	Distribution	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
<b>White Sturgeon<sup>5</sup></b>													
Adult spawners	All BDCP subregions, except East Delta												
Adult estuarine feeders	All BDCP subregions												
Juveniles	All BDCP subregions												
<b>Pacific Lamprey<sup>6</sup></b>													
Adults	All BDCP subregions to spawning areas												
Ammocoetes	All BDCP subregions except Suisun Bay and Suisun Marsh												
Macrophthalmia	All BDCP subregions												
<b>River Lamprey<sup>7</sup></b>													
Adults	All BDCP subregions to spawning areas												
Ammocoetes	All BDCP subregions												
Macrophthalmia	All BDCP subregions												
Note: Shading indicates the period of expected presence. Darker shadings indicate potentially higher abundance. Hatched area indicates in-water work window period.													

1

1 **Table 5.H.3-2. Potential Monthly Distribution of Adults and Juveniles of Salmonids**

Species	Distribution	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Typical in-water construction window for <i>CM1 Water Facilities and Operation</i> . Note in-water construction activities for other conservation measures are currently unknown but will be conducted during the in-water work period to the extent practicable.													
<b>Fall-Run Chinook Salmon<sup>8</sup></b>													
Adults	All BDCP subregions but most abundant in North and West Delta												
Juveniles	All BDCP subregions												
<b>Late Fall-Run Chinook Salmon<sup>9</sup></b>													
Adults	North and West Delta												
Juveniles	North and West Delta and possibly all BDCP subregions												
<b>Winter-Run Chinook Salmon<sup>10</sup></b>													
Adults	Sacramento River corridor of Yolo Bypass, Cache Slough, North Delta, and West Delta												
Juveniles	All BDCP subregions, but primarily within the Sacramento River corridor of Yolo Bypass, Cache Slough, North Delta, and West Delta												
<b>Spring-Run Chinook Salmon<sup>11</sup></b>													
Adults	Primarily within the Sacramento River corridor of Yolo Bypass, Cache Slough, North Delta, and West Delta												
Juveniles	Primarily within the Sacramento River corridor of Yolo Bypass, Cache Slough, North Delta, and West Delta												
<b>Steelhead, Central Valley Distinct Population Segment (DPS)<sup>12</sup></b>													
Adults	All BDCP subregions but most abundant in West and North Delta												
Juveniles	In all BDCP subregions but most abundant in the North Delta subarea												
Note: Shading for salmonids indicates potential abundance based on actual catch data described in Appendix 2.A, <i>Species Accounts</i> . Darker shades indicate potential higher abundance. Hatched area indicates in-water work window period.													



1

2 Sources for Table 5.H.3-1 and Table 5.H.3-2:

3 <sup>1</sup> Bennett 2005; Baxter et al. 2008; California Department of Fish and Game 2007; Moyle et al. 1992; Nobriga and Herbold 2009; Sommer et al. 2011.4 <sup>2</sup> Rosenfield 2010; Hieb and Baxter 1993; Baxter 1999a; Dege and Brown 2004; Bennett et al. 2002; Moyle 2002; Hobbs et al. 2006; Rosenfield and  
5 Baxter 2007; Feyrer et al. 2003.6 <sup>3</sup> Baerwald 2007; Moyle et al. 2004; Feyrer et al. 2005; Crain et al. 2004; T. Ford pers. comm.; T. Heyne pers. comm.; M. Horvarth pers. comm.; Baxter  
7 1999b; Sommer et al. 1997; Caywood 1974; Meng and Matern 2001; Daniels and Moyle 1983; Sommer et al. 2001; Feyrer and Baxter 1998; Kratville  
8 2008.9 <sup>4</sup> U.S. Fish and Wildlife Service 2002a; Moyle et al. 1992; Adams et al. 2002; National Marine Fisheries Service 2005a; Kelly et al. 2007; California  
10 Department of Fish and Game 2002; BDAT, fall midwater trawl green sturgeon captures from 1969 to 2003; Nakamoto et al. 1995; Heublein et al.  
11 2006.12 <sup>5</sup> Moyle 2002; Surface Water Resources 2004; Welch et al. 2006; Pacific States Marine Fisheries Commission 1996; Kolhorst 1976; Wang 1986; Israel et  
13 al. 2009; Schaffter 1997.14 <sup>6</sup> Morrow 1980; Moyle 2002; Brown and Moyle 1993; Streif 2008; Ruiz-Campos and Gonzalez-Guzman 1996; Renaud 2008; Swift et al. 1993; Roffe and  
15 Mate 1984.16 <sup>7</sup> Moyle 2002; Vladykov and Follett 1958; Moyle et al. 1995; Beamish and Youson 1987; Beamish and Neville 1995; Streif 2008.17 <sup>8</sup> State Water Project and Federal Water Project fish salvage unpublished data 1981–1988; Yoshiyama et al. 1998; Moyle 2002; Martin et al. 2001;  
18 Snider and Titus 2000; U.S. Fish and Wildlife Service 2001.19 <sup>9</sup> State Water Project and Federal Water Project fish salvage unpublished data 1981–1988; Yoshiyama et al. 1998; Moyle 2002; Martin et al. 2001; U.S.  
20 Fish and Wildlife Service 2001; Jones & Stokes Associates, Inc. 2002; S. P. Cramer and Associates, Inc. 2000, 2001; Schaffter 1980.21 <sup>10</sup> Yoshiyama et al. 1998; Moyle 2002; Myers et al. 1998; Martin et al. 2001; Snider and Titus 2000; U.S. Fish and Wildlife Service 2006; Jones & Stokes  
22 Associates, Inc. 2002; S.P. Cramer and Associates, Inc. 2000, 2001; Schaffter 1980.23 <sup>11</sup> Yoshiyama et al. 1998; Moyle 2002; Myers et al. 1998; Lindley et al. 2006; California Department of Fish and Game 1998; McReynolds et al. 2005;  
24 Ward et al. 2002, 2003; Snider and Titus 2000; U.S. Fish and Wildlife Service 2001; Jones & Stokes Associates, Inc. 2002; S.P. Cramer and Associates,  
25 Inc. 2000, 2001; Schaffter 1980.26 <sup>12</sup> Hallock et al. 1961; McEwan 2001; California Department of Fish and Game 1995; Hallock et al. 1957; Based on limited unpublished data from DFG  
27 Steelhead Report Card; California Department of Fish and Game unpublished data; Nobriga and Cadrett 2003.

28

## 1 **5.H.4 Construction and Maintenance Activities**

2 This section contains a brief overview of the conservation measures and associated construction  
3 and maintenance activities that potentially could affect covered fish species. Chapter 3 includes  
4 detailed descriptions of each of these conservation measures.

### 5 **5.H.4.1 Construction Activities**

#### 6 **5.H.4.1.1 CM1 Water Facilities and Operation**

7 Construction activities associated with *CM1 Water Facilities and Operation* include: constructing  
8 three north Delta intakes, installing pipelines connecting the intakes to an intermediate forebay in  
9 the North Delta subregion, constructing tunnels along the eastern edge of the Delta (North Delta,  
10 West Delta, South Delta subregions), and constructing the Byron Tract Forebay in the South Delta  
11 subregion. Inverted siphon structures will be constructed to connect certain pipeline facilities. The  
12 following sections describe the construction and maintenance activities associated with *CM1 Water*  
13 *Facilities and Operation* that have the potential to affect covered fish species.

##### 14 **5.H.4.1.1.1 Construction of Intakes**

15 Three intake facilities (Intakes 2, 3, and 5) between about Sacramento River Mile (RM) 41 (about  
16 1 mile upstream of Clarksburg) and RM 37 (about 2 miles upstream of the town of Courtland) will  
17 be constructed, affecting the Sacramento River channel and bank. The location, dimensions, and  
18 construction footprints of each of the intakes are provided in Table 5.H.4-1. A single intake, along  
19 with the infrastructure needed to construct it (e.g., cofferdam), is shown in Figure 5.H.4-1.

1 **Table 5.H.4-1. Dimensions of North Delta Intakes and Associated Construction Footprints**

North Delta Intake No.	Intake Construction Duration	Pile Driving Duration <sup>1,2</sup>	Location (River Mile)	Length of Screened Intake (feet) <sup>3</sup>	Total Intake and Transition Wall Length (feet) <sup>3</sup>	In-Water Area Temporarily Isolated inside Cofferdam (acres)	In-Water Area Permanently Affected by Screened Intake Footprint (acres)	Dredging Area Outside of Cofferdams (acres)
2	December 2017 to August 2021	June to September 2019	41	1,800	2,400	3.1	2.1	4.5
3	September 2017 to July/August 2021	June to October 2019	40	970	1,560	1.6	1.1	2.7
5	October 2017 to July 2021	July to October 2019	37	1,650	2,400	2.8	1.9	4.9
Total				4,420	6,360	7.5	5.1	12.1
<sup>1</sup> It is anticipated that 16 feet of cofferdam could be built in a single day. <sup>2</sup> It is anticipated the barge landing pile driving would occur during the same time period as the cofferdam pile driving. <sup>3</sup> Estimates based on intake designs from GIS Revision 10.								

2

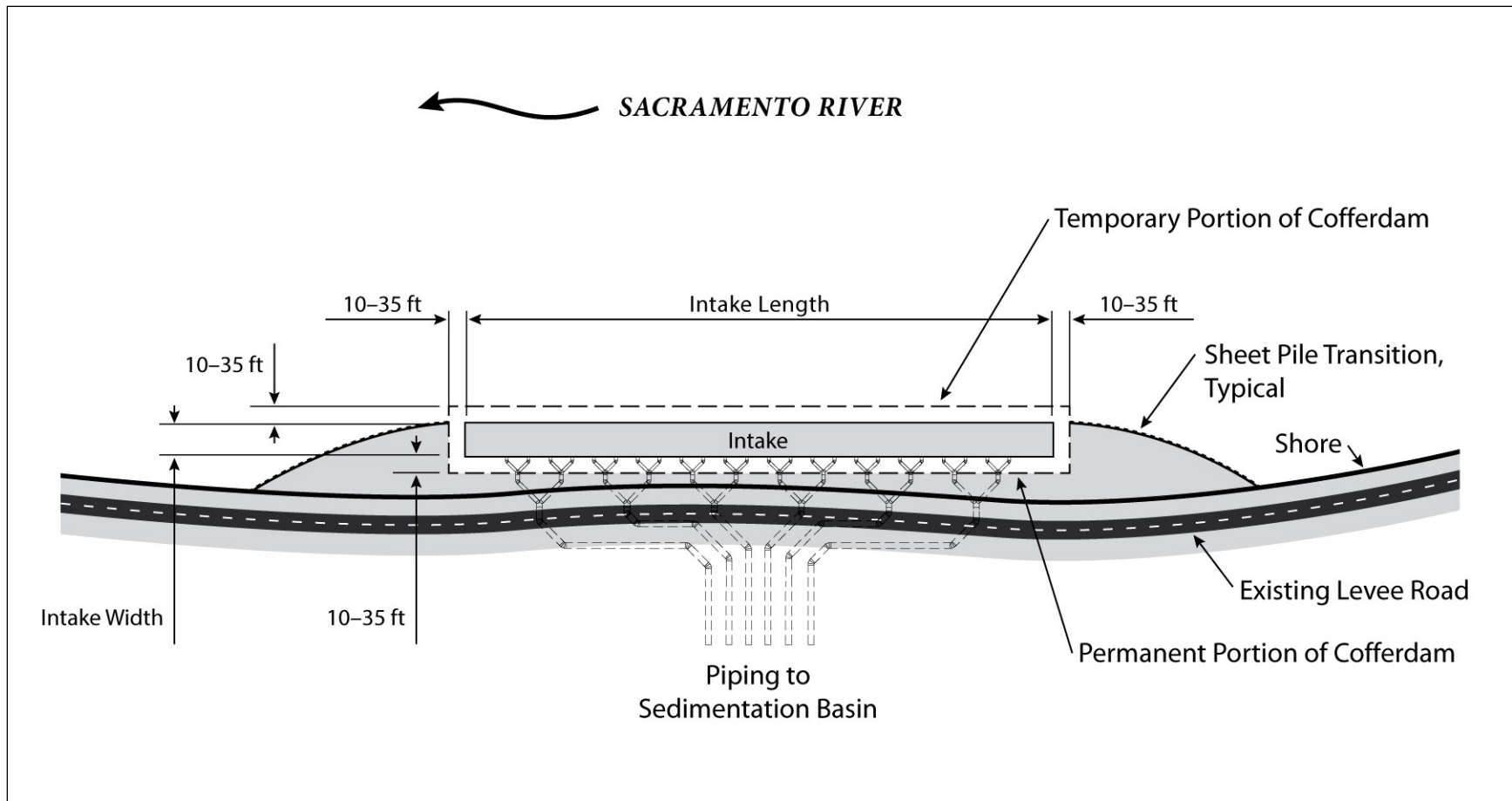


Figure 5.H.4-1. Representative Intake with Cofferdam and Transition Walls

1  
2

1 Constructing the three intakes is expected to take between 3.5 and 4.5 years to complete, with all of  
2 the intakes constructed concurrently. Constructing each intake will involve installing a sheet-pile  
3 cofferdam in the river during the first construction season (June through October, 2017), which will  
4 isolate a majority of the in-water work area around each intake during the remaining years of the  
5 intake construction process. Some clearing and grubbing at the construction site may be required  
6 prior to installing the sheet-pile cofferdam, depending on site conditions (e.g., presence of  
7 vegetation and/or riprap bank protection). Clearing and grubbing activities may include removing  
8 riprap, vegetation, and garbage from the levee and/or channel area within the aquatic habitat,  
9 depending on the specific placement of the sheet piles and the existing conditions. Once the  
10 cofferdam is installed, the area within the cofferdam will be dewatered, to the extent possible. Water  
11 pumped from within the cofferdams will be treated (removing all sediment), using settling basins or  
12 Baker tanks, and returned to the river. Following dewatering, the area behind the newly constructed  
13 cofferdam is no longer considered “in-water.” Work within the cofferdam will progress, with  
14 excavation and foundation-pile installations to support the intake structures but with no or  
15 substantially minimized effects on the aquatic environment.

16 Each of the three cofferdams (one at each intake) will be constructed during the first construction  
17 season (see Table 5.H.4-1). Although multiple pile drivers likely will be needed to construct each  
18 intake cofferdam because of their overall size, the primary use of vibratory pile driving methods to  
19 install the sheet-pile cofferdam sections will minimize effects of underwater noise on fish. Vibratory  
20 pile driving does not generate underwater sound levels to cause instantaneous or cumulative injury  
21 to fish. Pile driving generally will be restricted to a typical 8-hour, daylight-only work day during the  
22 approved in-water work window.

23 The geological conditions at each site are not yet known, and it is probable that some portion of the  
24 sheet piles will need to be impact-driven because of subsurface conditions. For a conservative  
25 estimate, it was assumed that the proportion of sheet piles needing to be impact-driven would be  
26 similar to that experienced at the nearby Freeport intake facility (30%). It is not possible to use  
27 standard sound attenuation devices for sheet pile because these devices need to completely encircle  
28 the impact-driven pile to be effective, while sheet piles are interlaced and thus cannot be effectively  
29 encircled.

30 Once the geotechnical work is complete, California Department of Water Resources (DWR) will be  
31 able to estimate more accurately the locations and amount of impact driving necessary to achieve  
32 the engineering requirements of the sheet-pile cofferdam (some of which likely will remain in place  
33 and become a permanent part of the intake structure). To the extent practicable, impact pile driving  
34 will not occur simultaneously at adjacent intakes, minimizing the potential for overlapping sound  
35 fields from adjacent intakes. This also will minimize the total area where cumulative sound levels  
36 exceed the threshold in a given day, and provide additional noise refuges for fish along the entire  
37 length of river where the intakes are constructed.

#### 38 **5.H.4.1.1.2 Intake Site Dredging**

39 It is assumed that after the intakes are completed and the cofferdam removed, the area in front of  
40 the intake will need to be dredged to provide appropriate flow conditions at the intake entrance.  
41 Although initial estimates of these areas are provided in Table 5.H.4-1, these are only approximate  
42 and are based on preliminary geotechnical data. If required, the dredging will occur during the  
43 approved in-water work window and will be minimized to the maximum extent practicable. It is also

1 assumed that periodic maintenance dredging may be needed to maintain appropriate flow  
2 conditions.

### 3 **5.H.4.1.1.3 Construction of Pipelines and Portals**

4 Covered activities will involve subsurface conveyance pipelines and portal structures to access  
5 subsurface tunnels. The subsurface tunnels will be constructed from portals that will provide access  
6 for equipment and materials, and for removing tunnel muck. These portals and tunnel muck storage  
7 areas all will be located in upland areas and will not affect the aquatic environment. These areas will  
8 be designed to minimize the potential for stormwater runoff to surface waters; therefore, they will  
9 not be discussed in this appendix.

### 10 **5.H.4.1.1.4 Construction of Barge Landings**

11 Six temporary barge landing sites will be constructed to provide access for equipment and materials  
12 barged to the portal construction sites. The six barge landings are located on or near the locations  
13 listed below.

- 14 • State Route (SR) 160 west of Walnut Grove
- 15 • Venice Island
- 16 • Bacon Island
- 17 • Woodward Island
- 18 • Victoria Island
- 19 • Tyler Island

20 The specific design of the barge landings is unknown at this time, but typically will include  
21 temporary docks supported by piles driven in the river, although floating barges will be used when  
22 possible to minimize in-water construction activities.

### 23 **5.H.4.1.2 Conservation Measures Focused on Restoration**

24 Restoration construction activities, under *CM2 Yolo Bypass Fisheries Enhancement*, *CM4 Tidal*  
25 *Natural Communities Restoration*, *CM5 Seasonally Inundated Floodplain Restoration*, *CM6 Channel*  
26 *Margin Enhancement*, *CM7 Riparian Natural Community Restoration*, and *CM10 Nontidal Marsh*  
27 *Restoration*, also may affect covered fish species. Restoration will likely include pre-breach  
28 management of the restoration site to promote desirable vegetation and elevations within the  
29 restoration area and levee maintenance, improvement, or redesign. This may require substantial  
30 earthwork outside, but adjacent to, tidal and other aquatic environments. Levee breaching will  
31 require removing levee materials from within and adjacent to tidal and other aquatic habitats. These  
32 materials could be placed on the remaining levee sections, placed within the restoration area, or  
33 hauled to a disposal area. Some restoration may include much more extensive construction  
34 activities, specifically restoration activities in the Yolo Bypass, where drainage and other  
35 agricultural facilities may need to be installed or relocated. Table 5.H.2-1 summarizes this  
36 information by conservation measure.

#### 1        **5.H.4.1.2.1    CM2 Yolo Bypass Fisheries Enhancement**

2        The expected Fremont Weir and Yolo Bypass construction activities are listed below.

- 3        ● Modifying the Fremont Weir and Yolo Bypass.
- 4        ● Constructing a deep fish passage channel in the Yolo Bypass.
- 5        ● Replacing the Fremont Weir fish ladder.
- 6        ● Constructing experimental sturgeon ramps at the Fremont Weir.
- 7        ● Modifying the stilling basin.
- 8        ● Improving the Sacramento Weir.
- 9        ● Making improvements at the Tule Canal/Toe Drain.
- 10       ● Realigning lower Putah Creek.

#### 11       **5.H.4.1.2.2    CM4 Tidal Natural Communities Restoration**

12       Tidal habitat restoration is expected to provide habitat for most of the covered fish species, although  
13       the use of specific restored areas will vary by species and life stage. *CM4 Tidal Natural Communities*  
14       *Restoration* will occur in the restoration opportunity areas (ROAs) of Suisun Marsh, Cache Slough,  
15       West Delta, South Delta, and the Cosumnes-Mokelumne Rivers. The restoration measures are  
16       expected to improve conditions gradually over time, as the restorations mature functionally.  
17       However, the biological goals (species responses) typically are expected to occur by year 15. Below  
18       is a list of construction activities for tidal habitat restoration.

- 19       ● Excavating channels to encourage the development of sinuous, high-density, dendritic channel  
20       networks within restored marsh plain.
- 21       ● Modifying ditches, cuts, and levees to encourage more natural tidal circulation and better flood  
22       conveyance based on local hydrology.
- 23       ● Removing or relocating infrastructure, including levee breaching to restore tidal connectivity.
- 24       ● Removing existing levees or embankments or creating new structures to allow restoration to  
25       take place while protecting adjacent land.
- 26       ● Prior to breaching, recontouring the surface to maximize the extent of surface elevation suitable  
27       for establishment of tidal marsh vegetation (marsh plain) by scalping higher elevation land to  
28       provide fill for placement on subsided lands to raise surface elevations.
- 29       ● Prior to breaching, importing dredged or fill material and placing it in shallowly subsided areas  
30       to raise ground surface elevations to a level suitable for establishment of tidal marsh vegetation  
31       (marsh plain).
- 32       ● Prior to breaching, cultivating stands of tules through flood irrigation for sufficiently long  
33       periods to raise subsided ground surface to elevations suitable to support marsh plain. Levees  
34       will be breached when target elevations are achieved. Irrigation infrastructure and levees will  
35       need to be installed or retained to control irrigation during the establishment period.
- 36       ● Possibly constructing dikes to maintain existing land uses when tidal habitat is restored  
37       adjacent to farmed lands or lands managed as freshwater seasonal wetlands.

### 1        **5.H.4.1.2.3    CM5 Seasonally Inundated Floodplain Restoration**

2        Construction activities to restore floodplains are listed below.

- 3        • Lowering the elevation of restored floodplain surfaces or modifying river channel morphology  
4        to increase inundation frequency and duration and to establish elevations suitable for the  
5        establishment of riparian vegetation by either active planting or allowing natural establishment.
- 6        • Setting levees back along selected river corridors and removing or breaching levees.
- 7        • Removing existing riprap or other bank protection to allow for channel migration between the  
8        setback levees through the natural processes of erosion and sedimentation.
- 9        • Modifying channel geometry in unconfined channel reaches or along channels where levees are  
10       set back in order to create backwater habitat.
- 11       • Selectively grading restored floodplain surfaces to provide drainage of overbank floodwaters  
12       such that the potential for fish stranding is minimized.
- 13       • Actively establishing riparian habitat on floodplains.

### 14       **5.H.4.1.2.4    CM6 Channel Margin Enhancement**

15       Channel margin enhancement actions often will be implemented in conjunction with seasonally  
16       inundated floodplain and riparian natural community restoration conservation measures  
17       (*CM5 Seasonally Inundated Floodplain Restoration* and *CM7 Riparian Natural Community Restoration*,  
18       respectively).

19       Below is a list of channel margin enhancements.

- 20       • Removal of riprap from channel margins where levees are set back to restore seasonally  
21       inundated floodplains.
- 22       • Modification of the outboard side of levees or setback levees to create low floodplain benches  
23       with variable surface elevations that create hydrodynamic complexity and support emergent  
24       vegetation.
- 25       • Installation of large woody material (e.g., tree trunks and stumps) into constructed low benches  
26       or into existing riprapped levees to provide physical complexity.
- 27       • Planting of riparian and emergent wetland vegetation on created benches.

### 28       **5.H.4.1.2.5    CM7 Riparian Natural Community Restoration**

29       Riparian natural community restoration will include the establishment/reestablishment of forest  
30       and scrub vegetation in restored floodplain areas (*CM5 Seasonally Inundated Floodplain*  
31       *Restoration*), consistent with floodplain land uses and flood management requirements. Riparian  
32       restoration also will be a component in some *CM4 Tidal Natural Communities Restoration* and  
33       *CM6 Channel Margin Enhancement* restoration projects.



### 1 5.H.4.1.3 Other Conservation Measures That Include Construction

2 Other conservation measures that include construction activities with the potential to affect covered  
3 fish are *CM14 Stockton Deep Water Ship Channel Dissolved Oxygen Levels*, *CM15 Localized Reduction*  
4 *of Predatory Fishes*, *CM16 Nonphysical Fish Barriers*, *CM18 Conservation Hatcheries*, *CM19 Urban*  
5 *Stormwater Treatment*, and *CM21 Nonproject Diversions* (Table 5.H.0-1 and Table 5.H.2-1). All of  
6 these conservation measures will require at least some in-water work to install and/or remove  
7 facilities. Additionally, some work will be on the levee or bank adjacent to aquatic habitat.

8 *CM16 Nonphysical Fish Barriers* specifically involves installing piles to support the nonphysical  
9 barrier structure within the channel in addition to placing telemetry equipment up- and  
10 downstream of the barrier. Nonphysical barriers that may be installed probably will be similar to  
11 the three-component barriers tested at the head of Old River in 2009–2010 and at Georgiana Slough  
12 in 2011 (ICF International 2010; California Department of Water Resources 2012). The design  
13 consists of a multi-stimulus fish barrier that combines high intensity light-emitting diode (LED)  
14 modulated intense lights (MILs), an air bubble “curtain,” and sound at frequencies and levels that  
15 are repellent to Chinook salmon (Bowen et al. 2009; Bowen and Bark 2010). Nonphysical barriers  
16 will differ in length based on the width of channel that fish are to be deterred away from. For  
17 example, the Georgiana Slough barrier scheduled to be tested in 2011 was around 700 feet long with  
18 18 piles (ICF International 2010) whereas the head of Old River barriers tested in 2009 and 2010  
19 were around 370 and 450 feet long, respectively, and included four piles (Bowen et al. 2009; Bowen  
20 and Bark 2010). Typical piles are 12-inch-diameter, open-end steel pipes that are driven with a  
21 vibratory pile driver in the wetted channel from a barge. Concrete pier blocks also may be placed to  
22 provide additional support to the barrier frame structure; four such pier blocks covering 16 square  
23 feet each were required for the 2011 Georgiana Slough nonphysical barrier, for example (ICF  
24 International 2010; California Department of Water Resources 2012). Depending on the exact  
25 location, vegetation and/or riprap may need to be removed to ready the channel for the piles and  
26 the remainder of the structure (light, sound, and air supply).

27 *CM21 Nonproject Diversions* will involve removal of individual diversions that have relatively large  
28 effects on covered fish species; consolidation of multiple smaller unscreened diversions into a single  
29 or fewer screened diversions placed in lower quality habitat; or reconfiguration and screening of  
30 individual diversions in higher-value habitat. This will involve on-bank construction activities such  
31 as clearing vegetation and in-water work such as possible dredging and modifications of pipe and in-  
32 water structures and placing a screen over existing diversions. If consolidation of multiple smaller  
33 diversions occurs, a sheet-pile cofferdam will be needed on the water side of the riverbank along the  
34 outermost edge of the intake structure footprint. While cofferdam construction will vary based upon  
35 the soil that exists in each work area, it is likely that sheet piles will be installed using vibratory  
36 methods. Once completed, the cofferdam will be dewatered prior to the installation of the intake  
37 structure foundation, where feasible. The sheet piling will extend to the top of the sloped soil bank.  
38 A pile foundation for the intake structure then will be installed by driving piers within the  
39 dewatered in-channel section of the cofferdam and within the bank section of the cofferdam. If  
40 dewatering is not feasible, a bubble curtain (or similar device) will be used to minimize underwater  
41 sound levels from pile driving. These piers will extend beneath the structure and down into the  
42 substrate. A pipeline will be constructed from the intake structure to the pump station. The length  
43 and diameter will be based on site-specific and project-specific requirements, but construction  
44 impacts associated with the pipeline installment will be similar. The alignment for the pipeline will  
45 be excavated from the bank of the river using an extended-arm excavator.

## 1 **5.H.4.2 Maintenance Activities**

### 2 **5.H.4.2.1 CM1 Water Facilities and Operation**

3 The proposed intake facilities will require routine or periodic adjustment and tuning to remain  
4 consistent with design intentions. Facility maintenance will include activities such as painting,  
5 cleaning, repairs, and other routine tasks to operate facilities in accordance with design standards  
6 after construction and commissioning. Many of these maintenance activities will not be conducted in  
7 water or have the potential to affect covered fish. However, maintenance activities associated with  
8 river intakes could include removing sediment, debris, and biofouling materials. These maintenance  
9 activities could require suction dredging or mechanical excavation around intake structures;  
10 dewatering; or use of underwater diving crews, boom trucks or rubber wheel cranes, and raft- or  
11 barge-mounted equipment. Maintenance dredging will be conducted periodically, as necessary to  
12 return the bathymetry adjacent to the intakes to the as-built condition. This maintenance dredging  
13 typically will be limited to the approved in-water work window (June through October) to minimize  
14 potential effects on the covered species. Routine visual inspection of the facilities will be conducted  
15 to monitor performance and prevent mechanical and structural failures of project elements.

### 16 **5.H.4.2.2 Conservation Measures Focused on Restoration**

17 Maintenance of restoration areas may include dredging or other earthwork, vegetation removal or  
18 installation, and maintenance of drainage or other facilities constructed or included in the restored  
19 area. Most of the proposed restoration activities are designed to require as little maintenance as  
20 possible, but over the 50-year permit period, some maintenance may be required to ensure the best  
21 possible performance of these sites. Typically, maintenance for the restoration projects will consist  
22 of the following activities.

- 23 ● Watering/irrigation (limited to transition and/or upland areas for tidal wetland projects).
- 24 ● Weed removal/control.
- 25 ● Re-planting.
- 26 ● Debris removal.
- 27 ● Sediment removal.
- 28 ● Vegetation pruning/culling/removal.

29 Levee maintenance may be needed for some sites and could include activities such as grading,  
30 breach repair, and riprap replacement. Other restoration maintenance activities are listed below.

- 31 ● Water control structure maintenance/replacement (canal gates, flashboard risers).
- 32 ● Infrastructure maintenance/replacement (fences, gates, gravel access roads).
- 33 ● Instream woody material replacement.

34 Periodically, maintenance activities in the Yolo Bypass subregion (*CM2 Yolo Bypass Fisheries*  
35 *Enhancement*) may include sediment removal from the Fremont Weir area using graders,  
36 bulldozers, excavators, dump trucks, or other machinery. A recent record of maintenance activities  
37 indicates that it will be reasonable to expect approximately 1 million cubic yards (MCY) of sediment  
38 may be removed within 1 mile of the weir an average of every 5 years. An additional 1 MCY of  
39 sediment conservatively is anticipated to be removed inside the new channel every other year as

1 part of routine sediment management activities. Where feasible, work will be conducted under dry  
2 conditions; some dredging may be required to maintain connection along the deepest part of the  
3 channel for fish passage.

#### 4 **5.H.4.2.3 Other Conservation Measures**

5 Of the remaining conservation measures (*CM14 Stockton Deep Water Ship Channel Dissolved Oxygen*  
6 *Levels*, *CM15 Localized Reduction of Predatory Fishes*, *CM16 Nonphysical Fish Barriers*, *CM18*  
7 *Conservation Hatcheries*, *CM19 Urban Stormwater Treatment*, and *CM21 Nonproject Diversions*), all  
8 but *CM15 Localized Reduction of Predatory Fishes* may require maintenance, but any maintenance  
9 will be expected to be very minimal. Maintenance activities may include clearing debris from around  
10 the nonphysical barrier, aeration facilities, or bioretention facilities, and making repairs to the  
11 facilities. Major repair or maintenance likely will be conducted outside of the aquatic environment.

### 12 **5.H.5 Methods Used to Evaluate Potential** 13 **Construction and Maintenance Effects on** 14 **Covered Fish Species**

15 The methods used to evaluate the potential effects of construction and maintenance activities on  
16 covered fish species are discussed below according to specific stressors of concern, including  
17 potential increases in underwater sound above fish tolerance levels, degradation of water quality,  
18 habitat modification, and physical injury and loss of individual fish.

#### 19 **5.H.5.1.1 Underwater Sound**

20 Pile driving will be the primary source of underwater sound. Two types of pile driving will occur:  
21 impact pile driving (generating potentially adverse underwater sound levels) and vibratory pile  
22 driving (generating sound levels not considered adverse to fish). The construction of *CM1 Water*  
23 *Facilities and Operation* likely will use both impact and vibratory pile driving, and *CM16* will use  
24 only vibratory pile driving. *CM1 Water Facilities and Operation* will consist of driving sheet piles and  
25 support piles to construct the cofferdams, as well as the intake foundation piles installed inside of  
26 the completed and dewatered cofferdams. However, if dewatering is not feasible, a bubble curtain or  
27 similar device will be used to minimize in-water impact-pile driving sound levels. While these  
28 intake foundation piles may be cast-in-drilled-hole (CIDH) construction, requiring little or no pile  
29 driving (only for limited testing to ensure that they have adequate bearing capacity), this analysis  
30 assumes the worst-case scenario of driving the foundation piles with a combination of vibratory and  
31 impact hammer methods. Constructing the restoration or other conservation measures will not use  
32 pile driving and therefore will not generate underwater sound at levels of concern.

33 Underwater sound generated by pile driving in or near surface waters potentially can harm covered  
34 fish. Because of geologic or other conditions at some sites, some piles likely will require impact pile  
35 driving for installation<sup>1</sup>. Research indicates that impact pile driving can result in injuries to fish if

---

<sup>1</sup> It should be noted that DWR proposes to use a vibratory driver/extractor for constructing the cofferdam, landing piles, and removing existing and temporary piles or use CIDH methods for the foundation piles. Vibratory and CIDH pile installation methods have low potential for adverse effects on fish. However, geological conditions have not

1 the peak sound pressure levels are high enough or exposure is long enough. DWR intends to use  
2 vibratory (or other nonimpact) methods to install cofferdam, intake foundation, and barge landing  
3 piles, to the maximum extent practicable. Vibratory pile driving is assumed not to produce sound  
4 pressure levels that could injure fish or substantially alter their behavior. In-water pile driving  
5 typically will occur only during the approved in-water work windows to minimize the potential for  
6 covered fish species to be exposed to harmful underwater sound pressure levels. Pile driving  
7 outside of the approved work window typically will occur inside dewatered cofferdams, or within a  
8 bubble curtain (or similar device) to minimize sound levels and potential fish injuries.

9 Pile driving with the potential to cause underwater noise at levels of concern includes all impact pile  
10 driving activities, including of sheet piles for the cofferdams at the intake sites, foundation piles for  
11 the intake structures, piles to support temporary docks at the barge landing sites, and piles installed  
12 for the nonphysical barriers. However, vibratory pile driving will be used at all these locations, to  
13 the maximum extent practicable, to minimize potential effects.

14 Underwater sound associated with *CM1 Water Facilities and Operation* impact pile driving is  
15 evaluated quantitatively. Underwater sound associated with vibratory pile driving is considered to  
16 have substantially fewer effects on fish and therefore is not analyzed quantitatively.<sup>2</sup>

17 Details on construction of cofferdams, foundation piles, and barge landings are not known at this  
18 time, so a number of very conservative assumptions and published information<sup>3</sup> and information  
19 from other in-water construction projects (e.g., Freeport intake construction and Red Bluff  
20 Diversion Dam construction) were used to evaluate the potential effects on fish resulting from  
21 underwater sound during construction. The specific approach is included below.

- 22 • Developing assumptions associated with pile driving.
- 23 • Determining underwater sound levels generated from impact pile driving developed by the  
24 California Department of Transportation (Caltrans) (2009) and estimating the attenuation of  
25 sound using a spreadsheet model created by the National Marine Fisheries Service (NMFS)  
26 (2009).
- 27 • Applying pile-driving assumptions to the presence and life stages of covered fish species to  
28 determine whether effects will occur.

29 Approximately 30% of the cofferdam sheet piles installed to construct the Freeport intake required  
30 impact driving. Therefore, as a conservative assumption, it was assumed for this analysis that 30%  
31 of the cofferdam, foundation, and barge landing piles will require impact driving under *CM1 Water  
32 Facilities and Operation*, despite indications from preliminary geotechnical surveys that suggest a  
33 greater level of vibratory pile driving may be achievable at the intake sites.

34 Effects threshold criteria are based on criteria specified in Agreement in Principle for Interim  
35 Criteria for Injury to Fish from Pile Driving Activities (Fisheries Hydroacoustic Working Group  
36 2008). Four sound metrics are commonly used in evaluating underwater noise (hydroacoustic)

---

been evaluated at specific sites, and based on other projects in the area (e.g., Freeport), some impact driving likely  
will be necessary.

<sup>2</sup> NMFS assumes that there may be a behavioral response (startle response or avoidance) for fish exposed to sound  
levels above 150 dB RMS. It is generally assumed that vibratory pile driving may cause fish might to avoid the area  
when it is occurring, but it does not result in any injury or mortality.

<sup>3</sup> Underwater sound monitored and reported by California Department of Transportation (2009).

1 impacts on fish. Refer to Caltrans guidance (2009) for a detailed discussion of sound metrics and  
2 analysis methods<sup>4</sup>.

- 3 • Peak sound pressure level (PEAK) is the highest sound pressure level experienced during a  
4 single pile strike.
- 5 • Single-strike sound exposure level (SEL) is a measure of the total sound energy associated with  
6 a single-strike event normalized to one second.
- 7 • Cumulative SEL ( $SEL_{cumulative}$ ) is a measure of the cumulative sound energy that occurs over the  
8 duration of a day of impact pile driving exposure.  $SEL_{cumulative}$  is calculated from the single-strike  
9 SEL and the number of strikes per day.
- 10 • RMS (root mean squared) sound pressure level is the square root of the mean squared pressure  
11 (the average of the squared pressures over the period of time that contains the portion of the  
12 waveform that includes 90% of the sound).

13 Dual interim criteria were developed by the Fish Hydroacoustic Work Group to identify the  
14 maximum underwater sound levels that are not expected to injure fish. The dual thresholds for  
15 impact pile driving are 206 decibels (dB) for the peak sound pressure level, and 187 dB for the  
16  $SEL_{cumulative}$  for fish larger than 2 grams, and 183 dB  $SEL_{cumulative}$  for fish smaller than 2 grams. The  
17  $SEL_{cumulative}$  threshold is based on the cumulative daily exposure of a fish to noise sources that are  
18 discontinuous (e.g., only occur for 1–12 hours in a day, with more than 12 hours between exposure).  
19 Although not well-documented, NMFS assumes that there may be a behavioral response (startle  
20 response or avoidance) for fish exposed to sound levels above 150 dB RMS.

21 The methods used to evaluate potential underwater sound effects on covered fish from construction  
22 activities are very conservative in that a “reasonable worst case” approach is taken to estimate the  
23 duration and area affected by impact pile driving. DWR proposes to use a vibratory pile driver to  
24 install all driven piles. However past experience at nearby locations in the Sacramento River  
25 indicates that this may not be feasible. As such, the analysis includes a conservative assumption that  
26 a relatively large proportion (30%) of the pile driving will require impact driving and uses the  
27 maximum number of strikes likely to occur in a day to estimate  $SEL_{cumulative}$ . In addition, some or all  
28 of the foundation piles could be CIDH construction, which will eliminate or substantially minimize  
29 any pile driving within the cofferdams.

30 The interim criteria also are set to be conservatively protective of fish. Recent research (California  
31 Department of Transportation 2010; Ruggione et al. 2008) has demonstrated that barotrauma  
32 (physical injury to organs and tissues from sound pressure waves) or mortality did not occur in fish  
33 exposed to  $SEL_{cumulative}$  exposures in the range of 194 to 207 dB  $SEL_{cumulative}$ , well above the interim  
34 criteria. To date, however, NMFS has not indicated that they will accept a higher threshold. Further,  
35 the NMFS model assumes that a fish is stationary within the impact area throughout the entire  
36 exposure (a day of pile driving). However, most of the covered species are expected to use the river  
37 reach near the intakes primarily as a migratory corridor, and studies indicate relatively fast  
38 migration rates for a number of the covered species (Del Real et al. 2011; Holbrook et al. 2009;  
39 Heublein et al. 2008; Parsley et al. 2008; Vogel 2010).

---

<sup>4</sup> In this document, all underwater peak and RMS decibel levels are referenced to 1 micropascal ( $\mu\text{Pa}$ ), and SEL values are referenced to 1  $\mu\text{Pa}^2$ -second.

1 The assumed sound attenuation rate used in the model is also conservative. The distance to  
2 attenuation assumes open water, and therefore overestimates the criteria for narrow, sinuous  
3 (winding) water bodies like rivers and sloughs (sound radiates straight outward from the source  
4 and is attenuated as it encounters bends in the river/slough). Consequently, evaluating potential  
5 underwater sound effects on covered fish from the covered activities involved the following  
6 procedures.

- 7 • Estimating conservative source sound levels (peak and single-strike SEL at 10 meters from the  
8 driven pile) by comparing measured underwater sound levels collected during pile driving  
9 events where similar pile type, pile driver, and attenuation methods were used (California  
10 Department of Transportation 2009).
- 11 • Assuming that impact pile driving inside of a dewatered cofferdam will produce in-water sound  
12 levels at least 10 dB lower than a similar pile driven in the water, a similar attenuation level is  
13 expected with a bubble curtain during in-water pile driving of an individual pile.
- 14 • Estimating the number of impact pile strike per day. For this analysis, a maximum of 12 piles  
15 driven per day at each intake and 700 strikes per pile are used as conservative estimates.
- 16 • Using the NMFS developed spreadsheet model to estimate the SEL<sub>cumulative</sub> and the distance  
17 within which pile driving sound levels will exceed the peak and SEL<sub>cumulative</sub> interim criteria.  
18 Sound attenuates [decreases] underwater as the distance from the source increases<sup>5</sup>.
- 19 • Identifying the distance for underwater sound to attenuate to below the interim criteria, and  
20 determining where any exceedances occur and potentially overlap with species presence to  
21 determine which species and life stages could be affected.
- 22 • Estimating the exposure of covered species, assuming that impact driving will occur 30% of the  
23 days falling within the in-water work window when the cofferdams are being constructed. For  
24 each barge landing, it should be assumed that 32 piles will be installed over a period of  
25 15 workdays, and impact driving will occur on 5 of those days (30%).
- 26 • Assuming that in-water pile driving at each intake will take place only during the first year of  
27 construction, and during the approved in-water construction period.
- 28 • Assuming that pile driving inside the dewatered cofferdams (or within a bubble curtain or  
29 similar device) will occur at any time of the year.

30 Other sources of in-water noise include generator and engine vibration transmitted through the  
31 hulls of work barges and associated vessels, and dredge equipment. Noise levels produced by these  
32 sources typically are less than those associated with vibratory pile driving and are likely to be  
33 comparable to ambient noise conditions in the vicinity. For example, noise levels associated with  
34 barge and vessel operations are expected to be comparable to baseline noise produced by routine  
35 vessel traffic, which typically ranges from 160 to 190 dB<sub>peak</sub> at a range of 10 meters, depending on  
36 vessel size (Marine Aggregate Levy Sustainability Fund 2009). Dredge equipment noise will vary  
37 depending on equipment type. As an example, cutterhead dredges produce noise levels from 165 to  
38 185 dB<sub>peak</sub> at 1 meter from the source (Clarke et al. 2002; Sakhalin Energy 2004), which equates  
39 approximately to 150 to 170 dB<sub>peak</sub> at the standard reference distance of 10 meters used for  
40 hydroacoustic monitoring. Other types of construction equipment will be used within the dewatered  
41 work area around each intake site. Noise transmission from these sources will be effectively

---

<sup>5</sup> The NMFS spreadsheet uses an assumed transmission loss in the model.

1 contained by the work area to the extent that any noise transmitted to the aquatic environment is  
2 unlikely to exceed ambient conditions.

### 3 **5.H.5.1.2 Water Quality**

4 Construction and maintenance effects on water quality could result from in-water work and from  
5 stormwater discharges from upland construction areas adjacent to water bodies in the Plan Area.  
6 Potential effects are outlined below.

#### 7 **5.H.5.1.2.1 Erosion and Sedimentation**

8 Once in the aquatic environment, eroded sediments can result in direct impacts on resident fishes  
9 through gill damage and reduced capacity to take in oxygen. Indirect impacts can include increased  
10 metabolic costs associated with reduced dissolved oxygen (DO) intake ability, and reduced foraging  
11 ability as the result of decreased visibility. These activities could adversely affect covered fish  
12 species and their habitat (U.S. Bureau of Reclamation et al. 2011).

#### 13 **5.H.5.1.2.2 Turbidity**

14 Turbidity is a measure of water transparency that reflects the amount of suspended material within  
15 the water column. Turbidity in the Delta is often 20–40 nephelometric turbidity units (NTUs) and  
16 decreases to less than 10 NTUs during low-flow conditions. Turbidity increases in the rivers during  
17 high flows (to 250–500 NTUs) and is generally high in Suisun Bay (measurements of 50–100 NTUs  
18 are common) from tidal resuspension. Turbidity levels can be approximated from the inverse of  
19 Secchi depth measurements taken during stream surveys. For example, a Secchi depth of 25  
20 centimeters (cm) indicates a turbidity of 30 NTUs, and a Secchi depth of 50 cm refers to a turbidity  
21 of 15 NTUs.

22 Although turbidity is an important characteristic for many native fish both to see prey and to hide  
23 from predators, fish responses to high turbidity may include avoidance /displacement, reduced  
24 foraging success, and increased predation risk (Meehan and Bjornn 1991; Bash et al. 2001).  
25 However, sensitivity to changes in turbidity varies by species and/or life stage, and reflects a  
26 balance between effective foraging ability and predator avoidance. As a result, turbidity-tolerant  
27 species (e.g., delta smelt) are more apt to benefit from increased turbidity, while turbidity-sensitive  
28 species (e.g., salmonids) are more prone to be negatively affected. Therefore, turbidity criteria for  
29 construction-related effects typically are based on increases over background levels, a basis  
30 applicable for a range of baseline conditions and species likely to encounter construction-generated  
31 turbidity (Section 5.H.6.1.3.1).

#### 32 **5.H.5.1.2.3 Toxins**

33 Toxic substances are present in both water and sediment in the Delta aquatic environment. In-water  
34 construction activities will result in resuspension of sediments that may contain toxic contaminants.  
35 When the toxins are in river channel sediments, they can enter the food chain via benthic organisms.  
36 If contaminated sediments are disturbed and become suspended in the water column, they also  
37 become available to pelagic organisms, including covered species and planktonic food sources of  
38 covered species. Thus, construction-related disturbance of contaminated bottom sediments opens a  
39 potential pathway to the food chain and may increase the bioavailability of certain toxins. Because  
40 the toxins are entering the water column attached to sediment, their movement is closely linked to  
41 turbidity, which measures the amounts of particulates in the water column.

1 The potential effects of toxins on covered fish species will depend on the types and concentrations of  
2 the toxins in disturbed sediments. Unfortunately, there are few available chemical data for  
3 sediments in the Delta. Toxins that tend to bind to particulates do not mix homogeneously into the  
4 sediment, and concentrations can vary widely over a small area.

5 Of the urban-related toxic constituents identified in Appendix 5.D, *Contaminants*, metals (lead and  
6 copper), hydrocarbons, organochlorine pesticides, and polychlorinated biphenyls (PCBs) are  
7 common urban contaminants with the greatest affinity for sediments. Agriculture-related toxins  
8 include copper and organochlorine pesticides.

9 Lead, PCBs, and hydrocarbons (typically oil and grease) are common urban contaminants that are  
10 introduced to aquatic systems via nonpoint-source stormwater drainage, industrial discharges, and  
11 municipal wastewater discharges. Lead, PCBs, and oil and grease all tend to adhere to soils, although  
12 some lighter components of oil and grease can become dissolved in water. Because they adhere to  
13 particulates, they tend to settle out close to the source and likely will be found at highest  
14 concentrations adjacent to the urban areas. PCBs are very persistent, adsorb to soil and organics,  
15 and bioaccumulate in the food chain. Lead also will adhere to particulates and organics but does not  
16 bioaccumulate at the same rate as PCBs. Hydrocarbons will biodegrade over time in an aqueous  
17 environment and do not tend to bioaccumulate; thus, they are not persistent.

18 Dredging has the potential for release of sediment contaminants during dredging of the channel and  
19 from beneficial uses and/or disposal of dredged material. Although these constituents are already  
20 present in the Delta waterways, they are present in the water within the sediments (i.e., pore water)  
21 and they are not readily available in the water column above the sediments. Dredging activities will  
22 result in some resuspension of the sediments. Measured sediment plumes from hydraulic dredging  
23 operations (Hayes et al. 2000) suggest that less than 0.1% of disturbed sediments and associated  
24 contaminants would likely be resuspended as a result of hydraulic dredging cutterhead operations.  
25 Therefore, the potential release of contaminants from suspended sediment is expected to be limited  
26 because many of the chemical constituents are lipophilic and will preferentially sorb or attach to  
27 organically enriched or fine particles of sediment. In addition, these sediments are expected to  
28 resettle to the bottom relatively quickly.

29 Upland disposal of dredge spoils for controlled decanting and potential beneficial uses could alter  
30 surface water quality conditions in adjacent receiving bodies. These spoils may contain a number of  
31 constituents at levels considered potentially toxic to organisms. At the spoils pond, most of the  
32 solids will settle out of the water, although a small portion may remain in suspension. Elutriate  
33 sampling will be used to monitor and control, if necessary, this potential impact on water quality  
34 (i.e., toxicity). All decant water will be held until it has been determined through analysis that the  
35 water will meet all water quality objectives and will not pose a threat to aquatic biota.

36 Intake construction and maintenance dredging volumes for *CM1 Water Facilities and Operation* have  
37 not been formally specified. However, the current estimates indicate the total dredging and channel  
38 reshaping surface area for *CM1 Water Facilities and Operation* is about 14.6 acres, including  
39 12.1 acres of dredging outside of the cofferdams at the north Delta intakes. Annual maintenance  
40 dredging volumes are expected to be of a similar order of magnitude. These dredge areas are on the  
41 low end of the range for other dredging projects that have occurred in the lower river and estuary  
42 over the past decade. As substantial adverse contaminant exposures have not been reported from  
43 such projects, it is reasonable to conclude that the BDCP will not result in adverse effects from  
44 contaminant exposure. Moreover, the monitoring and adaptive management measures in



1 *CM1 Water Facilities and Operation* are expected to limit turbidity generation, further limiting the  
2 release of sediment-bound contaminants into the water column.

3 On this basis, construction and maintenance dredging and dredged materials management under  
4 the BDCP are not expected to result in significant contaminant-related effects on water quality,  
5 sediment quality, or covered fish species.

#### 6 **5.H.5.1.2.4 Methylmercury Production**

7 Mercury is a toxin of concern in the Delta and is present throughout the Delta system as a result of  
8 historical mining operations. Inorganic mercury tends to stay sequestered in sediments but, under  
9 certain biogeochemical conditions, can be transformed to a more toxic and bioavailable form called  
10 methylmercury. Mercury methylation is primarily a product of sulfur-reducing bacteria and is  
11 supported in anoxic environments, such as marshes. The bacterial action, and thus the rate of  
12 methylmercury production, is dependent on a wide range of environmental parameters, including  
13 temperature, salinity, pH, oxygenation, and redox. Current understanding of the fate and transport  
14 of mercury and methylmercury in the Delta and potential effects on covered fish species is described  
15 in Appendix 5.D, *Contaminants*.

16 Production of methylmercury is not expected to result from construction and maintenance  
17 activities. As explained above, mercury methylation is achieved mainly by bacterial activity in anoxic  
18 environments. Construction activities will disturb and possibly suspend sediments that contain  
19 mercury into the water column, but this will not result in the bacterial activity that will result in  
20 methylation. In addition, *CM12 Methylmercury Management* provides procedures to minimize  
21 methylmercury production in restoration areas. This conservation measure also includes a  
22 framework to evaluate site-specific probability of elevated mercury concentrations, preconstruction  
23 site characterization of mercury levels, and monitoring and reporting requirements. For  
24 construction and operation of nonrestoration conservation measures where the probability of  
25 mercury methylation is low, permits may require preconstruction sediment characterization and  
26 appropriate best management practices (BMPs) to minimize suspension of mercury-contaminated  
27 sediments into the water column.

#### 28 **5.H.5.1.2.5 Accidental Spills**

29 Construction-related activities may affect water quality through accidental spills of contaminants,  
30 including cement, oil, fuel, hydraulic fluids, paint, and other construction-related materials.  
31 Depending on the type and magnitude of an accidental spill, contaminants can directly affect growth  
32 and survival of covered fish species.

33 The first step in evaluating potential water quality effects was to screen construction and  
34 maintenance activities to identify those that have the potential to result in adverse effects on water  
35 quality and then define those effects. A summary of this screening methodology is presented in  
36 Table 5.H.5-1, and results are presented in Section 5.H.6. This screening was followed by  
37 assessments of appropriate avoidance and minimization measures, as described in *CM22 Avoidance  
38 and Minimization Measures* such as *AMM3 Stormwater Pollution Prevention Plan*, *AMM4 Erosion and  
39 Sediment Control Plan*, *AMM7 Barge Operations Plan*, and *AMM2 Construction Best Management  
40 Practices and Monitoring* to minimize potential water quality effects to the maximum extent  
41 practicable. Applicable state and federal permits will also require that water quality parameters  
42 such as turbidity remain below specified thresholds that are protective of covered fish species. The

1 evaluation of effects on covered fish species was based on the potential for water quality effects to  
 2 occur in the same area and timeframe as covered fish.

3 **Table 5.H.5-1. Potential for Construction Activities to Affect Water Quality**

Activity	Conservation Measures	Location	Potential Water Quality Effects	Avoidance and Minimization Measures
Channel dredging/excavation	4, 5, 15, 21	In-water	<ul style="list-style-type: none"> <li>• Increased turbidity</li> <li>• Resuspension of toxins attached to sediments</li> <li>• Disturbance/removal of channel sediments</li> <li>• Injury or loss of benthic invertebrates</li> </ul>	<ul style="list-style-type: none"> <li>• AMMs establish BMPs to minimize suspension of bottom sediments</li> <li>• Basin Plan requirements limit turbidity levels</li> </ul>
Installation of sheet pile for cofferdam	1, 21	In-water	<ul style="list-style-type: none"> <li>• Increased suspension of bottom sediments and turbidity</li> <li>• Suspension of toxic-contaminated sediment</li> </ul>	<ul style="list-style-type: none"> <li>• AMMs establish BMPs to minimize suspension of bottom sediments</li> <li>• Basin Plan requirements limit turbidity levels</li> </ul>
Pile driving	1, 16, 21	In-water	<ul style="list-style-type: none"> <li>• Increased suspension of bottom sediments and turbidity</li> <li>• Suspension of toxic-contaminated sediment</li> </ul>	<ul style="list-style-type: none"> <li>• AMMs establish BMPs to minimize suspension of bottom sediments</li> <li>• Basin Plan requirements limit turbidity levels</li> </ul>
Discharge of treated water from dewatering activities	1	In-water	<ul style="list-style-type: none"> <li>• None</li> </ul>	<ul style="list-style-type: none"> <li>• Water will be treated prior to discharge and will meet environmental commitments (see Appendix 3.C)</li> <li>• NPDES permit requirements</li> </ul>
Stormwater discharge (from upland construction areas)	1, 2, 4, 5, 6, 7, 14, 15, 16, 18, 19, 21	In-water	<ul style="list-style-type: none"> <li>• Small discharges from upland construction areas</li> </ul>	<ul style="list-style-type: none"> <li>• Subject to AMMs</li> <li>• NPDES Permit requirements</li> </ul>
Accidental spills (from construction equipment)	1, 2, 4, 5, 6, 7, 14, 18, 19, 21	In-water	<ul style="list-style-type: none"> <li>• Small discharges of petroleum products</li> </ul>	<ul style="list-style-type: none"> <li>• AMMs</li> <li>• Pollution prevention programs</li> </ul>
Excavation for restoration	2, 4, 5, 6, and 7	In-water	<ul style="list-style-type: none"> <li>• Increased suspended sediment</li> <li>• Mobilization of toxic-contaminated sediment</li> </ul>	<ul style="list-style-type: none"> <li>• AMMs establish BMPs to minimize suspension of bottom sediments</li> <li>• Basin Plan requirements limit turbidity levels</li> </ul>
Basin Plan = Central Valley Regional Water Quality Control Board's <i>Water Quality Control Plan for the Sacramento and San Joaquin River Basins</i> . BMPs = best management practices. NPDES = National Pollutant Discharge Elimination Service.				

4

## 1 **5.H.5.1.3 Modification to Habitat**

### 2 **5.H.5.1.3.1 Removal/Destruction of Cover**

3 Cover describes the physical components of an aquatic environment that provide shelter and hiding,  
4 resting, rearing, holding, and feeding areas for fish. Aquatic plants, trees, and large woody debris  
5 (e.g., tree limbs, logs, rootwads) provide cover. The occurrence of many aquatic species depends on  
6 the size, density, and continuity of suitable cover. Cover could be temporarily or permanently  
7 removed during restoration activities such as levee reconstruction and/or breaching.

### 8 **5.H.5.1.3.2 Changes to Channel Hydraulics**

9 Channel morphology, along with flow, affects hydraulics, and together channel morphology and  
10 hydraulics influence the conditions that support fish movements and provide holding, rearing, and  
11 spawning habitat. Depending on the size and location of levee breaches for habitat restoration, there  
12 could be temporary hydraulic changes until newly opened areas become stabilized. Stabilization  
13 occurs as sediment gradually fills in the sites, raising elevations and decreasing the tidal prism and  
14 associated flow velocities.

### 15 **5.H.5.1.3.3 Changes in Salinity**

16 Breaching of levees also could change the concentration and location of salinity gradients in the  
17 Delta by increasing tidal flows in wetland channels. The magnitude of the salinity effects will depend  
18 on the location and breach connection and the area of the new tidal wetlands.

### 19 **5.H.5.1.3.4 Changes in Flow Velocities**

20 Changes in tidal flow velocities are a concern when they are above the sustained swimming speeds  
21 of fish species. Chinook salmon are strong swimmers compared to delta smelt and can move in and  
22 out of high velocity areas if necessary. However, young splittail could be excluded from edge habitat  
23 if velocities are high. Velocity changes are less likely to affect steelhead, green sturgeon, and adult  
24 splittail. Excess velocities typically are addressed adaptively through modifications of breach  
25 locations and sizes (U.S. Bureau of Reclamation et al. 2011).

26 Spawning, rearing, and migration habitat of covered fish species (Section 5.H.3) could be  
27 temporarily or permanently disturbed or removed because of construction or maintenance  
28 activities. The methods for determining the temporary or permanent effects on habitat are  
29 discussed below.

30 For *CM1 Water Facilities and Operation*, existing habitat conditions of importance to fish were  
31 summarized using the Sacramento River Bank Protection Project revetment database (U.S. Army  
32 Corps of Engineers 2007). This database covers levees that are part of the Sacramento River Flood  
33 Control Project. In the Plan Area, the Sacramento River is one of the major channels important to  
34 covered fish species that is included in the database. The revetment database was used to  
35 summarize several features of existing habitat that may be important to covered fish species,  
36 including water depth, presence of revetment, emergent vegetation coverage, overhead cover, and  
37 woody material. The summary of bankline features was used to provide context for the potential  
38 effects of *CM1 Water Facilities and Operation* intake facilities. Each intake is expected to have  
39 between 0.6 and 1.2 miles of permanent shoreline habitat disturbance and 0.2 to 0.6 mile of  
40 temporary habitat disturbance (see Table 5.H.6-5 and Table 5.H.6-6).

1 For the remaining conservation measures, the exact location and timing of the construction are  
2 unknown. Therefore, a qualitative analysis regarding habitat modification was prepared using best  
3 professional judgment and the information in Section 5.H.3 on spawning, rearing, and migration  
4 habitats of covered fish species and the monthly presence of species by life stage in the Plan Area.

#### 5 **5.H.5.1.3.5 Changes in Turbidity and Nutrient Cycling**

6 As described in Appendix 5.E, *Habitat Restoration*, overall turbidity is not expected to change as a  
7 result of habitat restoration. Habitat restoration could affect nutrient cycling and delivery of  
8 phytoplankton, zooplankton and nutrients in the Delta, with beneficial effects on covered species.  
9 For more details, please refer to Appendix 5.E, *Habitat Restoration*.

### 10 **5.H.5.1.4 Physical Injury or Loss of Individuals**

#### 11 **5.H.5.1.4.1 Entrapment**

12 Physical injury or loss of individual fish could occur without proper precautions, although some  
13 injuries and losses may be unavoidable. For example, under *CM1 Water Facilities and Operation*, in-  
14 water work associated with facility construction may include the use of temporary barriers to buffer  
15 pile driving sounds. Use of these temporary barriers has the potential to entrap fish and result in  
16 physical injury or loss of individual fish during entrapment or fish removal.

#### 17 **5.H.5.1.4.2 Dredging/Excavation**

18 Excavation along banks and channel dredging for CM1, CM2, CM4, CM5, CM6, CM7, CM14, CM15,  
19 CM16, CM18, CM19, and CM21 could cause excessive erosion or disturbance of bottom sediments.  
20 Suction dredging, mechanical excavation, and front end-loading equipment can capture or crush fish  
21 causing injury or mortality.

## 22 **5.H.6 Results of Analysis of Construction Effects on** 23 **Covered Fish Species**

24 The following subsections discuss results of the analysis of potential stressors and effects resulting  
25 from construction activities. Results are organized according to conservation measure.

### 26 **5.H.6.1 CM1 Water Facilities and Operation**

#### 27 **5.H.6.1.1 Presence of Fish Species during Conservation Measure 1** 28 **Construction**

##### 29 **5.H.6.1.1.1 Salmonids**

30 In-water construction activities for *CM1 Water Facilities and Operation* will be scheduled in order to  
31 avoid the peak migrations of salmonids but will overlap with some early migrating (late fall-run), or  
32 late (spring-run) adults, early steelhead adults, or late emigrating juveniles. Juvenile salmon and  
33 occasional adult salmon also may be present near the barge landings during in-water construction  
34 of those sites.

### 1       **5.H.6.1.1.2   Delta Smelt**

2       While delta smelt typically occur well downstream, they occasionally occur in the intake  
3       construction areas around the spawning season. Although egg, larva, and adult life stages of delta  
4       smelt are all potentially present in the vicinity of the intake and barge landing areas during June, the  
5       timing of cofferdam installation (June through October) will avoid most of the spawning season  
6       when delta smelt are most likely to be present. The number of fish potentially migrating past the site  
7       of the intakes during the in-water construction window likely will be a very small portion of the  
8       overall population, based on run timing. Therefore, effects from construction at the intake sites on  
9       delta smelt are expected either not to occur or to be very minimal.

### 10       **5.H.6.1.1.3   Longfin Smelt**

11       Longfin smelt likely are not present in the Sacramento River near the intake facilities. Therefore,  
12       effects from construction at the intake sites on longfin smelt are expected either not to occur or to be  
13       very minimal.

### 14       **5.H.6.1.1.4   Splittail**

15       Although larva and juvenile stages of Sacramento splittail are potentially present in the vicinity of  
16       the intake and barge landing areas between April and July, their prevalence is likely very low.  
17       Typically, splittail are least prevalent in the north Delta, east Delta, and south Delta, and therefore  
18       the number of fish potentially present in the vicinity of the intakes and barge landings during the in-  
19       water construction window (June through October) will be limited. Although Sacramento splittail  
20       likely would be more abundant during wet years, the construction effects are expected to have  
21       minimal effects on their overall populations. Splittail are not expected to occur near the intake sites  
22       for extended periods because of the limited availability of preferred habitat (i.e., moderately shallow  
23       [less than 4 meters] brackish and freshwater tidal sloughs and shoals [Moyle et al. 2004; Feyrer et  
24       al. 2005]). These preferred habitats would be particularly less likely to occur at the intake areas  
25       during wet years because of the increased water depths and velocities in the confined channels  
26       adjacent to the intakes sites. In addition, the use of cofferdams will minimize potential construction  
27       effects in subsequent years, once they are installed.

### 28       **5.H.6.1.1.5   Green and White Sturgeon**

29       Adult and juvenile sturgeon could occur year-round in the Sacramento River but are expected to  
30       occur somewhat infrequently at the intake sites, as individuals from both species spend the majority  
31       of their overall lives in deep brackish portions of the estuary, or in the ocean (Moyle 2002; Surface  
32       Water Resources, Inc. 2004; Welch et al. 2006). A small number of adults could use the intake sites  
33       during the in-water construction window as a migratory corridor back to the ocean, and during the  
34       tail end of the spawning migration season in June, resulting in only a small portion of the population  
35       potentially affected. Therefore, the number of fish potentially migrating past the site of the intakes  
36       and barge landings during the in-water construction window will be relatively small compared to  
37       their overall spawning populations. However, juveniles are likely to be moderately affected during  
38       the first year of construction, when the cofferdams are installed. Entrainment during construction  
39       and maintenance dredging activities are also likely to result in injury or mortality to some juvenile  
40       and adult sturgeon.

### 5.H.6.1.1.6 Pacific and River Lamprey

Pacific and river lamprey ammocoetes are present year-round in the Sacramento River and possibly in the construction area. Presence of ammocoetes in the area is dependent on the substrate. Appropriate substrate is needed for burial of ammocoetes. Pacific lamprey adults migrate upstream to spawn between January and June, with spawning extending through August, while river lamprey primarily migrate between September and November and spawn through June (Beamish 1980; Moyle 2002; Streif 2007; Luzier et al. 2009). The ammocoetes will be rearing and adults will be using the area as a migratory corridor. The number of lamprey potentially migrating past the site of the intakes and barge landings during the in-water construction window will be small compared to their overall populations. However, individual ammocoetes present in the vicinity of in-water work activities are expected to be affected by these activities. Entrainment during construction and maintenance dredging are particularly likely to result in injury or mortality.

### 5.H.6.1.2 Underwater Sound

The following discussion summarizes the evaluation of underwater noise generated by pile driving activities with regard to potential adverse effects on fish. Predicted underwater sound levels are compared to the interim threshold criteria currently used by NMFS to assess potential injury to fish from pile driving. These injury threshold criteria are:

- 206 dB<sub>peak</sub>
- 187 dB SEL<sub>cumulative</sub> for fish 2 grams or greater
- 183 dB SEL<sub>cumulative</sub> for fish less than 2 grams

These criteria relate to impact pile driving only. Vibratory pile driving is generally accepted as a mitigation measure for reducing detrimental underwater noise from pile driving and is not considered to result in injury to fish. This evaluation is based on conservative assumptions regarding the *CM1 Water Facilities and Operation* construction activities described in Section 5.H.4.1. Underwater sound levels were predicted using a spreadsheet model developed by NMFS. The calculation assumes that once the single-strike SEL value is attenuated to 150 dB there is no accumulation of sound energy relative to the cumulative SEL effects threshold. The distance at which the SEL value attenuates to 150 dB is therefore the maximum distance at which either of the cumulative SEL criteria can be exceeded.

#### 5.H.6.1.2.1 Cofferdam Installations

Temporary cofferdams constructed with sheet piles will be required at each intake and culvert siphon site. These piles will be installed primarily with vibratory driving, although some impact driving likely will be necessary. Project engineers indicate that 8 to 12 sheet piles could be driven per day with up to 700 strikes per pile (8,400 strikes per day). Impact driving of sheet piles is anticipated to result in single-strike sound levels of 205 dB<sub>peak</sub> and 180 dB SEL measured at a distance of 10 meters (California Department of Transportation 2009). Assuming attenuation at a rate of 4.5 dB per doubling of distance, the distances within which the criteria are predicted to be exceeded have been calculated and are provided in Table 5.H.6-1. The distance at which sound will attenuate to below 150 dB SEL is about 1,000 meters (3,280 feet), making this the maximum distance within which either the 183 dB or 187 dB SEL<sub>cumulative</sub> effects threshold criteria might be exceeded.

1 **Table 5.H.6-1. Summary of Underwater Sound Levels Expected during Impact Pile Driving Activities**  
 2 **and Distances Where Effect Thresholds May Be Exceeded**

Pile Type	Number of Piles Driven per Day	Number of Strikes per Pile	Total Number of Strikes per Day	Peak Sound Level at 10 meters (dB <sub>peak</sub> )	Single Strike SEL at 10 meters (dB SEL)	Distance to 206 dB <sub>Peak</sub> (meters)	Distance to 187 dB-SEL <sub>cumulative</sub> (meters)	Distance to 183 dB-SEL <sub>cumulative</sub> (meters)
Sheet pile	12	700	8,400	205	180	<10	1,000	1,000
24-inch-diameter steel pipe	12	700	8,400	203	177	<10	631	631
24-inch-diameter steel pipe in dewatered cofferdam*	12	700	8,400	193	167	<10	136	136

\* In-water pile d with an attenuation device, such as a bubble curtain, would be similar.

3  
 4 Based on these parameters, the peak sound level will not be expected to exceed the interim injury  
 5 threshold criteria of 206 dB<sub>peak</sub>. The SEL<sub>cumulative</sub> is dependent on the source single-strike SEL and the  
 6 number of pile strikes in a day. Figure 5.H.6-1 illustrates the attenuation of SEL<sub>cumulative</sub> to the 187 dB  
 7 and 183 dB interim criteria for a number of sheet pile driving scenarios ranging from 5 strikes to  
 8 8,000 strikes in a day. However, under the assumed worst case scenario of 12 piles impact-driven in  
 9 a day, with a source sound level of 180 dB single-strike SEL and 700 strikes per sheet pile  
 10 (8,400 strikes in a day), SEL<sub>cumulative</sub> levels will exceed both the 183 dB SEL<sub>cumulative</sub> (for fish smaller  
 11 than 2 grams) and 187 dB SEL<sub>cumulative</sub> criteria (for fish larger than 2 grams) out to a distance of  
 12 approximately 1,000 meters (3,280 feet) from the pile being driven. For comparison, if only two  
 13 sheet piles were impact-driven in a day (1,000 strikes), the distance to the 187 dB SEL<sub>cumulative</sub>  
 14 criteria will be reduced to approximately 320 meters (about 1,050 feet).

15 In order to construct the cofferdams within one in-water work window, exceedance of these criteria  
 16 over some distance of the river likely will be unavoidable if extensive impact driving is required.  
 17 There are no effective methods to attenuate sound from impact driving of sheet piles because the  
 18 sheets need to be interlaced, and individual sheets cannot be isolated by casings or air bubble rings  
 19 as they are driven. Cofferdam installations also typically require some king (support) piles to  
 20 support the sheet-pile walls, particularly at the corners. These support piles are expected to produce  
 21 lower sound pressure levels than the sheet piles and are included in the estimate of up to 12 piles  
 22 driven per day and 700 pile strikes per pile. Therefore, the estimates calculated above for the sheet-  
 23 pile installation also apply to these other in-water pile driving activities.

24 **5.H.6.1.2.2 Intake and Pumping Plant Foundation Pile Installations**

25 After the cofferdam is constructed and dewatered, foundation piles will be installed to support the  
 26 intakes and pumping plant. As noted earlier, these piles will be CIDH piles, which do not require pile  
 27 driving (only drilling), or 24-inch-diameter steel pipe piles that are driven then filled with concrete.  
 28 It is anticipated that if piles are driven they will be primarily vibrated. However, as with the sheet-  
 29 pile installation, some of these foundation piles may require impact driving.

30 Project engineers estimate that 8 to 12 foundation piles could be driven per day, with up to  
 31 700 strikes per pile. Impact driving of 24-inch-diameter steel pipe piles in water would result in

1 single-strike sound levels of 203 dB<sub>peak</sub> and 177 dB SEL at 10 meters (California Department of  
2 Transportation 2009). These sound levels are expected to be attenuated by about 10 dB for piles  
3 driven inside a dewatered cofferdam, or with a bubble curtain or other similar device, resulting in  
4 single-strike sound levels of 193 dB<sub>peak</sub> and 167 dB SEL. Assuming an additional attenuation rate of  
5 4.5 dB per doubling of distance, the maximum distance within which the cumulative effects  
6 threshold criteria would likely be exceeded is about 136 meters (about 450 feet) from the impact  
7 pile driving locations (see Table 5.H.6-1 and Figure 5.H.6-2). This distance is shorter than the  
8 estimated width of the river at the three intake sites (between about 535 and 645 feet wide), likely  
9 providing some refuge area on the opposite side of the river for fish rearing and migration (see  
10 Table 5.H.6-3). During periods of vibratory pile driving or when fewer impact pile strikes are  
11 needed, the size of this refuge area will be greater (see Figure 5.H.6-2). Installing CIDH piles for the  
12 foundation will eliminate or substantially minimize pile driving activities and potential underwater  
13 noise level effects on fish.

14 No methods other than the attenuation provided by the dewatered cofferdam could be used to  
15 attenuate the sound further. In order to proceed with the construction, foundation piles could be  
16 driven at various times of the year, not just within the in-water work windows, so the potential for  
17 all the covered fish species to be exposed to increased sound levels is greater than for cofferdam  
18 sheet-pile installations, which will occur when fewer covered species are likely to be present.

19 Construction schedule projections assume that 12 piles could be installed at each intake site during  
20 each 8-hour work day. Measures described in *AMM9 Underwater Sound Control and Abatement Plan*  
21 (*Appendix 3.C, Avoidance and Minimization Measures*) specify that the construction contractor will  
22 use vibratory pile driving to the greatest extent practicable, switching to an impact hammer only  
23 when necessitated by site-specific geotechnical conditions. Vibratory noise will not be continuous.  
24 The hammer will be turned off to attach and position the next pile and, when necessary, to position  
25 and attach the impact hammer. These hammer-off activities typically require a minimum of 10 to  
26 20 minutes per pile, possibly longer. However, multiple pile drivers may be operated at each intake  
27 location and they will not operate synchronously, so periods of effective quiet may be shorter.

28 Impact pile driving will be far more limited in duration. Referring to the assumptions detailed in  
29 Section 5.H.5.1.1, the number of pile strikes per pile is estimated at 700 and 12 piles are expected to  
30 be installed in any given day at an intake location. Assuming a pile strike interval of 1.5 seconds  
31 (typical for most impact hammer configurations), this equates to 12.5 minutes of pile driving per  
32 pile and a maximum of about 150 minutes of impact pile driving per day at each location. As noted in  
33 Section 5.H.5.1.1, it is estimated that impact pile driving will be required only 30% of the time  
34 during the in-water work window (approximately 50 days total). This equates to a maximum of 125  
35 total hours of impact pile driving across all intake locations over the course of an entire 151-day  
36 annual work window.

### 37 **5.H.6.1.2.3 Barge Landing Pile Installations**

38 For the barge landings, up to 36 24-inch-diameter steel pipe piles will be needed to support the  
39 temporary docks at each of the six landings to provide service to the tunnel portals. These will be  
40 similar to the foundation piles described above, except driven in the water. Although predominantly  
41 vibratory methods will be used to drive these piles, geologic conditions at the sites are not known at  
42 this time, and some piles may require impact driving.

43 Impact driving of the steel pipe piles in water is anticipated to result in single-strike sound levels of  
44 203 dB<sub>peak</sub> and 177 dB SEL at 10 meters (California Department of Transportation 2009). Therefore,



1 the injury threshold criteria of 206 dB<sub>peak</sub> will not be exceeded. As with the other pile installations,  
2 project engineers estimate that up to 12 piles could be driven per day, with up to 700 strikes per  
3 pile. Assuming the same attenuation rate of 4.5 dB per doubling of distance, the SEL<sub>cumulative</sub> criteria  
4 are predicted to be exceeded over a distance of about 631 meters (2,070 feet) from the pile driving  
5 locations (see Table 5.H.6-1 and Figure 5.H.6-3). If an attenuation device is used (e.g., isolation  
6 casing, bubble curtain), the source sound levels are assumed to be attenuated by 10 dB (193 dB<sub>peak</sub>  
7 and 167 dB SEL at 10 meters). Therefore, the SEL<sub>cumulative</sub> criteria are predicted to be exceeded out to  
8 about 136 meters (450 feet) from the pile driving location (see Table 5.H.6-1 and Figure 5.H.6-2).  
9 Unlike the intake sites, however, this distance would extend across the entire width of the  
10 waterways, providing no potential refuge areas while impact pile driving is occurring.

#### 11 **5.H.6.1.2.4 Sound Effects Evaluation**

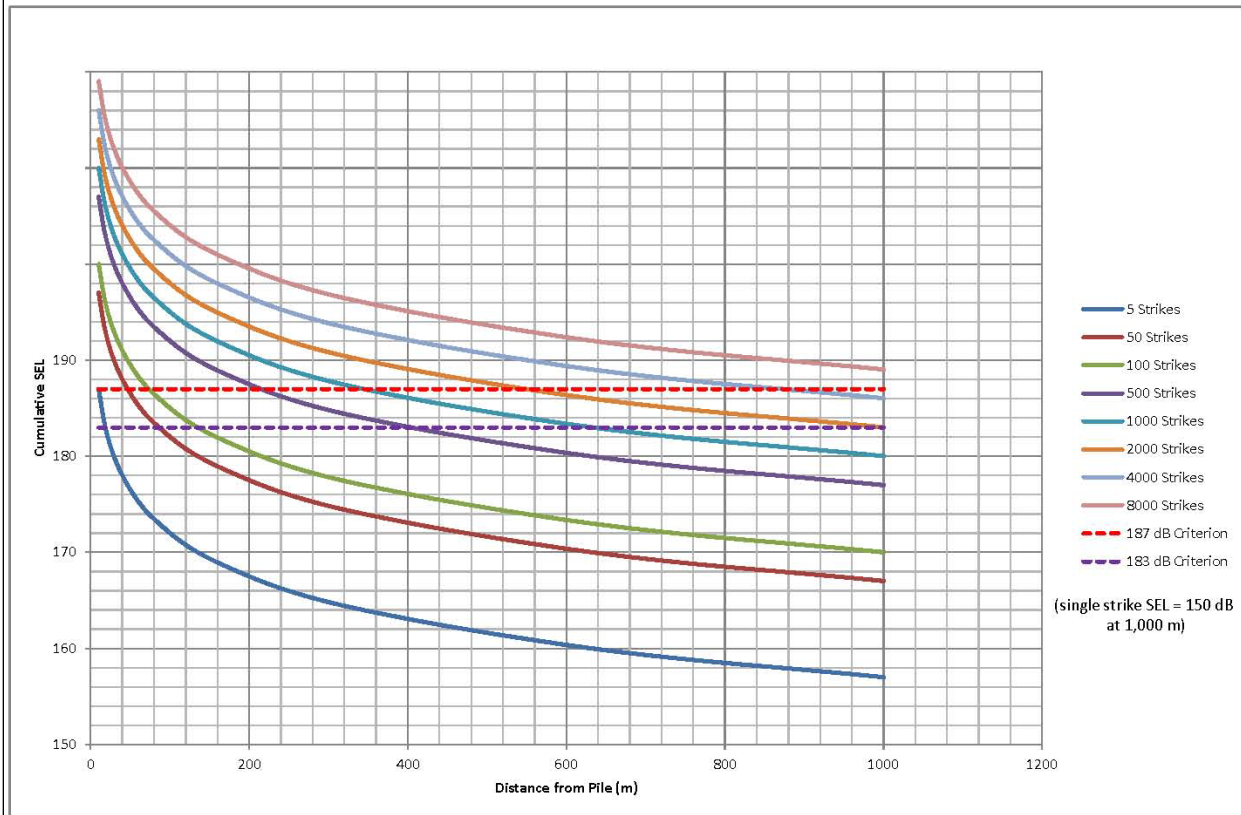
12 As discussed above, vibratory pile driving is generally accepted as an effective mitigation measure  
13 for minimizing or eliminating detrimental effects of pile driving on fish, as the resulting sound levels  
14 are not expected to cause injury to fish. However, vibratory driving can result in noninjurious effects  
15 on fish (modification of behavior). These fish may respond by avoiding the immediate vicinity  
16 during active vibratory driving, altering their migratory pathways, or changing territorial and  
17 foraging behavior. This potentially could expose individuals to increased predation risk, but this  
18 effect is not documented and therefore difficult to quantify.

19 While temporary migration delay is possible, there is little evidence that fish will significantly alter  
20 their migratory behavior in response to elevated underwater noise. Larval and juvenile fish species  
21 that are transported by currents will not be able to alter their behavior sufficiently to change the  
22 rate of migration through the affected area. Migratory adult and large juvenile salmonids or other  
23 fish species conceivably could respond to sound stressors, but available evidence suggests a  
24 significant migration delay is unlikely. For example, Carlson (1996) observed salmon and steelhead  
25 responses to vibratory pile driving in the Columbia River and found that avoidance responses  
26 typically were limited to exposure within 6 to 9 meters of the pile. He concluded that, because of the  
27 short range of this effect, vibratory pile driving is unlikely to have a significant effect on the  
28 migration behavior of juvenile salmonids. Similarly, Feist et al. (1992) observed juvenile salmonid  
29 migratory behavior in nearshore marine habitats in proximity to impact pile driving. They found  
30 that schools of juveniles exposed to pile driving noise exhibited initial startle responses but did not  
31 move offshore or measurably alter their migratory behavior. They also appeared to habituate to  
32 noise relatively quickly. This suggests that any migratory delay resulting from underwater noise  
33 exposure will be brief (minutes rather than hours) and most likely will occur relatively close to pile  
34 driving activity. Additionally, as described earlier, pile driving will occur intermittently through the  
35 8-hour workday and therefore may not affect migration behavior during non-pile driving periods.

36 Should impact driving of piles be required (it is assumed, based on construction of Freeport intakes,  
37 that approximately 30% of the piles will be impact-driven), fish in the vicinity of the intake and  
38 barge landing sites on days when impact driving occurs could be exposed to underwater noise levels  
39 exceeding the SEL<sub>cumulative</sub> interim threshold criteria. However, data show that the peak sound level  
40 criteria will not be exceeded based on the pile size/type assumed for this project. Figure 5.H.6-4  
41 shows the locations of the intakes and barge landings in the Delta subregions. Table 5.H.6-2  
42 illustrates the potential for presence of covered species (by life history stage) in the areas of the  
43 Delta where the intakes (North Delta subregion) and the barge landing sites (East and South Delta  
44 subregions) are located. Table 5.H.6-3 indicates the approximate area of water bodies exposed to  
45 underwater sound levels exceeding 183 dB SEL<sub>cumulative</sub>.

Pile	24-inch AZ steel sheet pile								
Peak (dB) at 10 m	205								
Single Strike SEL (dB) at 10 m	180								
Distance (m) to 150 dB SEL	1000								
Attenuation Factor	15								
Number of Strikes Per Day	5	50	100	500	1000	2000	4000	8000	
Distance from Pile (m)									
10	187	197	200	207	210	213	216	219	
20	182	192	195	202	205	208	212	215	
40	178	188	191	198	201	204	207	210	
80	173	183	186	193	196	199	202	205	
160	169	179	182	189	192	195	198	201	
320	164	174	177	184	187	190	193	196	
640	160	170	173	180	183	186	189	192	
1000	157	167	170	177	180	183	186	189	

Source Data: Table I.2-1 (Caltrans 2009). Attenuation of 4.5 dB per doubling of distance assumed.

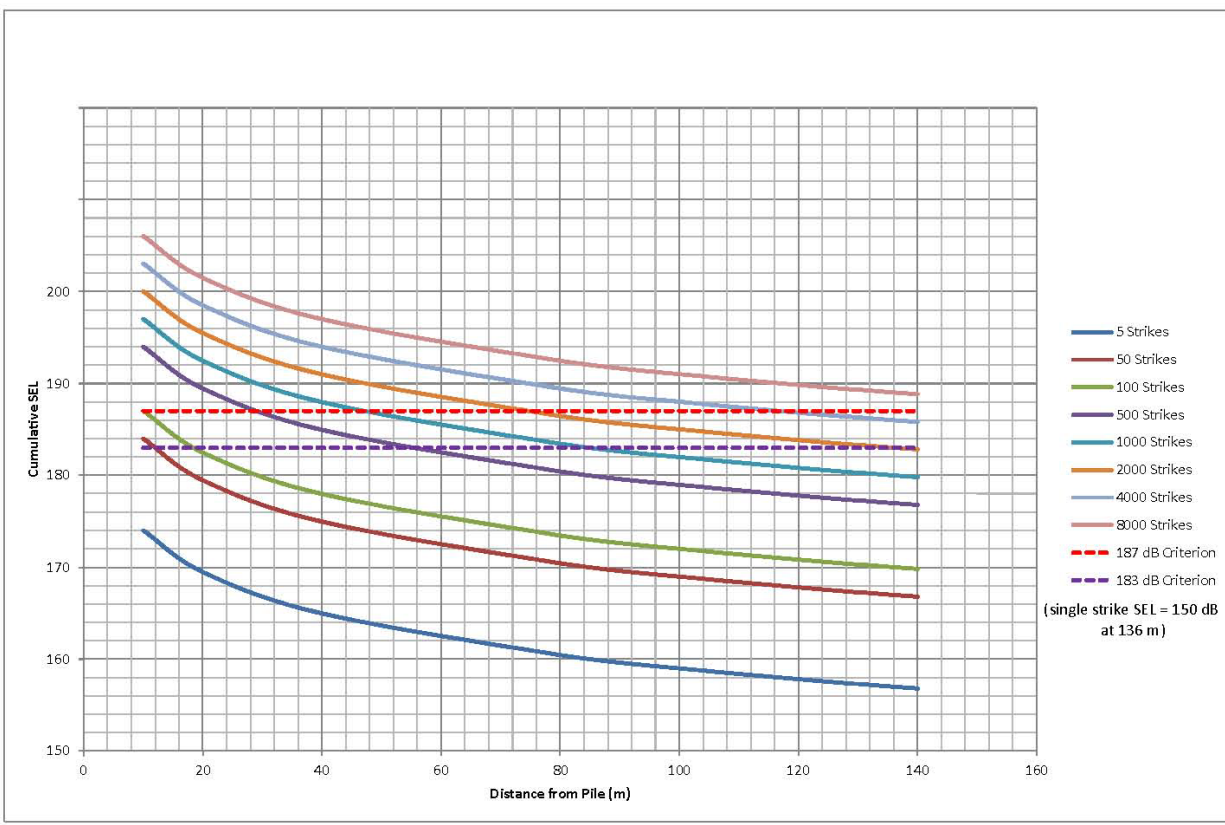


1  
2

Figure 5.H.6-1. Sheet Pile Impact Driving (Single Strike SEL = 180 dB at 10 m)

Pile	24-inch steel pipe pile in dewatered cofferdam	(assumes 10 dB attenuation from dewatered cofferdam)							
Peak (dB) at 10 m	193								
Single Strike SEL	167								
Distance (m) to 150 dB SEL	136								
Attenuation Factor	15								
Number of Strikes Per Day	5	50	100	500	1000	2000	4000	8000	
Distance from Pile (m)									
10	174	184	187	194	197	200	203	206	
20	169	179	182	189	192	195	199	202	
40	165	175	178	185	188	191	194	197	
80	160	170	173	180	183	186	189	192	
100	159	169	172	179	182	185	188	191	
120	158	168	171	178	181	184	187	190	
130	157	167	170	177	180	183	186	189	
140	157	167	170	177	180	183	186	189	

Source Data: Table 1.2-1 (Caltrans 2009). Attenuation of 4.5 dB per doubling of distance assumed.

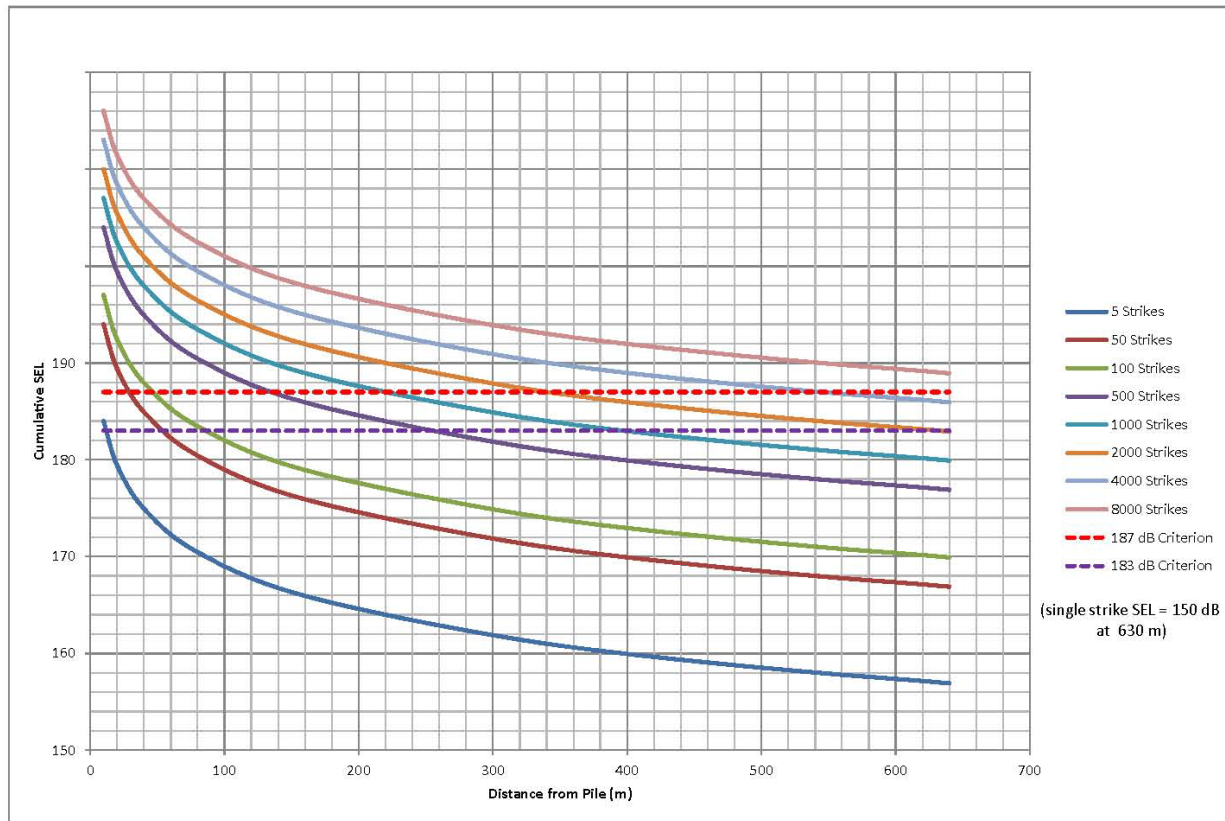


1  
2  
3

**Figure 5.H.6-2. 24-Inch Steel Pipe Pile in Dewatered Cofferdam Impact Driving (Single Strike SEL = 167 dB at 10 m)**

Pile	24-inch steel pipe pile								
Peak (dB) at 10 m	203								
Single Strike SEL	177								
Distance (m) to 150 dB SEL	631								
Attenuation Factor	15								
Number of Strikes Per Day	5	50	100	500	1000	2000	4000	8000	
Distance from Pile (m)									
10	184	194	197	204	207	210	213	216	
20	179	189	192	199	202	205	209	212	
40	175	185	188	195	198	201	204	207	
80	170	180	183	190	193	196	199	202	
160	166	176	179	186	189	192	195	198	
320	161	171	174	181	184	187	190	193	
480	159	169	172	179	182	185	188	191	
640	157	167	170	177	180	183	186	189	

Source Data: Table I.2-1 (Caltrans 2009). Attenuation of 4.5 dB per doubling of distance assumed.



1  
2

Figure 5.H.6-3. 24-Inch Steel Pipe Pile Impact Driving (Single Strike SEL = 177 dB at 10 m)

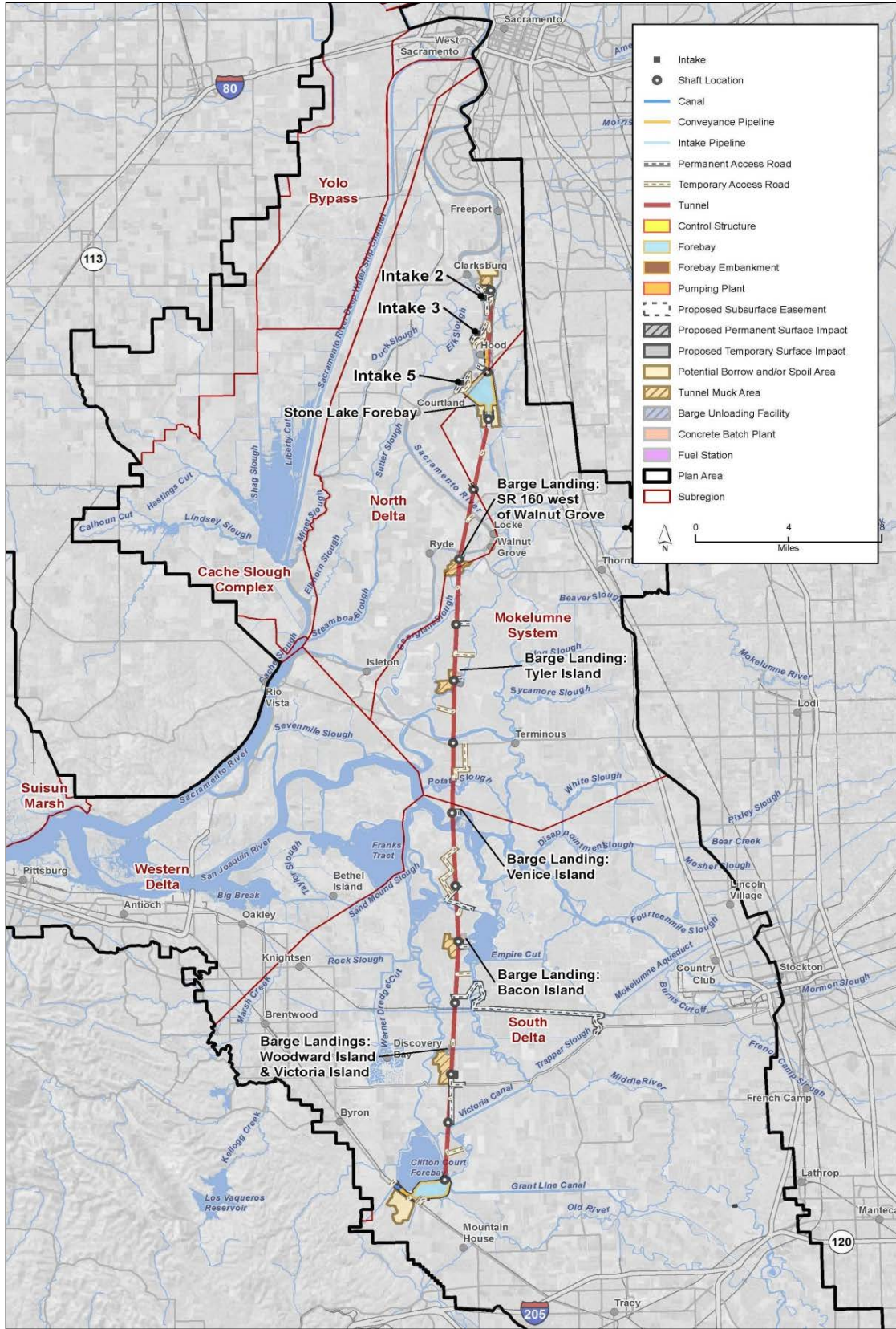


Figure 5.H.6-4. Tunnel Option Intake and Barge Landing Locations

1  
2

1 **Table 5.H.6-2. Life Stages of Covered Species Present in the North, East and South Delta Subregions during the In-Water Construction Window**  
 2 **(June 1–October 31)**

Fish Species	North Delta			East Delta			South Delta		
	Life Stage	Timing	Size	Life Stage	Timing	Size	Life Stage	Timing	Size
Delta smelt <sup>1</sup>	Adult	Jun	>2g	Adult	Jun	>2g	Adult	Jun	>2g
	Larva	Jun–Jul	<2g	Larva	Jun–Jul	<2g	Larva	Jun–Jul	<2g
Longfin smelt <sup>2</sup>	Adult	Not Present	>2g	Adult	Not Present	>2g	Adult	Not Present	>2g
	Larva	Not Present	<2g	Larva	Not Present	<2g	Larva	Not Present	<2g
Central Valley steelhead <sup>3</sup>	Adult	Jun- Sept	Oct >2g	Adult	Not Present	>2g	Adult	Not Present	>2g
	Juvenile	Jun-Oct	>2g	Juvenile	Jun-Oct	>2g	Juvenile	Jun-Oct	>2g
Winter-run Chinook salmon <sup>4</sup>	Adult	Jun-Jul	>2g	Adult	Not Present		Adult	Not Present	
	Juvenile	Aug-Oct	<2g, >2g	Juvenile	Not Present	<2g, >2g	Juvenile	Not Present	<2g, >2g
Spring-run Chinook salmon <sup>5</sup>	Adult	Jun	Jul- Aug >2g	Adult	Not Present		Adult	Not Present	
	Juvenile	Jun	<2g, >2g	Juvenile	Jun	<2g, >2g	Juvenile	Jun	<2g, >2g
Late fall–run Chinook salmon <sup>6</sup>	Adult	Oct	>2g	Adult	Oct	>2g	Adult	Oct	>2g
	Juvenile	Jun-Oct	>2g	Juvenile	Jun-Oct	>2g	Juvenile	Jun-Oct	>2g
Fall-run Chinook salmon <sup>7</sup>	Adult	Aug- Sep	-Oct >2g	Adult	Aug- Sep	-Oct >2g	Adult	Aug- Sep	-Oct >2g
	Juvenile	Jun	>2g	Juvenile	Not Present	<2g, >2g	Juvenile	Not Present	<2g, >2g
Splittail <sup>8</sup>	Larva	Jun	<2g	Larva	Jun	<2g	Larva	Jun	<2g
	Juvenile	Jun–Jul	<2g	Juvenile	Jun–Jul	<2g	Juvenile	Jun–Jul	<2g
Green sturgeon <sup>9</sup>	Adult	Jun-Oct	>2g	Adult	Jun-Oct	>2g	Adult	Jun-Oct	>2g
	Juvenile	Jun-Oct	>2g	Juvenile	Jun-Oct	>2g	Juvenile	Jun-Oct	>2g
White sturgeon <sup>10</sup>	Adult	Jun-Oct	>2g		Jun-Oct		Adult	Jun-Oct	>2g
	Larva	Jun	<2g	Larva	Jun	<2g	Larva	Jun	<2g
	Juvenile	Jun-Oct	>2g	Juvenile	Jun-Oct	>2g	Juvenile	Jun-Oct	>2g
Pacific lamprey <sup>11</sup>	Adult	Jun–Aug	>2g	Adult	Jun–Aug	>2g	Adult	Jun–Aug	>2g
	Ammocoetes	Jun–Oct	>2g	Ammocoetes	Jun–Oct	>2g	Ammocoetes	Jun–Oct	>2g
River lamprey <sup>12</sup>	Adult	Sep–Oct	>2g	Adult	Sep–Oct	>2g	Adult	Sep–Oct	>2g
	Ammocoetes	Jun–Oct	>2g	Ammocoetes	Jun–Oct	>2g	Ammocoetes	Jun–Oct	>2g

Fish Species	North Delta			East Delta			South Delta		
	Life Stage	Timing	Size	Life Stage	Timing	Size	Life Stage	Timing	Size
	Macrophthalmia	Jun-Jul	>2g	Macrophthalmia	Jun-Jul	>2g	Macrophthalmia	Jun-Jul	>2g
Green=abundant <sup>13</sup>		Orange=semi-abundant		Yellow=low abundance		White=not likely or uncertain presence			

1 Sources:

2 <sup>1</sup> Bennett 2005; Baxter et al. 2008; California Department of Fish and Game 2007; Moyle et al. 1992; Nobriga and Herbold 2009; Sommer et al. 2011.

3 <sup>2</sup> Rosenfield 2010; Hieb and Baxter 1993; Baxter 1999a; Dege and Brown 2004; Bennett et al. 2002; Moyle 2002; Hobbs et al. 2006; Rosenfield and

4 Baxter 2007; Feyrer et al. 2003.

5 <sup>3</sup> Hallock et al. 1961; McEwan 2001; California Department of Fish and Game 1995; Hallock et al. 1957 based on limited unpublished data from DFG

6 Steelhead Report Card; California Department of Fish and Game unpublished data; Snider and Titus 2000; Nobriga and Cadrett 2003; Jones & Stokes

7 Associates, Inc. 2002; S.P. Cramer and Associates, Inc. 2000, 2001; Schaffter 1980.

8 <sup>4</sup> Yoshiyama et al. 1998; Moyle 2002; Myers et al. 1998; Martin et al. 2001; Snider and Titus 2000; U.S. Fish and Wildlife Service 2006.

9 <sup>5</sup> Yoshiyama et al. 1998; Moyle 2002; Myers et al. 1998; Lindley et al. 2006; California Department of Fish and Game 1998; McReynolds et al. 2005;

10 Ward et al. 2002, 2003; Snider and Titus 2000; U.S. Fish and Wildlife Service 2001.

11 <sup>6</sup> State Water Project and Federal Water Project fish salvage data 1981–1988. Yoshiyama et al. 1998; Moyle 2002; Martin et al. 2001; U.S. Fish and

12 Wildlife Service 2001.

13 <sup>7</sup> State Water Project and Federal Water Project fish salvage data 1981–1988. Yoshiyama et al. 1998; Moyle 2002; Martin et al. 2001; U.S. Fish and

14 Wildlife Service 2001.

15 <sup>8</sup> Baerwald 2007; Moyle et al. 2004; Feyrer et al. 2005; Crain et al. 2004; T. Ford pers. comm.; T. Heyne pers. comm.; M. Horvath pers. comm.;

16 Baxter 1999b; Sommer et al. 1997; Caywood 1974; Meng and Matern 2001; Daniels and Moyle 1983; Sommer et al. 2001; Feyrer and Baxter 1998;

17 Kratville 2008.

18 <sup>9</sup> U.S. Fish and Wildlife Service 2002a; Moyle et al. 1992; Adams et al. 2002; National Marine Fisheries Service 2005a; Kelly et al. 2007; California

19 Department of Fish and Game 2002; BDAT fall midwater trawl green sturgeon captures from 1969 to 2003; Nakamoto et al. 1995; Heublein et al.

20 2006.

21 <sup>10</sup> Moyle 2002; Surface Water Resources 2004; Welch et al. 2006; PSMFC 1996; Kolhorst 1976; Wang 1986; Israel et al. 2009.

22 <sup>11</sup> Morrow 1980; Moyle 2002; Brown and Moyle 1993; Streif 2008; Ruiz-Campos and Gonzalez-Guzman 1996; Renaud 2008; Swift et al. 1993; Roffe and

23 Mate 1984.

24 <sup>12</sup> Moyle 2002; Vladykov and Follett 1958; Moyle et al. 1995; Beamish and Youson 1987; Beamish and Neville 1995; Streif 2008.

25

1 **Table 5.H.6-3. Length, Width, and Area of Water Bodies Potentially Exposed to Underwater Sound Levels above 183 dB SELcumulative If In-**  
 2 **Water Impact Pile Driving Is Required**

<b>Intake or Barge Landing</b>	<b>Length of Water Body Experiencing Underwater Sound Levels above 183 dB SEL<sub>cumulative</sub> (feet)</b>	<b>Width of Water Body Experiencing Underwater Sound Levels above 183 dB SEL<sub>cumulative</sub> (feet)<sup>3</sup></b>	<b>Area of Water Body Experiencing Underwater Sound Levels above 183 dB SEL<sub>cumulative</sub> (square feet [acres])</b>	<b>Potential Timeframe of Exposure<sup>4</sup></b>
Intake 2	6,560 <sup>1</sup>	645	4,231,200 [97 acres]	
Intake 3	6,560 <sup>1</sup>	560	3,673,600 [84 acres]	
Intake 5	6,560 <sup>1</sup>	535	3,509,600 [91 acres]	
Walnut Grove Landing	906 <sup>2</sup>	300	271,800 [6.2 acres]	
Tyler Island Landing	906 <sup>2</sup>	400	362,400 [8.3 acres]	
Venice Island Landing	906 <sup>2</sup>	150	135,900 [3.1 acres]	
Bacon Island Landing	906 <sup>2</sup>	350	317,100 [7.3 acres]	
Woodward Island Landing	906 <sup>2</sup>	380	344,280 [7.9 acres]	
Victoria Island Landing	906 <sup>2</sup>	380	344,280 [7.9 acres]	

Notes:

<sup>1</sup> Based on NMFS model—the single-strike SEL for impact cofferdam pile driving will attenuate to 150 dB (which is not considered to harmfully accumulate) at 1,000 meters (3,280 feet); thus the maximum distance (upstream plus downstream combined) that will be exposed to 183 dB SEL<sub>cumulative</sub> will be 3,280 feet upstream and downstream, for a total of 6,560 feet.

<sup>2</sup> Based on NMFS model—for 24-inch-diameter impact pile driving with 10 dB attenuation provided by a bubble curtain or a dewatered cofferdam, the single-strike SEL will attenuate to 150 dB (which is not considered to harmfully accumulate) at 138 meters (453 feet); thus the maximum distance (upstream plus downstream combined) that will be exposed to 183 dB SELcumulative will be 906 feet.

<sup>3</sup> Listed widths represent the entire river cross sections at these sites.

<sup>4</sup> Site-specific conditions will dictate the actual need and timeframe for vibratory and/or impact pile driving.

3



1 Impact pile driving could result in injury to fish near the pile driving location, depending on  
2 proximity and duration of exposure. In-water pile driving at the intakes will occur during the first  
3 year of construction during the approved in-water construction window (typically June through  
4 October). Table 5.H.6-4 summarizes the duration of potential exposure to underwater sound during  
5 impact pile driving for the species that are present between June and October. These estimates  
6 amount to an equivalent of 6 days of impact pile driving per month (30% of 20 work days/month).  
7 This is considered a worst-case scenario because impact pile driving will not occur continuously on  
8 any given day and is unlikely to occur at all of the construction sites at the same time.

9 After the cofferdams are completed during the first in-water work window, subsequent pile driving  
10 will occur inside the dewatered cofferdams, or inside a bubble curtain or similar device to minimize  
11 underwater sound levels. This will minimize, but not eliminate, potential effects on the covered  
12 species. Pile driving inside a cofferdam, or on land adjacent to a water body, can transmit sound  
13 through the substrate and into adjacent water bodies, a process known as flanking. Under certain  
14 circumstances these sound pressure levels transmitted into the water are sufficient to cause injuries  
15 to fish. As this subsequent pile driving also will occur throughout most of the year, there is the  
16 potential to affect a greater proportion of the covered species populations, particularly those species  
17 that migrate past the intake sites outside of the in-water construction window.

18 As indicated above, impact pile driving within a dewatered cofferdam is expected to attenuate the  
19 sound levels in the adjacent waterbody by about 10 dB (see Table 5.H.6-1). This is similar to the  
20 attenuation likely to occur using a bubble curtain, or other similar device, during in-water pile  
21 driving. Applying this attenuation level to the expected sound pressure levels from impact driving  
22 24-inch-diameter hollow steel piles likely would result in sound levels that could affect fish within  
23 about 136 meters (450 feet) of the cofferdam. However, the river channel adjacent to the intakes is  
24 wider than 450 feet, resulting in potential refuge areas along the opposite side of the river.

25 There is no substantial evidence that pile driving noise causes substantial migration delays, and  
26 migration speeds tend to be relatively fast for both adult and juvenile fish (Del Real et al. 2011;  
27 Holbrook et al. 2009; Heublein et al. 2008; Parsley et al. 2008; Vogel 2010). These studies observed  
28 typical migration speeds greater than 0.4 mile per hour. While there are a large number of factors  
29 that influence migrations, it is reasonable to expect that many migratory fish are likely to pass the  
30 sites in a matter of hours, substantially minimizing their exposure to elevated underwater sound  
31 levels. The potential for covered species exposure is described in the following subsections.

1 **Table 5.H.6-4. Species Present and Estimated Duration of Exposure to Impact Pile Driving during**  
 2 **Cofferdam Installation, Assuming That Impact Pile Driving Is Necessary for 30% of the Piles**

Species/Life Stage Present	Lifestage and Month(s) Present in Areas Affected by Underwater Sound during Cofferdam Installation <sup>1</sup>	Duration of Potential Exposure (days) <sup>2</sup>
Delta smelt <sup>3</sup>	Adults—June	6
	Larvae—June, July	12
Steelhead	Adults—June through Oct	30
	Juveniles—June through Oct	30
Chinook salmon (winter-run)	Adults—June/July	12
	Juveniles—Aug through Oct	18
Chinook salmon (spring-run)	Adults—June through Aug	18
Chinook salmon (late fall-run)	Adults—Oct	6
	Juveniles—June through Oct	30
Chinook salmon (fall-run)	Adults—Aug through Oct	18
	Juveniles—June	6
Sacramento splittail	Larvae—June	6
	Juveniles—June/July	12
Green sturgeon	Adults—June through Oct	30
	Juveniles—June through Oct	30
White sturgeon	Adults—June through Oct	30
	Juveniles—June through Oct	30
	Larvae—June	6
Pacific lamprey	Adults—June through Aug	18
	Ammocoetes—June through Oct	30
River lamprey	Adults—Sept/Oct	12
	Ammocoetes—June through Oct	30
	Macrophthalmia—June/July	12

<sup>1</sup> For the barge unloading facilities, if it is assumed that installing the piles at each site requires 10 days, each site might experience 3 days of impact driving (and potential effects). All species except winter- and late fall-run Chinook salmon could be present during construction of the barge unloading facilities.

<sup>2</sup> Assumes that 30% of pile driving is impact driving during period of species presence and assumes 5 days per week of work, for a total of 20 work days per month.

<sup>3</sup> Low densities of delta smelt are expected to occur in the pile driving areas during these exposure periods

3

4 **5.H.6.1.2.5 Delta Smelt**

5 Delta smelt eggs will not experience underwater sound because the locations of the intakes and  
 6 barge landings are not considered suitable habitat for this life stage of this species; therefore, effects  
 7 will not occur.

8 There is a very small potential for adult or larval delta smelt to be in the vicinity of the intakes and  
 9 the barge landing sites during in-water construction (in June and between June and July,  
 10 respectively). Delta smelt tend to occupy the western Delta subregion and will be in very low  
 11 abundance in the North, East and South Delta subregions during this time; therefore, fish densities  
 12 in areas affected by pile driving will be exceedingly low. Adult delta smelt complete their spawning  
 13 cycle and die by mid- to late June. Larval delta smelt, which move with the currents, potentially  
 14 could drift through the underwater sound-affected area(s); however, their distribution during this

1 time is predominantly in the western Delta, rather than the northern and southern Delta where the  
2 intake pile driving could occur. If an individual larval delta smelt were present in the areas affected  
3 by underwater sound from pile driving above 183 dB SEL<sub>cumulative</sub>, it could experience an adverse  
4 effect, such as injury or mortality. Because the density of larval delta smelt is expected to be  
5 exceptionally low in all pile driving locations, the potential for delta smelt to experience an adverse  
6 effect (e.g., injury or mortality) is very low.

#### 7 **5.H.6.1.2.6 Longfin Smelt**

8 Longfin smelt eggs will not experience underwater sound because the locations of the intakes and  
9 barge landings are not considered suitable habitat for the life stage of this species; therefore, effects  
10 will not occur.

11 Similar to delta smelt, there is a very small potential for juvenile longfin smelt to be in the vicinity of  
12 the intakes and all barge landing sites during in-water construction (in June). Longfin smelt will be  
13 in very low abundance in the North, East and South Delta subregions during the construction  
14 periods, and densities in areas potentially affected by pile driving will be very low. Larval longfin  
15 smelt, which move with the currents, potentially could drift through the underwater sound-affected  
16 areas; however, their distribution is predominantly located in the western Delta, rather than the  
17 northern and southern Delta where the intake pile driving will occur. If an individual larval longfin  
18 smelt were present in the areas ensounded by pile driving above 183 dB SEL<sub>cumulative</sub>, it could  
19 experience an adverse effect, such as injury or mortality. Because the overall densities of larval  
20 longfin smelt are expected to be exceptionally low in all pile driving locations, the potential for  
21 longfin smelt to experience an adverse effect (e.g., injury or mortality) will be very low.

#### 22 **5.H.6.1.2.7 Central Valley Steelhead**

23 Central Valley steelhead eggs and fry will not experience underwater sound from pile driving  
24 because the locations of the intakes and barge landings are not considered suitable habitat for these  
25 two life stages of this species; therefore, effects will not occur.

26 Adult Central Valley steelhead could be present near the construction areas of the intakes and barge  
27 landings during the June to October in-water construction window. Adults use the Sacramento and  
28 San Joaquin Rivers on their migration to upriver spawning areas during the summer, fall and winter  
29 months, although densities are expected to be low through the spring and summer months.  
30 Steelhead will have a moderate abundance near the construction areas for intakes in September and  
31 October. Adult steelhead are large and are able to avoid injurious exposure to underwater noise  
32 from pile driving. They may experience short delays in migration past the intakes when pile driving  
33 is occurring; however, pile driving will occur only intermittently through 8 hours per day, and minor  
34 migration delays will not affect their ability to successfully reach spawning grounds. Therefore, the  
35 potential for adult Central Valley steelhead to experience an adverse effect (e.g., injury or mortality,  
36 migratory disturbance) is low because of their size, ability to move away from the underwater  
37 sound, and potentially low to moderate temporal and spatial migration distribution around the  
38 construction areas.

39 Juvenile steelhead that have migrated downriver could be moderately abundant in the vicinity of the  
40 intakes and barge landing sites during June, although they are known to emigrate during most  
41 months of the year (Hallock et al. 1961). The habitat in these areas is considered poor because of  
42 relatively steep riprap banks and deep channels with little refuge, which may limit their overall  
43 abundance in these areas. Although it is not possible to predict the number of steelhead that will be

1 exposed to underwater sound at the construction locations, underwater noise could exceed the  
2 criteria for approximately 8 hours a day for 30 days at each intake during the in-water construction  
3 window. Underwater sound also could exceed the criteria for approximately 5 days for barge  
4 landing construction activities. Therefore, individual juvenile steelhead present could be injured or  
5 killed if the exposures are great enough<sup>6</sup>. There will be a moderate potential for juvenile Central  
6 Valley steelhead to experience an adverse effect (e.g., injury or mortality, migratory disturbance)  
7 because of their size, ability to move away from the underwater sound source, moderate temporal  
8 and spatial distribution around the construction sites, and the intermittent nature of potential  
9 exposure above the tolerance thresholds.

#### 10 **5.H.6.1.2.8 Winter-Run Chinook Salmon**

11 Winter-run Chinook salmon eggs and alevins will not experience underwater sound because the  
12 locations of the intakes and barge landings are not considered suitable habitat for these two life  
13 stages of this species, and they will not be present during the in-water construction period (June  
14 through October); therefore, effects will not occur. Winter-run Chinook salmon fry, although not  
15 numerous, do occur in August through October, although the majority of the fry migrate in late  
16 November and December (Snider and Titus 2000). Adult winter-run Chinook salmon could be  
17 present near the intake construction areas during the in-water work window and will likely be  
18 affected by construction activities. Adult winter-run Chinook salmon are large and have the mobility  
19 to avoid injurious exposure to underwater noise from pile driving. They may experience short  
20 delays in migration past the intakes when pile driving is occurring; however, pile driving will occur  
21 only intermittently through 8 hours per day, and minor migration delays will not affect their ability  
22 to successfully reach spawning grounds. Therefore, underwater sound has a low potential of  
23 affecting adult winter-run Chinook salmon because of their size, ability to move away from  
24 exposure, and potentially low temporal and spatial distribution during construction periods.

25 Juvenile winter-run Chinook salmon will be in low abundance during in-water construction periods  
26 in the North Delta subregion in September and October. The density of juvenile winter-run Chinook  
27 salmon near the specific intake and barge landing sites is unknown; however, the habitat in these  
28 areas is considered poor because of relatively steep riprap banks and deep channels with little  
29 refuge, which may limit their overall abundance in these areas. Although juveniles could occur  
30 around the intakes in October, there will be a relatively low potential for juvenile winter-run  
31 Chinook salmon to experience an adverse effect (e.g., injury or mortality, migratory disturbance)  
32 because of the intermittent nature of potential exposure above the tolerance thresholds.

#### 33 **5.H.6.1.2.9 Spring-Run Chinook Salmon**

34 Spring-run Chinook salmon eggs and fry will not experience underwater sound because the  
35 locations of the intakes and barge landings are not considered suitable habitat for these two life  
36 stages of this species, and they will not be present during the in-water construction period (June  
37 through October); therefore, effects will not occur. Likewise, juvenile spring-run Chinook salmon are  
38 unlikely to occur near the intakes or barge landings during the in-water construction period (June  
39 through October). Therefore, little or no effects will occur on juvenile spring-run Chinook salmon as  
40 a result of underwater sound. Adult spring-run Chinook salmon will have a moderate potential to be  
41 in the North Delta subregion in June and a low potential to be in the North Delta subregion in July

---

<sup>6</sup> As identified under *Methods*, NMFS model assumes that a fish is stationary within the impact area throughout the entire exposure (a day of pile driving).

1 during intake construction activities. Adults use the Sacramento River to migrate to upriver  
2 spawning areas. Adults will not occur near the barge landings in the eastern and southern  
3 subregions. Adult spring-run Chinook salmon are large and have the mobility to avoid injurious  
4 exposure to underwater noise from pile driving. They may experience short delays in migration past  
5 the intakes when pile driving is occurring; however, pile driving will occur only intermittently  
6 through 8 hours per day, and minor migration delays will not affect their ability to successfully  
7 reach spawning grounds. Therefore, the potential for adult spring-run Chinook salmon to experience  
8 an adverse effect (e.g., injury or mortality, migratory disturbance) is low because of their size, ability  
9 to move away from the underwater sound, and potentially low to moderate temporal and spatial  
10 migration distribution around the intake construction areas.

#### 11 **5.H.6.1.2.10 Late Fall-Run Chinook Salmon**

12 Late fall-run Chinook salmon eggs and fry will not experience underwater sound because the  
13 locations of the intakes and barge landings are not considered suitable habitat for these two life  
14 stages of this species, and they will not be present during construction timeframes (June through  
15 October); therefore, effects will not occur.

16 Adult late fall-run Chinook salmon will not occur near the intakes or barge landing sites during the  
17 in-water construction period (June through October). Therefore, no effects will occur on adult late  
18 fall-run Chinook salmon as a result of underwater sound.

19 Juvenile late fall-run Chinook salmon greater than 2 grams have a very low potential to occur near  
20 the intakes and barge landing sites throughout the June through October period. Additionally, the  
21 habitat in these areas is considered poor because of relatively steep riprap banks and deep channels  
22 with little refuge, which may further limit their overall abundance. Therefore, the potential for  
23 juvenile late fall-run Chinook salmon to experience an adverse effect (e.g., injury or mortality,  
24 migratory disturbance) is low because of the very low temporal and spatial migration distribution  
25 around the intake and barge landing construction areas, and the intermittent nature of potential  
26 exposure above the tolerance thresholds.

#### 27 **5.H.6.1.2.11 Fall-Run Chinook Salmon**

28 Fall-run Chinook salmon eggs and fry will not experience underwater sound because the locations of  
29 the intakes and barge landings are not considered suitable habitat for these two life stages of this  
30 species, and they will not be present during construction timeframes (June through October);  
31 therefore, effects will not occur.

32 Adult fall-run Chinook salmon are expected to be semi-abundant to abundant near the construction  
33 areas of the intakes and barge landing sites in August through October. Adults use the Sacramento  
34 River and pass by the construction areas on their migration to upriver spawning areas. Adult fall-  
35 run Chinook salmon are large and have the mobility to avoid injurious exposure to underwater noise  
36 from pile driving. They may experience short delays in migration past the intakes and barge  
37 landings when pile driving is occurring; however, pile driving will occur only intermittently through  
38 8 hours per day, and minor migration delays will not affect their ability to successfully reach  
39 spawning grounds. Therefore, the potential for adult fall-run Chinook salmon to experience an  
40 adverse effect (e.g., injury or mortality, migratory disturbance) is low because of their size, ability to  
41 move away from the underwater sound, and potentially low temporal and spatial migration  
42 distribution around the construction areas.

1 Juvenile fall-run Chinook salmon have a low to moderate potential to occur near the intakes and  
2 barge landing sites during pile driving in June. The density of juvenile fall-run Chinook salmon near  
3 the specific intake and barge landing sites during the in-water construction period is unknown.  
4 However, the habitat in these areas is considered poor because of relatively steep riprap banks and  
5 deep channels with little refuge, which may further limit their overall low to moderate abundance.  
6 Given their low numbers in the eastern and southern subregions, the relatively small areas affected  
7 by underwater noise, and the intermittent nature of the impact pile driving, there is only a small  
8 chance fall-run Chinook salmon will be exposed at the barge landings. Therefore, the potential for  
9 juvenile fall-run Chinook salmon to experience an adverse effect (e.g., injury or mortality, migratory  
10 disturbance) is low because of potentially low to moderate temporal and spatial distribution during  
11 construction and because potential exposure above the tolerance thresholds will be intermittent  
12 and limited.

### 13 **5.H.6.1.2.12 Splittail**

14 Larval splittail could occur in the vicinity of the intakes in June, and juvenile splittail could be in the  
15 vicinity of these sites in June and July during the in-water construction period. The numbers of larval  
16 and juvenile splittail are not known, but abundance is expected to be very low during these months  
17 because they are typically not present in the north, east, or south Delta. Larval and juvenile splittail  
18 near the construction areas will be expected to be less than 2 grams and will move with the currents.  
19 The potential for splittail to be exposed to pile driving noise will be relatively small, given the  
20 location of the intakes in the Sacramento River, the relatively small areas affected by underwater  
21 noise in the East and South Delta subregions. Therefore, the potential for larval and juvenile splittail  
22 to experience an adverse effect (e.g., injury or mortality) is low because of their very low temporal  
23 and spatial distribution during construction and intermittent and limited potential exposure above  
24 the tolerance thresholds.

### 25 **5.H.6.1.2.13 Green Sturgeon**

26 Green sturgeon eggs and larvae will not experience underwater sound because the locations of the  
27 intakes and barge landings are not considered suitable habitat for these two life stages of this  
28 species and they will not be present during construction timeframes (June through October);  
29 therefore, effects will not occur. The habitat at the intake sites is of relatively poor condition, with  
30 steep riprap-armored banks and limited in-water or overwater habitat features typically associated  
31 with rearing habitat. As a result, these river reaches are expected to be used primarily as migratory  
32 corridors, reducing the duration of potential exposures of green sturgeon to increased underwater  
33 sound levels.

34 Adult green sturgeon could occur near the intakes during pile driving primarily during June, which  
35 is at the tail end of their upstream spawning migration but also could occur throughout the in-water  
36 construction period as they migrate downstream after spawning. However, they will not be present  
37 near the barge landing sites as they are typically not present in the East and South Delta subregions.  
38 Adult green sturgeon are large and are able to avoid injurious exposure to underwater noise from  
39 pile driving. They may experience short delays in migration past the intakes when pile driving  
40 occurs; however, pile driving will occur only intermittently through 8 hours per day, and minor  
41 migration delays will not affect their ability to successfully reach spawning grounds. Therefore, the  
42 potential for adult green sturgeon to experience an adverse effect (e.g., injury or mortality,  
43 migratory disturbance) is low because of their size, ability to move away from the underwater  
44 sound, and potentially low temporal and spatial distribution during construction. Furthermore,

1 potential exposure of green sturgeon to underwater sound above the tolerance thresholds will be  
2 intermittent and limited.

3 While juvenile green sturgeon may be exposed to elevated sound levels from pile driving and other  
4 construction activities, the primary use of a vibratory pile driving hammer, the relatively poor  
5 habitat conditions at the construction sites, and the intermittent nature of potential exposures to  
6 underwater sound levels above the tolerance thresholds likely would limit the overall effects on  
7 juvenile green sturgeon. Therefore, the potential for juvenile green sturgeon to experience an  
8 adverse effect (e.g., injury or mortality) is relatively low.

#### 9 **5.H.6.1.2.14 White Sturgeon**

10 As indicated above for green sturgeon, the habitat at the intake sites is of relatively poor condition,  
11 with steep riprap-armored banks and limited in-water or overwater habitat features typically  
12 associated with rearing habitat. As a result, these river reaches are expected to be used primarily as  
13 migratory corridors, reducing the duration of potential exposures of white sturgeon to increased  
14 underwater sound levels.

15 Adult white sturgeon could occur near the intakes during pile driving primarily during June, as they  
16 migrate upriver to spawn but also could occur throughout the in-water construction period as they  
17 migrate back downstream after spawning. Adults also could occur near the barge landing in the  
18 South Delta subregion in June. Adult white sturgeon are large and are able to avoid injurious  
19 exposure to underwater noise from pile driving. They may experience short delays in migration past  
20 the intakes when pile driving is occurring; however, pile driving will occur only intermittently  
21 through 8 hours per day, and minor migration delays will not affect their ability to successfully  
22 reach spawning grounds. Therefore, the potential for adverse effects on adult white sturgeon as a  
23 result of underwater sound is low.

24 Similar to green sturgeon, juvenile white sturgeon may be exposed to elevated sound levels from  
25 pile driving and other construction activities. However, the primary use of vibratory pile driving, the  
26 relatively poor habitat conditions at the construction sites, and the intermittent nature of potential  
27 exposures to underwater sound levels above the tolerance thresholds likely would limit the overall  
28 effects on juvenile green sturgeon. Therefore, the potential for juvenile green sturgeon to experience  
29 an adverse effect (e.g., injury or mortality) is relatively low.

#### 30 **5.H.6.1.2.15 Pacific Lamprey**

31 Adult lamprey and their ammocoetes could be present in the vicinity of the intakes and barge  
32 landings during pile driving during June–August and June–October, respectively. However,  
33 ammocoetes are in low abundance at all in-water pile driving sites. Adults are considered  
34 moderately abundant in June through August near the intakes, but are in low abundance in the East  
35 and South Delta subregions where barge landings are located. Adult lamprey are large and are able  
36 to avoid injurious exposure to underwater noise from pile driving. Given their likely low numbers in  
37 the East and South Delta subregions, the relatively small areas affected by underwater noise in the  
38 those areas, and the intermittent nature of potential exposure above the tolerance thresholds, there  
39 is only a small chance that adult lamprey or their ammocoetes will be exposed to injurious  
40 underwater sounds from pile driving at the barge landings. Although adults will be moderately  
41 abundant in June through August near the intakes, their size and ability to move away from the  
42 underwater sound in the northern Delta will result in a low potential for adverse effects as a result  
43 of underwater sound.

1 Most of the other potential effects are expected to occur during the installation of the cofferdams,  
2 when lamprey could be entrapped within the cofferdam. This is likely to be a one-time occurrence  
3 because the cofferdams allow the majority of the other remaining construction activities to occur in  
4 the dry, thereby minimizing additional effects. In addition, fish rescue and salvage operations will be  
5 conducted to remove fish before and during the cofferdam dewatering phase (see Appendix 3.C,  
6 *Avoidance and Minimization Measures*). The effectiveness of these procedures will be evaluated  
7 during the process and modified if necessary to minimize effects on Pacific lamprey.

#### 8 **5.H.6.1.2.16 River Lamprey**

9 Adult lamprey and macrophthemia stages could be present in the vicinity of the intakes and barge  
10 landings during pile driving during September–October and June–July, respectively. Ammocoetes  
11 can occur throughout the year, as they remain in the substrate for several years before  
12 metamorphosis to the macrophthemia stage when they migrate to the ocean. The density of adult  
13 lamprey, ammocoetes and macrophthemia near the specific intake and barge landing sites during the  
14 in-water construction period is unknown, but densities are expected to be low in all areas where in-  
15 water work will occur. Given their likely low numbers in the North, East and South Delta subregions,  
16 the relatively small areas affected by underwater noise compared to available habitat, and the  
17 intermittent nature of potential exposure above the tolerance thresholds, there is only a small  
18 chance that this species will be exposed to injurious underwater sounds from pile driving.  
19 Therefore, there is low potential for adverse effects to occur on river lamprey as a result of  
20 underwater sound.

21 As indicated above for Pacific lamprey, most of the potential effects likely will occur during  
22 construction of the cofferdams, allowing the other construction activities to occur in the dry. The  
23 potential occurrence of river lamprey in these areas will be evaluated during the cofferdam  
24 dewatering and fish salvage and rescue operations, and appropriate measures will be implemented  
25 to minimize potential effects on river lamprey.

#### 26 **5.H.6.1.3 Water Quality**

27 The majority of the intake construction will occur within the channel and channel banks behind a  
28 cofferdam. Therefore, any water quality effects of *CM1 Water Facilities and Operation* will be  
29 minimal during construction. Constructing the conveyance facilities will intersect a large number of  
30 agricultural ditches and drains and may require in-water construction at certain slough crossings,  
31 but this is also likely to have minimal effects on water quality because *CM22 Avoidance and*  
32 *Minimization Measures* establishes BMPs to result in minimal water quality effects. The potential  
33 effects of turbidity and suspension of potentially toxic sediments and accidental spills associated  
34 with these activities are described below.

##### 35 **5.H.6.1.3.1 Turbidity**

36 As indicated in Table 5.H.2-1 and Table 5.H.2-2, cofferdam installation at the intakes and pile driving  
37 at the barge landings will disturb bottom sediments and could result in turbidity levels that could  
38 affect covered fish species. In-water construction activities that could generate increased turbidity  
39 are not continuous. Sheet pile driving for the cofferdams will occur during an approximately 8-hour  
40 period each day for up to 5 months (during the in-water work window). In-water work associated  
41 with constructing the barge landings could take several weeks but will be confined to 8-hour  
42 periods each work day.



1 While some in-water construction activities will result in unavoidable turbidity effects, the extent of  
2 these effects will be minimized by limiting the duration of in-water construction activities, through  
3 the implementation of *CM22 Avoidance and Minimization Measures*, and by adhering to measures  
4 described in the Central Valley Regional Water Quality Control Board's *Water Quality Control Plan*  
5 *for the Sacramento and San Joaquin River Basins* (Basin Plan). These environmental commitments  
6 were developed to meet the expected conditions required by environmental permits issued by state,  
7 federal, and local agencies. Turbidity and other water quality parameters will be monitored  
8 throughout the construction period to ensure compliance with these commitments. In the event that  
9 any criteria thresholds are exceeded, all turbidity-producing activities will be slowed or halted until  
10 levels subside and/or appropriate corrective measures are taken. Following is a list of turbidity  
11 limits that will be upheld throughout all construction activities.

- 12 • Where natural turbidity is between 0 and 5 NTUs, increases will not exceed 1 NTU.
- 13 • Where natural turbidity is between 5 and 50 NTUs, increases will not exceed 20%.
- 14 • Where natural turbidity is between 50 and 100 NTUs, increases will not exceed 10 NTUs.
- 15 • Where natural turbidity is greater than 100 NTUs, increases will not exceed 10%.

16 In general, in the Delta the turbidity is often 20–40 NTUs and decreases to less than 10 NTUs during  
17 low-flow conditions. Turbidity increases in the rivers during high flows (to 250–500 NTUs) and  
18 turbidity is generally elevated in Suisun Bay (measurements of 50–100 NTUs common) as a result of  
19 tidal resuspension. For reference regarding covered fish species, elevated turbidity levels can have a  
20 negative effect on fish, but moderate levels of turbidity (e.g., 35–150 NTUs) can increase foraging  
21 rates, presumably in response to reduced vulnerability to sight-feeding predators (Gregory and  
22 Northcote 1993). However the effects vary by species and their turbidity tolerance levels.

23 In-water activities will be monitored per the measures described in *CM22 Avoidance and*  
24 *Minimization Measures*, and the Basin Plan to ensure that the turbidity limits are not exceeded.  
25 Generally, if in-water activities resulted in turbidity levels that approached these limits, the activity  
26 will be slowed so that turbidity can be maintained at levels in compliance with these limitations.

27 In-water construction activities will have minimal effects on covered fish species. The expected  
28 increases in turbidity and suspended sediment will be of short duration, limited in extent, and  
29 monitored for compliance with regulatory standards. In addition, any localized increases in  
30 suspended sediment and turbidity likely will be diluted quickly as a result of the mixing potential  
31 associated with channel currents. Potential effects on covered fish species likely will be limited to  
32 indirect effects resulting from the behavioral response of fish to turbid water and suspended  
33 sediment in the affected portion of aquatic habitats. Such responses include avoidance of high  
34 turbidity, changes in foraging ability, increased predation risk, and reduced territoriality (Meehan  
35 and Bjornn 1991; Bash et al. 2001). However, most increases in turbidity and suspended sediment  
36 will occur during approved work windows in the summer period when fewer individuals of  
37 migratory species (e.g., Chinook salmon, steelhead, splittail, sturgeon) are likely to be present in the  
38 south Delta (River Islands).

### 39 **5.H.6.1.3.2 Toxins**

40 As discussed in Section 5.H.5.1.2, *Water Quality*, toxic substances are present in both water and  
41 sediment in the Delta aquatic environment. In-water construction activities will result in suspension  
42 of sediments that may contain toxic contaminants.

1 A discussion of the available sediment chemical data and the factors that will determine the  
2 potential for impacts from toxins in sediments during *CM1 Water Facilities and Operation*  
3 construction and maintenance activities is presented below. This discussion includes the fate and  
4 transport characteristics and conceptual models for each of the chemicals, as presented in  
5 Appendix 5.D, *Contaminants*.

6 The three water intakes will be located in the Sacramento River, downstream of the main urban area  
7 of the city of Sacramento. Sediments at these locations could be affected by historical and current  
8 urban discharges from the city of Sacramento. Of the urban-related toxic constituents identified in  
9 Appendix 5.D, metals (lead and copper), hydrocarbons, organochlorine pesticides, and PCBs are  
10 common urban contaminants with the greatest affinity for sediments and potentially could be  
11 present in sediments that will be disturbed during installation of the cofferdams. In addition,  
12 mercury is present in the Sacramento River system and could be sequestered in bottom sediments.  
13 The barge landings will be constructed on smaller waterways and are more likely to have  
14 agriculture-related toxins, including copper and organochlorine pesticides.

15 Turbidity, and in turn suspension of sediments, will be minimized by the measures in *CM22*  
16 *Avoidance and Minimization Measures*, and adhering to the requirements of the Basin Plan to  
17 minimize turbidity during construction. Additionally, exposure of covered fish species to any  
18 disturbed contaminated sediments will be minimized by restrictions on in-water work that will be  
19 limited to between June 1 and October 31, when the potential for many of the covered species to be  
20 present in the vicinity of construction will be at a minimum. Although sturgeon are assumed to be  
21 potentially present year-round and therefore could be affected by water quality, they are bottom  
22 feeders so will eat the toxins with or without construction occurring; therefore, effects are  
23 considered low.

24 Regulatory requirements identified in Table 5.H.4-1 and the avoidance and minimization measures  
25 included in *CM22 Avoidance and Minimization Measures* will minimize suspension of bottom  
26 sediments and restrict the construction schedule so that construction activities do not coincide with  
27 the presence of sensitive or abundant species/life stages; there is a low probability of negative  
28 effects on covered fish species from disturbance of toxic contaminants in bottom sediments during  
29 construction.

### 30 **5.H.6.1.3.3 Spills**

31 Because the in-water construction periods for *CM1 Water Facilities and Operation* will be short-term  
32 (approximately 5 months) for both the cofferdams at the intakes and the piles at the barge landings,  
33 and the in-water construction equipment will be limited to barges and pile driving equipment, the  
34 potential for direct accidental spills to the aquatic environment is short-term and will be for spills of  
35 very limited quantities. The most likely types of accidental spills will be fuel, oil, and hydraulic fluids.  
36 These types of spills are readily contained by booms, and all personnel will be trained to identify  
37 and rapidly respond to such accidents, as further described in the following paragraph. There will be  
38 potential for spills in upland areas or behind the cofferdam to flow into the aquatic system, but the  
39 probability of these types of impacts is also low, given the spill prevention and response programs  
40 described below.

41 Implementation of the *CM22 Avoidance and Minimization Measures* will reduce the potential for  
42 introduction of contaminants to surface waters and provide for effective containment and cleanup  
43 should accidental spills occur. The avoidance and minimization measures that establish BMPs to  
44 minimize potential effects of accidental spills on covered species include those below.

- 1 • Preparation and implementation of a SWPPP, as described in Appendix 3.C, *Avoidance and*  
2 *Minimization Measures*, and conditions of the project NPDES permit.
- 3 • Preparation and implementation of a hazardous materials management plan before beginning  
4 construction.
- 5 • Preparation and implementation of a spill prevention and control plan.
- 6 • Training to inform all field management and construction personnel of the need to protect  
7 resources.

#### 8 **5.H.6.1.4 Habitat Modification**

9 In-water construction will disturb on-bank channel habitat and in-river benthic and pelagic habitat  
10 in the vicinity of the construction activities. These activities will include construction of cofferdams,  
11 channel dredging, levee removal, bank protection removal and installation, and overwater  
12 structures (barge landings).

13 The affected habitat associated with the intake facilities is currently armored levee bank with  
14 limited riparian vegetation and of generally low value for species rearing. Cofferdams will be used to  
15 isolate the entire work area from the wetted channel of the Sacramento River during construction of  
16 each of the three intake facilities. At each of the intakes, between 1.6 and 3.1 acres of river area will  
17 be temporarily isolated by the cofferdams during the entire construction period, for a total of up to  
18 about 7.5 acres for all three intakes combined (Table 5.H.3-1).

19 Existing channel margin conditions at the intake sites were summarized using the Sacramento River  
20 Bank Protection Project revetment database (U.S. Army Corps of Engineers 2007). Revetment  
21 database surveys from a research vessel characterized channel margin segments with relatively  
22 homogenous habitat features. A more detailed discussion of the database is provided in Appendix  
23 5.E, *Habitat Restoration*. The database covers levees that are part of the Sacramento River Flood  
24 Control Project and includes information relative to aquatic habitat, such as water depth, emergent  
25 vegetation, and the amount of overhead cover (shade provided primarily by riparian vegetation)  
26 occurring along the channel margin. However, the water depth information extends only up to 12  
27 feet from the shoreline, measured from the mean summer water level elevation (U.S. Fish and  
28 Wildlife Service 2002b), while the intakes typically extend about 50 feet or more from shore.

29 The estimated length of shoreline temporarily and permanently modified by the intake structures is  
30 identified in Table 5.H.6-5 and Table 5.H.6-6, respectively. These tables also summarize the  
31 shoreline habitat characteristics in these affected areas. Because of the relatively steep armored  
32 banks, the depth range category of 5–10 feet (based on the revetment database) typically occurs  
33 within 12 feet of the shoreline. Most of the armored levee shoreline habitat that will be temporarily  
34 affected during construction consists of relatively sparse overhead cover. About 98% of the  
35 shorelines at the intake sites have less than about 25% overhead cover, and about 18% of the  
36 shorelines have only 1% to 5% overhead cover (Table 5.H.6-5). In addition, there is virtually no  
37 emergent vegetation in these steep-banked shoreline areas. The limited overhead and in-water  
38 cover and typically steep-banked and riprapped shorelines limit the quality of the fish rearing  
39 habitat in the area. A total of about 1.1 miles of this habitat may be temporarily affected during  
40 construction.

1 **Table 5.H.6-5. Temporary Channel Habitat Modification (Miles)**

Intake	5–10 Feet Deep at a Distance of 12 Feet*	2.5 Feet Deep at a Distance of 5 Feet	<2.5 Feet Deep at a Distance of 5 Feet	Emergent Vegetation	Overhead Cover				Total Bank Line Affected*
					0%	1–5%	6–25%	26–75%	
Intake 2	0.1	0.2	0.1	0	0	0.2	0.1	0	0.3
Intake 3	0.6	0.6	0	0	0	0	0.6	0	0.6
Intake 5	0.2	0.2	0	0	0	0	0.2	0.03	0.2
Total	0.9	1.0	0.1	0	0	0.2	0.9	0.03	1.1

Units are miles.

Numbers may not add in the table because of rounding.

\* The depth 12 feet from shore is nearly always 5–10 feet; therefore, the total in the first column is generally the same as the final column.

2

3 As with the temporary effects, most of the bank habitat that will be permanently lost is armored  
 4 levee bank, which will be replaced by the intake structures. Some riparian trees and shrubs that  
 5 grow on the levee banks will be lost, slightly reducing instream cover and shade and the  
 6 contribution of leaves, small debris, and insects falling into the river from overhanging vegetation.  
 7 However, bank armoring and lack of physical structure currently limit the quality of this kind of  
 8 habitat. A total of up to about 2.6 miles of riverbank will be permanently affected (see Table  
 9 5.H.6-6). As with the area of temporary effects, nearly all (96 %) of the permanently affected  
 10 shoreline at the three intakes has less than about 25% overhead cover. The proportion of the  
 11 permanently affected area with 6% to 25% overhead cover (about 85% of the affected shoreline) is  
 12 similar to the areas temporarily affected. About 25% of the shoreline at Intake 2 is classified as  
 13 having no overhead cover. The nearshore water depths at Intake 2 also are generally shallower than  
 14 the other intakes, with 93% of permanently affected nearshore area (within 5 feet of the shoreline)  
 15 less than 2.5 feet deep (see Table 5.H.6-6).

16 *CM4 Tidal Natural Communities Restoration, CM6 Channel Margin Enhancement, and CM7 Riparian*  
 17 *Natural Communities Restoration* are expected to more than offset the losses resulting from  
 18 temporary and permanent channel habitat modifications. Habitat restoration completed under the  
 19 conservation measures will occur at various times throughout the life of the project. *CM4 Tidal*  
 20 *Natural Communities Restoration* will provide substantially more rearing and spawning habitat for  
 21 delta smelt and Sacramento splittail by restoring 65,000 acres of tidal habitat. This restoration will  
 22 be implemented incrementally, with the first 4,000 acres restored immediately after BDCP  
 23 authorization. Implementation of *CM6 Channel Margin Enhancement* will be phased, with 5 miles of  
 24 enhancement completed by year 10 and an additional 5 miles completed by each of years 20, 25, and  
 25 30, for a total of 20 miles of enhanced channel margin. This channel margin enhancement is  
 26 designed to improve habitat function in the north Delta along important migratory and rearing  
 27 routes. Actions under *CM7 Riparian Natural Community Restoration* also will be phased, with 2,300  
 28 acres restored by year 15, and 5,000 (cumulative) acres restored by year 40.

1 **Table 5.H.6-6. Permanent Channel Habitat Modifications (Miles)**

Intakes	5–10 Feet Deep at a Distance of 12 Feet	2.5 Feet Deep at a Distance of 5 Feet	<2.5 Feet Deep at a Distance of 5 feet	Emergent Vegetation	Overhead Cover				Total Bank Line Affected
					0%	1–5%	6–25%	26–75%	
Intake 2	1.08	0.9	1.1	0	0.3	0.1	0.8	0	1.2
Intake 3	0.8	0.8	0	0	0	0	0.8	0	0.8
Intake 5	0.6	0.6	0	0	0	0	0.6	0.1	0.6
Total	2.5	2.3	1.1	0	0.3	0.1	2.2	0.1	2.6

Units are miles.  
 Numbers may not add in the table due to rounding.  
 \* The depth 12 feet from shore is typically 5–10 feet; therefore, the total in the first column is the generally the same as the final column.

2

3 **5.H.6.1.4.1 Potential Habitat Modification Effects on Covered Fish Species**

4 Habitat modification will result from direct impacts associated with the intake and barge landing in-  
 5 water and on-bank construction. The loss or modification of habitat could result in species  
 6 displacement. Loss or modification of spawning, rearing, and migrating habitat, as well as the loss or  
 7 change of the benthic communities that covered species use as food sources are discussed below.

8 **Spawning Habitat**

9 Permanent loss of delta smelt and Sacramento splittail spawning habitat could occur as a result of  
 10 the construction activities at the three intakes. There is no suitable spawning habitat in the vicinity  
 11 of the proposed in-water work for any other covered species; therefore, no spawning habitat of  
 12 other covered fish species is expected to be affected.

13 **Rearing Habitat**

14 Permanent loss of low-value rearing habitat will occur where the existing riverbanks and streambed  
 15 will be replaced with permanent in-water structures. Construction and channel dredging will  
 16 permanently alter up to about 2.6 miles of channel margin (see Table 5.H.6-6), including a total of  
 17 about 1.2 miles of permanent cofferdam and intake structures (see Table 5.H.4-1). These structures  
 18 will convert relatively steep-banked riprap shoreline to vertical walls, further reducing the quality of  
 19 the rearing habitat in the area. The remainder of the 2.6 miles of permanent effects will consist  
 20 primarily of replacing or adding riprap to existing levee habitat. In addition, about 5.1 acres of in-  
 21 water habitat will be permanently lost and replaced by the intake structures, and about 14.6 acres  
 22 will be temporarily affected by construction activities or dredging. All the juvenile covered fish likely  
 23 use these habitat areas, particularly the channel margin, and may be affected by the changes.  
 24 However, these affected areas represent a small fraction of the total rearing habitat occurring in the  
 25 Plan Area, and were of low value to begin with.

26 **Migration Habitat**

27 Cofferdams will isolate the work areas, temporarily reducing the width of the Sacramento River  
 28 available to fish for migration, although not enough to prevent fish passage for any of the covered  
 29 fish species. However, the intakes typically will replace sloped armored shoreline habitat with a

1 similarly artificial shoreline, except that it will be vertical and in deeper water. The intakes will have  
2 transition walls that gradually extend from the shoreline out to the offshore limit of the intake  
3 structure, rather than a wall perpendicular to the shoreline. These transition walls will be  
4 constructed at both the upstream and downstream ends of the intake to minimize potential effects  
5 on migrating fish. While the gradual transitions will minimize the potential creation of predator  
6 holding areas adjacent to the intakes, juvenile migrants may be forced to follow a path through  
7 deeper-water habitat, potentially increasing the risks of predation. Surface-oriented larval or  
8 juvenile fish are believed to be at greater risk from predation when forced or diverted to deeper  
9 water areas that provide less protection from larger predators than shallow water shoreline habitat.  
10 Although, the riprap armored shorelines that will be replaced already provide similar deep water  
11 shoreline habitat, they also provide areas between the rocks as potential refuge from predators.  
12 Additionally, construction of the intakes will result in a permanent loss of approximately 2.6 miles of  
13 salmon rearing and migration channel margin habitat<sup>7</sup>. Implementation of *CM6 Channel Margin*  
14 *Enhancement* will enhance 20 miles of the Sacramento River, including the vicinity of the intake  
15 structures, to provide an overall improvement in channel margin habitat function.

## 16 **Benthic Habitat**

17 Construction and channel dredging will temporarily disturb benthic habitat. Benthic organism  
18 removal from dredging, and burying deposit feeders, suspension/deposit feeders, and suspension  
19 feeders, will occur in portions of the dredged area. Removing these organisms through dredging or  
20 disposal may cause short-term effects on fish species residing in the dredge area by limiting food  
21 resources. In addition, barge operations have the potential to affect bottom sediments and benthic  
22 habitat through propeller wash effects. This is most relevant in the vicinity of the barge landings and  
23 in narrow channels where tugboats will be close to the channel bottom and have the potential to stir  
24 up bottom sediments and submerged aquatic vegetation, potentially resulting in a temporary  
25 disturbance of rearing habitat. Tugboat and barge speed in the narrow channels will be low enough  
26 that vessel wakes are not expected to affect shoreline habitat.

27 Benthic substrate that is excavated contains macroinvertebrates that provide prey for covered fish  
28 species. Covered fish species that consume benthic macroinvertebrates include white and green  
29 sturgeon and Sacramento splittail. This could result in reduced growth of sturgeon and splittail. As  
30 discussed above, only a very small area of total habitat will be affected initially and the work will be  
31 conducted in stages. During construction, up to about 7.5 acres of in-water habitat will be isolated  
32 by the cofferdams, and up to an additional 12.1 acres of substrate habitat will be affected by  
33 dredging or filling activities, for a total of 19.6 acres of habitat temporarily or permanently affected  
34 by intake construction. Cofferdam construction activities will occur for 2 to 5 months at each of the  
35 three intake locations.

36 Because sturgeon and splittail are expected to be in low abundance in the construction areas and  
37 there is other habitat in the immediate vicinity available for foraging, effects will be minor and  
38 temporary. After dredging, there is potential for nonnative invertebrates to colonize the area before  
39 native invertebrates. Invertebrates are dependent on site conditions (depth, substrate, salinity,  
40 velocity) and if they are not changed drastically, there should be no change in invertebrate  
41 populations. Invertebrates are expected to recolonize dredge locations within months; therefore,  
42 potential long-term impacts on fish associated with these activities are expected to be small.  
43 Moreover, the areas of dredging and deposition at any one time are small fractions of the total area

---

<sup>7</sup> Federally designated as critical habitat.

1 of the Sacramento River. Thus, the influx of organisms from the surrounding undisturbed areas can  
2 be rapid.

### 3 **Cover Habitat**

4 In-water pilings and docks installed at barge landings will increase cover habitat that may be used  
5 by predacious fish and contribute to additional predation on covered fish species, including juvenile  
6 Chinook salmon. Predacious fish may benefit most from structures that provide refuge from stream  
7 currents to rest and await smaller fish moving with the current. In addition, the proximity to natural  
8 current breaks and depth changes where predators naturally congregate could influence the effect  
9 of added structures, such as pilings and intakes, on predator behavior. Appendix 5.F, *Biological*  
10 *Stressors and Covered Fish*, includes additional analyses related to predation at the new intakes.  
11 Implementation of *CM15 Localized Reduction of Predatory Fishes* will include removing specific  
12 predator hotspots, targeted predator removal, and other focused methods to reduce predation on  
13 covered fish species. Furthermore, once the construction of the intakes and tunnel is complete, the  
14 barge landings will be removed and no longer provide cover habitat.

## 15 **5.H.6.1.5 Physical Injury or Loss**

### 16 **5.H.6.1.5.1 Entrapment and Handling Stress**

17 In-water work associated with facility construction may include the use of temporary barriers to  
18 buffer pile driving sound and limit the extent of turbidity. Using these temporary barriers has the  
19 potential to entrap fish. Where water depth is shallow, entrapped fish can be netted and removed  
20 from within the enclosed in-water work areas. Fish removal could result in handling stress and  
21 possibly in physical injuries incurred during capture and removal from the area. The risk of fish  
22 entrapment and subsequent handling stress during removal will be minimized by limiting in-water  
23 work to an approved time period (June 1 through October 31). However, because some use of the  
24 affected portion of the Sacramento River and Delta sloughs by covered species continues all year,  
25 there is the potential that some covered species could become trapped within temporary barriers.

26 Cofferdams will isolate the entire work area from the wetted channel during construction of the  
27 inlet facilities. Although fish likely will avoid the noise and activity of sheet-pile installation,  
28 cofferdams and temporary silt curtains have the potential to entrap fish. The number of fish  
29 potentially affected is unknown but could include a few hundred fish (total of all species) (Wones  
30 2008a; Wones 2008b; Kelly et al. 2010), including some smaller numbers of juvenile Chinook  
31 salmon. The risk of fish entrapment and subsequent handling stress during removal will be  
32 minimized by limiting cofferdam construction and other in-water work to approved in-water work  
33 windows (June 1 through October 31) when Chinook salmon presence in the construction area will  
34 be at a minimum. However, because small numbers of juvenile Chinook salmon use the affected  
35 portion of the Sacramento River year-round, there is potential that some juvenile Chinook salmon  
36 could become trapped within temporary cofferdams when they are first installed.

37 Construction of conveyance facilities will intersect a large number of agricultural ditches and drains  
38 and may require in-water construction at certain slough crossings. In addition, construction of barge  
39 landings may require fish exclusion and removal from those areas to prevent injury from pile  
40 driving or other in-water construction activity associated with these structures. While the exact  
41 locations and methods of fish exclusions and removals are unknown, the in-water work associated  
42 with conveyance structures has the potential to affect fish in the waterways that are accessible to

1 anadromous species. All of these effects could be minimized through development and  
2 implementation of a fish exclusion and relocation plan in coordination with the California  
3 Department of Fish and Wildlife, the U.S. Fish and Wildlife Service, and NMFS.

## 4 **5.H.6.2 Conservation Measures Focused on Restoration**

### 5 **5.H.6.2.1 Presence of Fish Species during Construction**

6 The exact locations and timing of restoration construction activities are not known at this time, and  
7 therefore potential effects on particular fish species and habitats cannot be determined. However,  
8 Table 5.H.3-1 describes the potential for species to occur in each BDCP subregion. Restoration will  
9 be designed to avoid covered fish species and their habitat to the extent possible, including limiting  
10 construction activities in specific areas to times when covered fish are not present.

### 11 **5.H.6.2.2 Water Quality**

#### 12 **5.H.6.2.2.1 Erosion and Sedimentation**

13 Restoration construction activities, such as levee construction, levee breaching, placement of riprap,  
14 dredging, and construction of dikes to maintain adjacent land uses could release sediments into  
15 restored areas. Increased levee erosion can occur along channel banks downstream of tidal  
16 breaches. Erosion also may result from the creation of new channels and altered drainage patterns.  
17 An increased tidal prism could contribute to erosion in sloughs, point bar formation in creeks, and  
18 sedimentation in channels. Increased erosion/sedimentation could disturb fish habitat temporarily  
19 and potentially injure bottom-oriented fish such as sturgeon and splittail. However, given the  
20 avoidance and minimization measures in the erosion and sedimentation control plan (see  
21 Appendix 3.C, *Avoidance and Minimization Measures*) and the temporary nature of restoration  
22 construction and maintenance activities, only minor and temporary increases in erosion and  
23 sedimentation are anticipated, thus making it unlikely that such effects will occur.

#### 24 **5.H.6.2.2.2 Turbidity**

25 High turbidity can affect fish by decreasing foraging success, increasing predation risk, causing  
26 physical injury (e.g., clogging of gills), and reducing uptake of DO. Given the avoidance and  
27 minimization measures to control turbidity (see Appendix 3.C, *Avoidance and Minimization*  
28 *Measures*) and the temporary nature of restoration construction and maintenance activities, only  
29 minor and temporary increases in turbidity are anticipated, making it unlikely that such effects will  
30 occur. Turbidity levels will be monitored when sediment-disturbing activities are conducted, and  
31 such activities will be slowed or curtailed if the established limits on increasing turbidity are  
32 exceeded. These limits are based on water quality regulations that are assumed to be protective of  
33 fish and other aquatic species as described above (see also Appendix 3.C, *Avoidance and*  
34 *Minimization Measures*).

#### 35 **5.H.6.2.2.3 Toxins**

36 Resuspension of toxins attached to sediments that are mobilized during dredging or levee repair  
37 potentially could impair fish behavior, development, growth, survival, and/or reproduction.  
38 Suspension of toxins into the water column is directly related to increased turbidity, which as  
39 discussed above under *CM1 Water Facilities and Operation*, is expected to be controlled.



#### 1        **5.H.6.2.2.4 Accidental Spills**

2        Effects from accidental spills will be similar to those described for *CM1 Water Facilities and*  
3        *Operation*. Given the types of equipment used, any spills will be small, and any effects on fish species  
4        will be minor and temporary.

#### 5        **5.H.6.2.3 Habitat Modification**

6        The realignment of Putah Creek under *CM2 Yolo Bypass Fisheries Enhancement* will permanently  
7        remove existing grassland, managed wetlands, and cultivated lands. Although this habitat  
8        modification will be permanent, it is designed to provide better habitat for covered fish species,  
9        including herbaceous riparian vegetation in the upstream half of the realignment and freshwater  
10       tidal marsh in the downstream half of the realignment. Therefore, the effects on covered fish species  
11       of construction activities related to the realignment are expected to be minor and temporary.

12       Under *CM4 Tidal Natural Communities Restoration*, construction of levees and breaching of levees to  
13       restore tidal flows could alter water salinity. Levee breaching also could result in temporary changes  
14       in channel hydraulics and flow velocities, depending on the size and location of the breach. Changes  
15       in salinity could temporarily disrupt fish passage or displace fish.

16       Construction activities that remove, or remove and replace, riprap may modify fish habitat  
17       temporarily by increasing sediment deposition and disturbing or removing cover. The installation of  
18       riprap typically will occur only in already armored shoreline areas to repair or maintain the  
19       structural integrity of the armor layer or to replace existing armoring. This includes areas near the  
20       proposed intakes, which is already armored, as well as replacing some shoreline armoring at levee  
21       setback sites. While riprap could be used for some restoration activities, restoration will increase  
22       the overall length and area of unarmored habitat and increase the amount of natural shoreline  
23       habitat.

24       Dredging may have a number of temporary effects on habitat. Dredging may increase channel depth  
25       and alter local hydraulics, temporarily impairing fish passage. Dredging may injure or kill benthic  
26       invertebrates, temporarily reducing forage for benthic feeding fish (sturgeon, splittail) and the  
27       quality of rearing habitat.

#### 28       **5.H.6.2.4 Physical Injury or Loss of Individuals**

29       Dredging may disturb or remove bottom sediments, leading to physical injury or mortality of  
30       individual fish. Dredging also may injure or kill lamprey ammocoetes in bottom sediments. Activities  
31       such as placement and removal of riprap may increase sediment inputs and sediment deposition,  
32       resulting in fish injury or mortality. Injury and mortality can be minimized, however, by timing  
33       dredging and shoreline construction activities so that fish are uncommon or absent at the dredging  
34       site.

35       *CM4 Tidal Natural Communities Restoration* will include some conversion of nonvegetated areas to  
36       vegetated areas, potentially increasing the rate of mercury methylation, which can bioaccumulate  
37       through the foodweb to fish and humans. The effects of methylmercury are uncertain but potentially  
38       significant.

### 1 **5.H.6.3 Other Conservation Measures**

2 Many of the construction stressors and effects associated with other conservation measures will be  
3 similar to those described above for *CM1 Water Facilities and Operation* and restoration  
4 conservation measures (*CM2 Yolo Bypass Fisheries Enhancement*, *CM4 Tidal Natural Communities*  
5 *Restoration*, *CM5 Seasonally Inundated Floodplain Restoration*, *CM6 Channel Margin Enhancement*,  
6 *CM7 Riparian Natural Community Restoration*). The following subsections present results by  
7 conservation measure.

#### 8 **5.H.6.3.1 CM14 Stockton Deep Water Ship Channel Dissolved Oxygen** 9 **Levels**

10 *CM14 Stockton Deep Water Ship Channel Dissolved Oxygen Levels* construction activities include the  
11 construction of aeration facilities. Aeration facilities will be built above the ordinary high water  
12 mark on upland habitat. Installation of aeration devices in the channel may involve use of in-water  
13 equipment but is unlikely to involve impact or vibratory pile driving. This will require development  
14 of site-specific plans in consultation with the fish and wildlife agencies (U.S. Fish and Wildlife  
15 Service, NMFS, and California Department of Fish and Game). Aeration facility construction activities  
16 could occur at locations in the south Delta that have yet to be determined. At this time, specific  
17 locations have not been identified for aeration facilities. However, because the objective is to  
18 improve DO levels in the Stockton Deep Water Ship Channel, sites will be in locations that are not  
19 frequently used as habitat because of low DO levels by the covered species, particularly smelts,  
20 salmonids (Chinook salmon and steelhead), Sacramento splittail, white and green sturgeon, and  
21 lampreys.

##### 22 **5.H.6.3.1.1 Decrease in Water Quality**

23 Turbidity and suspension of potentially toxic sediments associated with in-water structure  
24 construction will be similar to that described above for the north Delta intakes and restoration  
25 conservation measures. Local temporary increases in turbidity likely will cause juvenile salmonids  
26 to avoid the area during removal of structures and vessels and channel reconfiguration work.  
27 Juvenile salmonids have been shown to avoid areas of high turbidity, possibly because of reduced  
28 foraging ability.

##### 29 **5.H.6.3.1.2 Habitat Modification**

30 Aeration facilities could modify nearshore bank habitat for covered species. Vegetation and tree  
31 cover could be removed to construct aeration facilities. In-channel aeration structures could modify  
32 habitat for covered species. However, the potential for adverse modifications to habitat used by  
33 covered species will be evaluated in the planning and consultation process for each individual  
34 location considered for habitat alterations in order to ensure that benefits of increased DO to  
35 covered species outweigh potential habitat losses. Installation of aeration structures ultimately will  
36 increase DO, which will benefit covered species that otherwise could be blocked from using habitat  
37 with low water quality.

#### 38 **5.H.6.3.2 CM15 Localized Reduction of Predatory Fishes**

39 Construction activities under *CM15 Localized Reduction of Predatory Fishes* include removal of in-  
40 water structures and vessels and targeted fish removal activities (targeted predator removal effects

1 are described in Appendix 5.F, *Biological Stressors and Covered Fish*). Removal of in-water structures  
2 likely will be achieved with barge-mounted cranes and equipment. Pilings and docks may be floated  
3 off or placed on barges and moved by tugboats. *CM15 Localized Reduction of Predatory Fishes* will  
4 require development of site-specific plans in consultation with the fish and wildlife agencies. This  
5 measure will be used only in locations that have been identified as hotspots for predators. At this  
6 time, specific locations have not been identified for structure removal, vessel removal, or  
7 modification of channel geometry. However, because the objective is to reduce opportunities for  
8 predation, sites will be in locations that are used frequently by the covered fish species, particularly  
9 smelts, juvenile salmonids, and Sacramento splittail, as identified in Table 5.H.2-2.

#### 10 **5.H.6.3.2.1 Underwater Sound**

11 Removal of pilings or other underwater structures could involve use of vibratory methods. This  
12 could generate sounds that could cause avoidance behavior among any fish present. However, as  
13 discussed in Section 5.H.5.1.1, *Underwater Noise and Vibration*, the sound levels will not approach  
14 the peak or cumulative sound criteria or injure covered fish species. In addition, sound and vibration  
15 are expected to be short-term and temporary; generally, sound will be elevated for only a portion of  
16 a day for a few days at any given site.

17 Although noise and activity will not cause acoustic injury, it does have the potential to result in  
18 avoidance behavior among all fish species in the vicinity, including covered species.

19 Because of the low level of noise and activity, little to no direct injury to covered fish species is  
20 anticipated. Local temporary increases in turbidity likely will cause fish to avoid the area during  
21 removal of structures and vessels and channel reconfiguration work.

#### 22 **5.H.6.3.2.2 Decrease in Water Quality**

23 Turbidity and suspension of potentially toxic sediments associated with pile removal and vessel  
24 removal will be similar to that described above for the north Delta intakes and restoration  
25 conservation measures and are summarized in Table 5.H.4-1. Local temporary increases in turbidity  
26 likely will cause juvenile salmonids, Sacramento splittail, adult smelt, adult and juvenile sturgeon,  
27 and adult and juvenile lamprey to avoid the area during removal of structures and vessels and  
28 channel reconfiguration work.

#### 29 **5.H.6.3.2.3 Habitat Modification**

30 Habitat modifications to eliminate predator hiding locations will affect habitat for covered species.  
31 However, the potential for adverse modifications to habitat used by covered species will be  
32 evaluated in the planning and consultation process for each individual location considered for  
33 habitat alterations in order to ensure that benefits of reduced predation to covered species outweigh  
34 potential habitat losses. Placement of rock and other fill material potentially could bury benthic  
35 fishes. However, virtually all fish will be able to avoid the disturbance area and avoid injury.

36 Removal of structures and derelict vessels ultimately will reduce habitat for predatory fish species,  
37 which will benefit salmonids and smelt that otherwise are at risk of predation as they pass these  
38 structures during migration and rearing. However, removal will have little effect on sturgeon or  
39 lamprey, other than potentially slight indirect benefits for sturgeon and lamprey that feed on similar  
40 prey species.

### 1 **5.H.6.3.3 CM16 Nonphysical Fish Barriers**

2 *CM16 Nonphysical Fish Barriers* proposes to install nonphysical barriers at important channel  
3 junctions between October and June (or at times deemed appropriate by fishery agencies) to deter  
4 juvenile salmonids from migrating down waterways that have the potential for relatively low  
5 survival. The main locations that may be considered include the divergences of (1) Sacramento  
6 River and Georgiana Slough in the North Delta subregion, and (2) San Joaquin River and Old River in  
7 the South Delta subregion (the head of Old River). Additional locations in the South Delta subregion  
8 that may be considered for nonphysical barriers include the divergences of San Joaquin River with  
9 Turner and Columbia Cuts, and the entrances to the south Delta export facilities (Clifton Court  
10 Forebay and the Delta-Mendota Canal intake). Should nonphysical barriers be installed to deter  
11 delta smelt and longfin smelt from movement into the south Delta subregion, nonphysical barriers  
12 could be installed in the West Delta subregion at Threemile Slough and the mouths of Old and  
13 Middle Rivers.

14 Section 5H.2 and Table 5.H.2-2 describe species presence in the area that may be affected by the  
15 installation of nonphysical barriers.

#### 16 **5.H.6.3.3.1 Underwater Sound**

17 The nonphysical barriers that have been tested in the Plan Area are temporary structures that are  
18 installed for a limited period of time and then removed and stored off site. The deterrent  
19 components of the barrier are mounted on frame segments that are attached to piles driven into the  
20 riverbed. The barrier components, including piles, will be removed at the end of the Chinook salmon  
21 migration season. Installation of nonphysical barriers under the BDCP may be similar to this ‘total-  
22 removal’ scenario or could include construction of permanent features (e.g., mounting structures on  
23 the riverbed that allow the nonphysical barrier to be installed on an annual basis without the need  
24 for annual pile driving). The discussion here generally assumes an installation and removal protocol  
25 similar to that used at Georgiana Slough and the head of Old River (full removal).

26 The underwater sound resulting from installing and removing the nonphysical barriers could cause  
27 additional avoidance behavior among any fish present. However, as discussed in Section 5.H.5.1.1,  
28 *Underwater Noise and Vibration*, the sound levels will not approach the peak or cumulative sound  
29 criteria or injure covered fish species. In addition, sound and vibration are expected to be short-  
30 term and temporary; generally, sound will be elevated for only a portion of a day for a few days at  
31 any given site.

#### 32 **5.H.6.3.3.2 Decrease in Water Quality**

33 Local temporary increases in turbidity likely will cause covered fish species to avoid the area during  
34 removal of structures; however, this is expected to be short-term. *CM22 Avoidance and Minimization*  
35 *Measures* will include measures to ensure the increased turbidity is controlled and does not result in  
36 adverse effects on fish.

#### 37 **5.H.6.3.3.3 Habitat Modification**

38 Impacts on the channel from installing or removing the nonphysical barriers will be minor;  
39 therefore, habitat modification is not expected.

#### 1 **5.H.6.3.4 CM21 Nonproject Diversions**

2 *CM21 Nonproject Diversions* construction activities include removing or screening nonproject  
3 unscreened diversions. The project area includes approximately 2,589 nonproject diversions, many of  
4 which redirect water to nearby agricultural fields between April and August. The construction  
5 activities will involve equipment and activities similar to those described for other conservation  
6 measures in previous sections, such as the use of on-bank equipment to clear on-bank vegetation,  
7 dredging equipment to remove sediment around existing diversion pipes, and in-water work to place  
8 screens over existing diversion pipes. If existing smaller diversions are consolidated or a new  
9 diversion is constructed to replace multiple smaller ones, a cofferdam may be required as described in  
10 Section 5.H.4.1.3; this will require vibratory pile driving and dewatering as described in *CM1 Water*  
11 *Facilities and Operation* and Section 5.H.4.1.3. A dewatering plan for the cofferdam area will be  
12 developed as part of *CM 22 Avoidance and Minimization Measures* (see Appendix 3.C, *Avoidance and*  
13 *Minimization Measures*) and will address where to pump the water entrapped in the cofferdam. The  
14 dewatering plan also is intended to comply with federal Clean Water Act Section 401 and other  
15 applicable permit conditions. *CM 22 Avoidance and Minimization Measures* also includes a Fish Salvage  
16 and Rescue Plan that will be implemented during the cofferdam dewatering process to minimize  
17 potential effects on fish species in the area (see Appendix 3.C, *Avoidance and Minimization Measures*).

18 The nonproject diversions are concentrated in the Cache Slough area. The distribution, status, and  
19 biology of each covered species found in the area potentially can be affected by the remediation of  
20 nonproject-related diversions. All of the covered species associated with the BDCP occur in the  
21 Cache Slough area as identified by Table 5.H.2-2.

##### 22 **5.H.6.3.4.1 Underwater Sound**

23 The underwater sound generated by consolidation of smaller unscreened diversions will be similar  
24 to that described above for *CM16 Nonphysical Fish Barriers*. Specifically, vibratory methods will be  
25 used to drive sheet piles into place to support a cofferdam. The construction likely will take place  
26 over several days to weeks in 8-hour work periods. The sound levels will not approach the peak or  
27 cumulative sound criteria or injure covered fish species. In addition, sound and vibration are  
28 expected to be short-term and temporary; generally sound will be elevated for only a portion of a  
29 day for a few days at a given site.

##### 30 **5.H.6.3.4.2 Water Quality**

31 Construction-related activities will result in water quality impacts similar to those discussed for  
32 other conservation measures, including temporary, localized increases in turbidity and the potential  
33 for accidental spills. As previously discussed, implementation of *CM22 Avoidance and Minimization*  
34 *Measures* will result in a low probability of effects on water quality.

##### 35 **5.H.6.3.4.3 Habitat Modification**

36 Construction-related activities have the potential to temporarily or permanently alter habitat  
37 conditions in the vicinity of nonproject diversions. During construction of the intake structures,  
38 dredging will occur that could modify existing benthic habitat used by aquatic covered species as  
39 food sources. However, the diversions are associated with habitat used by all covered fish species, so  
40 habitat benefits potentially accrue to all species once construction is complete. The relative benefits  
41 are likely to vary with respect to local abundance of each covered fish population, with larger  
42 benefits to larval and juvenile life stages that have low swimming velocity and/or a propensity to  
43 move with the flow vector.

## 5.H.7 Maintenance-Related Effects

Maintenance of the water conveyance facilities and restoration areas will result in potential stressors to aquatic species, similar to those described above for construction, but the magnitude of the effects will be less for maintenance activities. Therefore, these stressors are summarized below (underwater sound, water quality, changes in habitat, and direct loss of individuals). Differences are noted where they occur, and avoidance and minimization measures are included they relate to each stressor.

### 5.H.7.1 Underwater Sound

Maintenance of intake pumps may require the use of underwater divers, equipment, vessels, and barges to assess or fix problems with the intake pumps. This equipment may cause underwater sound. Maintenance of the restoration areas may include dredging equipment that could cause underwater sound. Because the powertrains for the dredges will be out of the water, underwater noise levels associated with these activities likely will be at 150 dB (RMS) or lower.

Noise levels produced by operations and maintenance activities are not expected to reach a level that will harm juvenile or adult fishes. Because most maintenance activities are anticipated to occur above water, the noise levels underwater will be much lower than those created in the air.

### 5.H.7.2 Water Quality

Increased turbidity could result from maintenance dredging, embankment maintenance, or other maintenance activities like cleaning fish screens that require instream work.

Although dredging could be needed to maintain channels in restoration areas or to remove sediment accumulation at the intakes, frequent maintenance dredging is not anticipated. Dredging operations disturb bottom sediments, resulting in increased turbidity and potential suspension of toxin-contaminated sediments in the water column where they can become more bioavailable to pelagic species. The following maintenance may be needed during the operational phase of the project.

- Suction dredging around intake structure using raft- or barge-mounted equipment and pumping sediment to a landside spoil area.
- Mechanical excavation around intake structures using track-mounted equipment and clamshell dragline from the top deck after installing a floating turbidity control curtain.
- Dewatering of intake/sedimentation basin/pumping plant bays to remove sediment buildup in conduits and channels using small front end-loading equipment and manual labor.

The same requirements that are discussed in previous sections for other in-water construction work also apply to dredging and other in-water maintenance activities (see Appendix 3.C, *Avoidance and Minimization Measures*). These activities will adhere to other water quality permits and the Basin Plan to maintain appropriate water quality conditions during construction. Work will be limited to periods when species abundance is low. Thus, effects on covered fish species will be minimal.

Effects of maintenance dredging will be similar to construction effects. Because turbidity and suspension of toxins into the water column are directly related, the restrictions on increased turbidity described above apply to toxins, and little increased exposure to covered fish species is expected.

1 Contaminant spills can occur during maintenance activities of intake pumps or maintenance  
2 dredging. Use of oil, gasoline, lubricants, or other fluids used for maintenance of intake pumps, fish  
3 screens, or equipment such as boats and barges can enter the water directly or by seepage.  
4 Protective spill prevention measures discussed in the construction section also apply to  
5 maintenance activities and result in a very low risk of effects on covered fish species.

6 The potential effects of decrease in water quality will be similar to those described above for effects  
7 associated with construction of facilities. However, they will be much shorter in duration and highly  
8 isolated to the actual facility or specific restoration area being maintained.

### 9 **5.H.7.3 Habitat Modification**

10 Two maintenance activities, dredging and riprap placement, will change and possibly reduce habitat  
11 values in the area around the intakes and levees. Although the areas around the intakes will already  
12 be modified permanently from construction of the intakes, further modifications related to  
13 maintenance, such as placement of additional riprap, could further deteriorate the quality of this  
14 area for rearing and migration. Benthic infauna are most vulnerable to dredging operations,  
15 although epibenthic and demersal species also are vulnerable (Nightingale and Simenstad 2001).  
16 Disturbed sites subsequently are expected to be recolonized, primarily by the lateral movement of  
17 organisms and by settlement of planktonic (larval) forms (Ely and Viani 2010). Recovery is expected  
18 to be quickest in areas of high productivity and turnover rates, high dispersal ability, planktonic  
19 larvae, and most importantly a source of benthic invertebrate recovery (downstream drift, aerial  
20 dispersal, etc.). In a study of the recovery rates of macroinvertebrate communities following  
21 disturbance, Niemi et al. (1990) found that 90% of the areas recovered within 1 year. In addition,  
22 NMFS (2005b) reports that macroinvertebrate community abundance and diversity recover rapidly  
23 after dredging river habitat, typically within a few weeks.

24 While the initial colonizers are often opportunistic species that differ from those that were present  
25 prior to sediment removal, over time, the new biotic community often comes to resemble the  
26 community prior to removal (Cohen 2008). However, because benthic areas are rapidly recolonized  
27 by macroinvertebrates following disturbance, and subjected to increased foraging by fish, it is  
28 possible that frequent disturbance from maintenance dredging could help nonnative invasive  
29 species spread and colonize disturbed benthic habitats (Hanson Environmental 2004). The potential  
30 spread of nonnative invasive species will be monitored and controlled by *CM13 Invasive Aquatic*  
31 *Vegetation Control*.

32 Overall, the effects of periodic and localized dredging on prey organisms are not expected to be  
33 significant. The area of suitable habitat for those species affected by dredging is a fraction of the  
34 total habitat area available in the Delta. Therefore short-term effects on prey availability for covered  
35 species are expected to be negligible.

36 Placement of riprap at levee breach locations or other areas where restoration or other  
37 conservation measures are constructed will result in temporary adverse effects on habitat, but will  
38 maintain the degraded habitat baseline over time (i.e., maintenance riprap will be used only to  
39 replace existing riprap that has failed). The magnitude of this effect will depend on site-specific  
40 conditions prior to placement of riprap. The associated habitat disturbance likely could result in  
41 localized effects on habitat suitability, including a reduction in benthic habitat condition and  
42 macroinvertebrate diversity and abundance.

## 1 **5.H.7.4 Physical Injury or Loss of Individuals**

2 Injury and loss of individual fish could occur during maintenance activities that use in-water  
3 equipment such as boats, barges and dredging equipment.

4 Injury or loss of fish is most likely to occur during dredging activities around the new intakes.  
5 Suction dredging, mechanical excavation, and possible front end-loading equipment can capture or  
6 crush fish, causing injury or mortality. Some special-status fish species, such as green sturgeon, are  
7 more likely to become entrained in the dredging equipment because they are benthic-oriented  
8 species. Salmonids and other fish that use main channel areas and the upper water column are  
9 therefore less likely to become injured or killed by dredging equipment. Dredging will be required  
10 infrequently, include relatively small areas of the river, typically occur during the approved in-water  
11 work window (June through October), and follow established BMPs typically used for maintenance  
12 dredging operation elsewhere in the Delta, such as the deepwater shipping channel dredging in the  
13 Sacramento and San Joaquin Rivers. Such BMPs could include minimizing the dredge flow field.  
14 Boysen and Hoover (2009) report that the probability of entraining white sturgeon can be  
15 minimized by reducing the dredge head flow field to less than 45 centimeters per second. This  
16 approach assumes that risk of entrainment is determined principally by dredge-induced water  
17 velocities and the swimming responses and abilities of sturgeon and other potentially vulnerable  
18 species. Total risk of entrainment, however, is a cumulative value associated with behavioral,  
19 physiological, and demographic data (Hoover et al. 2005). In addition to swimming performance  
20 data, a risk analysis will require information on responses of sturgeon to dredge-induced  
21 perturbations like noise and turbidity, and localized sturgeon abundance and distribution at the  
22 dredging location.

23 Entrainment rates for maintenance dredging of the Sacramento River navigation channels appear to  
24 have negligible effects for most covered species. For example, during 4 years of entrainment  
25 monitoring only two juvenile green sturgeon were observed in trawl studies, and these occurrences  
26 were outside of the proposed in-water work window (Mari-Gold Environmental Consulting and  
27 Novo Aquatic Sciences 2010). In 2008, the only covered species captured in the Sacramento River  
28 trawl surveys were 22 delta smelt, 21 longfin smelt, and 7 white sturgeon. These three species  
29 represented between 1.7% and 5.4% of the total catch of 405 fish (SWCA Environmental  
30 Consultants 2009). While no delta or longfin smelt were captured in similar sampling in 2009,  
31 7 white sturgeon were captured (Mari-Gold Environmental Consulting and Novo Aquatic Sciences  
32 2010).

33 While no lamprey were captured in the 2008 trawl surveys, a total of 31 were captured during  
34 dredge entrainment sampling, representing about 11% of the 278 fish captured (SWCA  
35 Environmental Consultants 2009). While about half of these lamprey were not identified to species  
36 those identified were all river lamprey. Similarly, only river lamprey were collected in sampling in  
37 2007 and 2006, although one Pacific lamprey was collected in 2009 (SWCA Environmental  
38 Consultants 2007, 2008, 2009; Mari-Gold Environmental Consulting and Novo Aquatic Sciences  
39 2010). Extrapolations based on the volume of the dredged material sampled in 2008 (468,272 cubic  
40 yards) predict that lamprey would have represented 33.6% of the total extrapolated entrainment  
41 estimate (6,483 fish). It is important to note that the area affected by navigation channel  
42 maintenance is considerably larger than the area that will be subject to construction and  
43 maintenance dredging (1,000+ acres versus approximately 23 acres); therefore, the probability of  
44 entrainment during construction and maintenance will be commensurately smaller.



1 Applying appropriate BMPs, as discussed in *CM22 Avoidance and Minimization Measures*, will  
2 minimize the potential effects of dredging and other periodic and localized maintenance activities on  
3 sturgeon and other covered fish species.

## 4 **5.H.8 Conclusions**

5 Table 5.H.8-1 summarizes conclusions about the potential effects on fish species and fish habitat of  
6 construction and maintenance activities associated with specific conservation measures. These  
7 conclusions are preliminary because the exact locations and timing of activities for most of these  
8 conservation measures have not yet been determined. The significance of potential effects on fish  
9 species will depend primarily on the presence of sensitive species and life stages relative to the  
10 timing of construction and maintenance activities.

11

1  
2  
3

**Table 5.H.8-1. Construction and Maintenance Activities Associated with Conservation Measures and Potential Stressors and Effects on Fish and Fish Habitat**

<b>Construction and Maintenance Activities</b>	<b>CMs</b>	<b>Potential Stressors</b>	<b>Potential Effects on Fish/Fish Habitat</b>	<b>Effect Summary (accounts for species presence)</b>
Impact pile driving	1	Underwater noise	Disturbance of fish passage, fish displacement, and/or fish injury or loss	Low to moderate adverse effect
		Increased turbidity	Altered foraging success	No effect to low adverse effect
			Altered predation risk Reduced DO	
Toxins from sediments	Impairment of behavior, development, growth, and/or reproduction	No effect to low adverse effect		
Vibratory sheet pile driving or vibratory pile driving	1, 16	Underwater noise	Disturbance of fish passage and/or fish displacement	Low adverse effect
		Increased turbidity	Altered foraging success	No effect to low adverse effect
			Altered predation risk Reduced DO	
		Toxins from sediments	Impairment of behavior, development, growth, and/or reproduction	No effect to low adverse effect
		Increased erosion/sedimentation	Disturbance of rearing habitat	No effect to low adverse effect
Disturbance of benthic habitat	Decreased foraging success	No effect to low adverse effect		
Grading	2, 4, 5, 6, 7	Increased erosion/sedimentation	Impairment of spawning and/or rearing	No effect to low adverse effect
		Increased turbidity	Altered foraging success	No effect to low adverse effect
			Altered predation risk Reduced DO	
Channel dredging/excavation	4, 5, 15	Increased turbidity	Altered foraging success	No effect to low adverse effect
			Altered predation risk Reduced DO	
		Resuspension of toxins attached to sediments	Impairment of behavior, development, growth, and/or reproduction	No effect to low adverse effect
		Disturbance/removal of channel sediments	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
Disturbance, injury, and/or mortality of fish				

<b>Construction and Maintenance Activities</b>	<b>CMs</b>	<b>Potential Stressors</b>	<b>Potential Effects on Fish/Fish Habitat</b>	<b>Effect Summary (accounts for species presence)</b>
		Injury or loss of benthic invertebrates	Decreased forage for benthic feeding fish.	No effect to low adverse effect
Refueling, operating, and storing construction equipment and materials	1, 2, 4, 5, 6, 7, 14, 15, 16, 18, 19, 21	Accidental spills or runoff of toxins	Impairment of behavior, development, growth, and/or reproduction	No effect to low adverse effect
		Increased erosion/sedimentation	Impairment of spawning, rearing, and/or migration habitat	No effect to low adverse effect
		Increased turbidity	Altered foraging success	No effect to low adverse effect
			Altered predation risk	
Reduced DO				
Placement/removal of rip-rap or other bank protection		Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
		Increased turbidity	Altered foraging success	No effect to low adverse effect
			Altered predation risk	
Reduced DO				
Levee breaching	4, 5	Changes in channel morphology and hydraulics	Disturbance of fish passage and/or fish displacement	No effect to low adverse effect
			Impairment of spawning, rearing, and/or migration habitat	
		Increased turbidity	Altered foraging success	No effect to low adverse effect
			Altered predation risk	
			Reduced DO	
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
Changes in flow velocities	Impairment of fish passage and/or fish displacement	No effect to low adverse effect		
	Reduction in rearing habitat			
Construction of levees/embankments	4, 5	Removal/destruction of cover	Reduction in habitat quantity and/or quality	No effect to low adverse effect
		Changes in salinity	Disturbance of fish passage and/or fish displacement	No effect to low adverse effect
			Impairment of spawning, rearing, and/or migration habitat	

<b>Construction and Maintenance Activities</b>	<b>CMs</b>	<b>Potential Stressors</b>	<b>Potential Effects on Fish/Fish Habitat</b>	<b>Effect Summary (accounts for species presence)</b>
Use of equipment in riparian areas	1, 2, 4, 6, 7	Changes in noise, light from physical movements of people and equipment	Disturbance of fish passage and/or fish displacement	No effect to low adverse effect
Clearing, grubbing and/or demolition on riverbanks	1, 2, 4, 5, 6, 7, 14, 18, 19, 21	Increased turbidity	Altered foraging success Altered predation risk Reduced DO	No effect to low adverse effect
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing areas	No effect to low adverse effect <sup>1</sup>
		Reduced cover/shade		
		Reduced input to river of leaves, insects	Reduced rearing habitat quality	No effect to low adverse effect <sup>1</sup>
Detour and levee reinforcement and setback levees	1	Bank disturbance	Reduced rearing habitat quality	No effect to low adverse effect <sup>1</sup>
Installation of aeration facilities	21	Changes in channel morphology and hydraulics	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
Removal of in-water docks, vessels, or barriers	1, 15, 16	Channel disturbance	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
		Disturbance of benthic habitat	Decreased foraging success	No effect to low adverse effect
Construction of dikes to maintain adjacent land uses	2, 4, 5	Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
		Increased turbidity	Altered foraging success Altered predation risk Reduced DO	No effect to low adverse effect
			Reduced DO	
Installation of irrigation infrastructure and levees to control irrigation during vegetation establishment	2, 4	Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
		Increased turbidity	Altered foraging success Altered predation risk Reduced DO	No effect to low adverse effect
			Reduced DO	

Construction and Maintenance Activities	CMs	Potential Stressors	Potential Effects on Fish/Fish Habitat	Effect Summary (accounts for species presence)
<b>Maintenance</b>				
Use of in-water equipment; water control structure maintenance or replacement; infrastructure maintenance	1, 14, 16, 18, 19, 21	Increased turbidity	Altered foraging success	No effect to low adverse effect
			Altered predation risk	
			Reduced DO	
		Toxins (from sediments and spills)	Impairment of behavior, development, growth, survival, and/or reproduction	No effect to low adverse effect
		Channel disturbance	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect		
Dredging	1,4, 16	Increased turbidity	Altered foraging success	No effect to low adverse effect
			Altered predation risk	
			Reduced DO	
		Contaminant resuspension	Impairment of growth, and/or reproduction	No effect to low adverse effect
		Disturbance and/or removal of channel sediments	Impairment of spawning and/or rearing habitat	No effect to low adverse effect
			Disturbance, injury, and/or mortality of fish	No effect to low adverse effect
Disturbance of benthic habitat	Decreased foraging success	No effect to low adverse effect		
Levee maintenance (e.g., grading, breach repair, and riprap replacement)	2, 4, 5, 6, and 7	Increased turbidity	Altered foraging success	No effect to low adverse effect
			Altered predation risk	
			Reduced DO	
		Toxins (from sediments)	Impairment of growth and/or reproduction	No effect to low adverse effect
		Increased erosion/sedimentation	Disturbance of spawning and/or rearing habitat	No effect to low adverse effect
DO = dissolved oxygen.				
<sup>1</sup> Note that the level of effect will depend on location and extent that can be determined only when design and exact location of these features have been completed.				

1

## 1 5.H.9 References Cited

### 2 5.H.9.1 Literature Cited

- 3 Adams, P. B., C. B. Grimes, J. E. Hightower, S. T. Lindley, and M. L. Moser. 2002. *Status Review for*  
4 *North American Green Sturgeon (Acipenser medirostris)*. June. Santa Cruz, CA: National Marine  
5 Fisheries Service, and Seattle, WA: National Marine Fisheries Service.
- 6 Baerwald, M., V. Bien, F. Feyrer, and B. May. 2007. Microsatellite Analysis Reveals Two Genetically  
7 Distinct Splittail (*Pogonichthys macrolepidotus*) Populations in the San Francisco Estuary.  
8 *Conservation Genetics* 8:159–167.
- 9 Bash, J., C. Berman, and S. Bolton. 2001. *Effects of Turbidity and Suspended Solids on Salmonids*.  
10 Seattle, WA. Available: <<http://www.wsdot.wa.gov/research/reports/fullreports/526.1.pdf>>.  
11 Accessed: September 17, 2009.
- 12 Baxter, R. D. 1999a. Osmeridae. In J. Orsi (ed.), *Report on the 1980–1995 Fish, Shrimp and Crab*  
13 *Sampling in the San Francisco Estuary, California*. Sacramento, CA: Interagency Ecological  
14 Program for the Sacramento-San Joaquin Estuary.
- 15 Baxter, R. D. 1999b. Status of Splittail in California. *California Fish and Game* 85(1):28–30.
- 16 Baxter, R., R. Breuer, L. Brown, M. Chotkowski, F. Feyrer, M. Gingras, B. Herbold, A. Mueller-Solger,  
17 M. Nobriga, T. Sommer, and K. Souza. 2008. *Pelagic Organism Decline Progress Report: 2007*  
18 *Synthesis of Results*. January 15, 2008. Available:  
19 <[http://www.waterrights.ca.gov/baydelta/docs/pelagicorganism/pod\\_ieppodmt\\_2007synthesi](http://www.waterrights.ca.gov/baydelta/docs/pelagicorganism/pod_ieppodmt_2007synthesi)  
20 [s\\_011508.pdf](http://www.waterrights.ca.gov/baydelta/docs/pelagicorganism/pod_ieppodmt_2007synthesis_011508.pdf)>.
- 21 BDAT: Bay-Delta Tributaries unpublished database, fall midwater trawl green sturgeon captures  
22 from 1969 to 2003. Available: <[www.IEP.water.ca.gov](http://www.IEP.water.ca.gov)>.
- 23 Beamish, R. J., and C. M. Neville. 1995. Pacific Salmon and Pacific Herring Mortalities in the Fraser  
24 River Plume Caused by River Lamprey (*Lampetra ayresi*). *Canadian Journal of Fisheries and*  
25 *Aquatic Sciences* 52(3):644–650.
- 26 Beamish, R. J., and J. H. Youson. 1987. Life History and Abundance of Young Adult Lampetra Ayresi in  
27 the Fraser River and Their Possible Impact on Salmon and Herring Stocks in the Strait of  
28 Georgia. *Canadian Journal of Fisheries and Aquatic Sciences* 44:525–537.
- 29 Bennett, W. A. 2005. Critical Assessment of the Delta Smelt Population in the San Francisco Estuary,  
30 California. *San Francisco Estuary and Watershed Science* 3(2):1–71.
- 31 Bennett, W. A., W. J. Kimmerer, and J. R. Burau. 2002. Plasticity in Vertical Migration by Native and  
32 Exotic Estuarine Fishes in a Dynamic Low–Salinity Zone. *Limnology and Oceanography* 47(5):  
33 1496–1507.
- 34 Bowen, M. D., and R. Bark. 2010. *2010 Effectiveness of a Non-Physical Fish Barrier at the Divergence of*  
35 *the Old and San Joaquin Rivers (CA)*. Draft Technical Memorandum: 86-68290-10-07. September.  
36 Prepared by U.S. Department of Interior, Bureau of Reclamation, Denver, CA.

- 1 Bowen, M. D., Hiebert, St., Hueth, C., and V. Maisonneuve. 2009 Effectiveness of a Non-Physical Fish  
2 Barrier at the Divergence of the Old and San Joaquin Rivers (CA). Technical report 86-68290-09-  
3 05. September 2009.
- 4 Boysen, K. A., and J. J. Hoover. 2009. Swimming performance of juvenile white sturgeon (*Acipenser*  
5 *transmontanus*): training and the probability of entrainment due to dredging. *Journal of Applied*  
6 *Ichthyology*, 25: 54–59.
- 7 Brown, L. R., and P. B. Moyle. 1993. Distribution, Ecology, and Status of the Fishes of the San Joaquin  
8 River Drainage, California. *California Fish and Game* 79(3):96–114.
- 9 California Department of Fish and Game. Undated. Unpublished data. Available:  
10 <[http://www.dfg.ca.gov/fish/Fishing/Monitoring/SHRC/SHRC\\_Details.asp](http://www.dfg.ca.gov/fish/Fishing/Monitoring/SHRC/SHRC_Details.asp)>.
- 11 California Department of Fish and Game. 1995. Fish Species of Special Concern in California, Spring-  
12 run Chinook Salmon. Habitat Conservation Planning Branch. Available:  
13 <<http://www.dfg.ca.gov/hcpb/species/ssc/sscfish/sprngrchnok.htm>>. Accessed June 21,  
14 2007.
- 15 California Department of Fish and Game. 1998. *A Status Review of the Spring-Run Chinook Salmon*  
16 (*Oncorhynchus tshawytscha*) in the Sacramento River Drainage. Candidate Species Status Report  
17 98-01. Prepared for Fish and Game Commission, Sacramento, CA.
- 18 California Department of Fish and Game. 2002. *California Department of Fish and Game Comments to*  
19 *National Marine Fisheries Service Regarding Green Sturgeon Listing*. Prepared for National  
20 Marine Fisheries Service, Washington, DC.
- 21 California Department of Fish and Game. 2007. *Results of Spring Kodiak Trawl Survey Sampling*.  
22 Available: <<http://www.delta.dfg.ca.gov/data/skt/>>.
- 23 California Department of Transportation. 2009. *Technical Guidance for Assessment and Mitigation of*  
24 *the Hydroacoustic Effects of Pile Driving on Fish*. Sacramento, CA. Available:  
25 <[http://www.dot.ca.gov/hq/env/bio/files/Guidance\\_Manual\\_2\\_09.pdf](http://www.dot.ca.gov/hq/env/bio/files/Guidance_Manual_2_09.pdf)>.
- 26 California Department of Transportation. 2010. *Mad River Bridges Replacement Project Effects of Pile*  
27 *Driving Sound on Juvenile Steelhead*. EA No. 296101. March. Prepared by ICF International,  
28 Seattle, WA.
- 29 California Department of Water Resources. 2012. Georgiana Slough Non-Physical Barrier Pilot  
30 Study. Fishery Improvements Section Bay-Delta Office CA Department of Water Resources,  
31 Sacramento, CA. Available: <[http://baydeltaoffice.water.ca.gov/sdb/GS/index\\_gs.cfm](http://baydeltaoffice.water.ca.gov/sdb/GS/index_gs.cfm)>.  
32 Accessed: June 6, 2012.
- 33 Carlson, T. J. 1996. *The characterization of underwater infrasound generated by vibratory pile driving*  
34 *within the context of the characteristics of sound known to result in avoidance response by juvenile*  
35 *salmonids*. Prepared by the Pacific Northwest National Laboratories for Oregon State University.  
36 19 pp. U.S. Army Corps of Engineers.
- 37 Caywood, M. L. 1974. *Contributions to the Life History of the Splittail, (Pogonichthys macrolepidotus)*.  
38 MS thesis. California State University, Sacramento, CA.

- 1 Clarke, D., C. Dickerson, and K. Reine. 2002. Characterization of Underwater Sounds Produced by  
2 Dredges. Paper presented at the Third Specialty Conference on Dredging and Dredged Material  
3 Disposal, Dredging '02, ASCE. May. Orlando, FL.
- 4 Cohen, A. 2008. Appendix 2-1: Habitat Stressor Narrative Descriptions. San Francisco Bay subtidal  
5 habitat goals report, 50-year conservation plan 2010. State Coastal Conservancy. Available at:  
6 [www.sfbaysubtidal.org](http://www.sfbaysubtidal.org).
- 7 Crain, P. K., K. Whitener, and P. B. Moyle. 2004. Use of a Restored Central California Floodplain by  
8 Larvae of Native and Alien Fishes. In F. Feyrer, L. R. Brown, R. L. Brown, and J. J. Orsi (eds.), *Early*  
9 *Life History of Fishes in the San Francisco Estuary and Watershed*. Santa Cruz, CA: American  
10 Fisheries Society.
- 11 Daniels, R. A., and P. B. Moyle. 1983. Life History of Splittail (*Cyprinidae: Pogonichthys*  
12 *macrolepidotus*) in the Sacramento–San Joaquin Estuary. *Fishery Bulletin* 81(3):647–657.
- 13 Dege, M. and L. R. Brown. 2004. Effect of outflow on spring and summertime distribution and  
14 abundance of larval and juvenile fishes in the upper San Francisco Estuary. *American Fisheries*  
15 *Society Symposium* 39:49–66.
- 16 Del Real, S. C., M. Workman and J. Merz. 2011. Migration characteristics of hatchery and natural-  
17 origin *Oncorhynchus mykiss* from the lower Mokelumne River, California. *Environmental Biology*  
18 *of Fishes*, 22 November 2011, pp. 1–13.
- 19 Ely, E., and L. O. Viani. 2010. Appendix 1-3: *Anthropogenic Impacts on San Francisco Bay and its*  
20 *Subtidal Habitat*. San Francisco Bay Subtidal Habitat Goals Report, 50-Year Conservation Plan  
21 2010. State Coastal Conservancy. Available: <[www.sfbaysubtidal.org](http://www.sfbaysubtidal.org)>.
- 22 Feist, B., J. Anderson, and R. Miyamoto. 1992. *Potential Impacts of Pile Driving on Juvenile Pink*  
23 *(Oncorhynchus gorbuscha) and Chum (O. keta) Salmon Behavior and Distribution*. Pound Sounds  
24 Final Report. Prepared by the University of Washington, School of Fisheries and Applied Physics  
25 Laboratory. Available: <<http://www.cbr.washington.edu/papers/FRI-UW-9603.pdf>>.
- 26 Feyrer, F., and R. Baxter. 1998. Splittail fecundity and egg size. *California Fish and Game* 84:119–126.
- 27 Feyrer, F., B. Herbold, S. A. Matern, and P. B. Moyle. 2003. Dietary Shifts in a Stressed Fish  
28 Assemblage: Consequences of a Bivalve Invasion in the San Francisco Estuary. *Environmental*  
29 *Biology of Fishes* 67(3):277–288.
- 30 Feyrer, F., T. Sommer, and R. D. Baxter. 2005. Spatial-Temporal Distribution and Habitat  
31 Associations of Age-0 Splittail in the Lower San Francisco Watershed. *Copeia* 2005(1):159–168.
- 32 Fisheries Hydroacoustic Working Group. 2008. *Agreement in Principle for Interim Criteria for Injury*  
33 *to Fish from Pile Driving Activities*. Available:  
34 <[http://www.wsdot.wa.gov/NR/rdonlyres/4019ED62-B403-489C-AF05-](http://www.wsdot.wa.gov/NR/rdonlyres/4019ED62-B403-489C-AF05-5F4713D663C9/0/BA_InterimCriteriaAgree.pdf)  
35 [5F4713D663C9/0/BA\\_InterimCriteriaAgree.pdf](http://www.wsdot.wa.gov/NR/rdonlyres/4019ED62-B403-489C-AF05-5F4713D663C9/0/BA_InterimCriteriaAgree.pdf)>.
- 36 Gregory, R. S., and T. G. Northcote. 1993. Surface, Planktonic, and Benthic Foraging by Juvenile  
37 Chinook Salmon (*Oncorhynchus tshawytscha*) in Turbid Laboratory Conditions. *Canadian Journal*  
38 *of Fisheries and Aquatic Sciences* 50:233–240.



- 1 Hallock, R. J., W. F. Van Woert, and L. Shapovalov. 1961. An Evaluation of Stocking Hatchery Reared  
2 Steelhead Rainbow (*Salmo gairdnerii gairdnerii*) in the Sacramento River System. *California*  
3 *Department of Fish and Game Bulletin* No. 114.
- 4 Hallock, R. J., D. H. Fry, Jr., and D. A. LaFauce. 1957. The Use of Fyke Traps to Estimate the Runs of  
5 Adult Salmon and Steelhead in the Sacramento River. *California Fish and Game* 43(4):271–298.
- 6 Hanson Environmental, Inc. 2004. *Assessment & evaluation of the effects of sand mining on aquatic*  
7 *habitat and fishery populations of Central San Francisco Bay and the Sacramento–San Joaquin*  
8 *Estuary*. Walnut Creek, CA.
- 9 Hayes, D. F., T. R. Crockett, T. J. Ward, D. Averett. 2000. Sediment resuspension during cutterhead  
10 dredging operations. *Journal of Waterways, Port, Coastal and Ocean Engineering* 126:153–161.
- 11 Heublein, J. C. 2006. *Migration of Green Sturgeon (Acipenser medirostris) in the Sacramento River*. MS  
12 Thesis. San Francisco State University, San Francisco, CA.
- 13 Heublein, J. C., J. T. Kelly, and A. P. Klimley. 2006. *Spawning Migration and Habitat of Green Sturgeon*  
14 *(Acipenser medirostris) in the Sacramento River*. October 23, 2006. Presentation at the CALFED  
15 Science Conference, Sacramento, CA.
- 16 Heublein, J. C., J. T. Kelly, C. E. Crocker, A. P. Klimley, and S. T. Lindley. 2008. Migration of Green  
17 Sturgeon, *Acipenser medirostris*, in the Sacramento River. *Environmental Biology of Fishes*  
18 84:245–258.
- 19 Hieb, K., and R. Baxter. 1993. Delta Outflow/San Francisco Bay. In P. L. Herrgesell (ed.), *1991 Annual*  
20 *Report-Interagency Ecological Studies Program for the Sacramento–San Joaquin Estuary*.  
21 Stockton, CA: California Department of Fish and Game.
- 22 Hobbs, J. A., W. A. Bennett, and J. E. Burton. 2006. Assessing Nursery Habitat Quality for Native  
23 Smelts (*Osmeridae*) in the Low-Salinity Zone of the San Francisco Estuary. *Journal of Fish Biology*  
24 69:907–922.
- 25 Holbrook, C. M., R. W. Perry, and N. S. Adams. 2009. Distribution and Joint Fish-Tag Survival of  
26 Juvenile Chinook Salmon Migrating through the Sacramento-San Joaquin River Delta, California.  
27 U.S. Geological Survey Open-File Report 2009–1204.
- 28 Hoover, J. J., K. J. Killgore, D. G. Clarke, H. Smith, A. Turnage, and J. Beard. 2005. Paddlefish and  
29 Sturgeon Entrainment by Dredges: Swimming Performance as an Indicator of Risk, DOER  
30 Technical Notes Collection (ERDC TN-DOER-E22), U.S. Army Engineer Research and  
31 Development Center, Vicksburg, MS. Available:  
32 <<http://el.ercd.usace.army.mil/dots/doer/doer.html>>.
- 33 ICF International. 2010. *Monitoring plan to evaluate the biological efficacy of the Freeport Regional*  
34 *Water Authority's new water intake fish screen*. April. (ICF Project #00454.07.) Sacramento, CA.  
35 Prepared for Freeport Regional Water Authority and Sacramento County Water Agency,  
36 Sacramento, CA.
- 37 Illinworth & Rodkin, Inc. 2007. *Compendium of Pile Driving Sound Data*. Prepared for California  
38 Department of Transportation, Sacramento CA.

- 1 Israel J., A. Drauch, and M. Gingras. 2009. Life History Conceptual Model for White Sturgeon  
2 (*Acipenser transmontanus*). Sacramento (CA): Delta Regional Ecosystem Restoration  
3 Implementation Plan.
- 4 Jones & Stokes Associates. 2002. City of Stockton Year 2001 Field Sampling Program Data Summary  
5 Report for San Joaquin River Dissolved Oxygen TMDL CALFED 2001 Grant. Prepared for the City  
6 of Stockton Department of Municipal Utilities.
- 7 Kelly, J. T., A. P. Klimley, and C. E. Crocker. 2007. Movements of Green Sturgeon (*Acipenser*  
8 *medirostris*) in the San Francisco Bay Estuary, California. *Environmental Biology of Fishes*  
9 79:281–295.
- 10 Kelly, M., S. Stiff, and A. Wones. 2010. *Mad River Bridges Replacement Project, 2009 Biological*  
11 *Monitoring Report (U.S. Highway 101, Humboldt County between Arcata and McKinleyville,*  
12 *California, 01-HUM-101-PM 89.1/90.4, Township 6N, Range 1E, W ½ of Section 8)*. EA No. 296101.  
13 February. Prepared for California Department of Transportation, Sacramento, CA.
- 14 Kolhorst, D. W. 1976. Sturgeon spawning in the Sacramento River in 1973, as determined by  
15 distribution of larvae. California Department of Fish and Game. 62: 33–40.
- 16 Kratville, D. 2008. *Sacramento–San Joaquin Delta Regional Ecosystem Restoration Implementation*  
17 *Plan: Semi-Final Species Life History Conceptual Model, Sacramento Splittail (Pogonichthys*  
18 *macrolepidotus)*. Peer review incomplete.
- 19 Lindley, S. T., R. Schick, A. Agrawal, M. Goslin, T. E. Pearson, E. Mora, J. J. Anderson, B. May, S. Greene,  
20 C. Hanson, A. Low, D. McEwan, R. B. MacFarlane, C. Swanson, and J. G. Williams. 2006. Historical  
21 Population Structure of Central Valley Steelhead and Its Alteration by Dams. *San Francisco*  
22 *Estuary and Watershed Science* 4(2):1–19.
- 23 Mari-Gold Environmental Consulting, Inc. and Novo Aquatic Sciences, Inc. 2010. Stockton and  
24 Sacramento Deepwater Ship Channel Maintenance Dredging Project Fish Community and  
25 Entrainment Monitoring Report. Canby, OR.
- 26 Marine Aggregate Levy Sustainability Fund. 2009. A generic investigation into noise profiles of  
27 marine dredging in relation to the acoustic sensitivity of the marine fauna in UK water with  
28 particular emphasis on aggregate dredging: PHASE I scoping and review of key issues. February.  
29 Southampton, UK.
- 30 Martin, C. D., P. D. Gaines, and R. R. Johnson. 2001. *Estimating the Abundance of Sacramento River*  
31 *Juvenile Winter Chinook Salmon with Comparisons to Adult Escapement*. Final Report, Report  
32 Series: Volume 5. July. Prepared by U.S. Fish and Wildlife Service, Red Bluff, CA. Prepared for U.S.  
33 Bureau of Reclamation, Red Bluff, CA.
- 34 McEwan, D. R. 2001. Central Valley Steelhead. In R. Brown (ed.), *Contributions to the Biology of*  
35 *Central Valley Salmonids*. Fish Bulletin No. 179. Sacramento, CA: California Department of Fish  
36 and Game.
- 37 McReynolds, T. R., C. E. Garman, P. D. Ward., and M. C. Schommer. 2005. *Butte and Big Chico Creeks*  
38 *Spring-Run Chinook Salmon, (Oncorhynchus tshawytscha) Life History Investigation, 2003–2004*.  
39 Administrative Report No. 2005-1. California Department of Fish and Game, Inland Fisheries,  
40 Sacramento, CA.

- 1 Meehan, W. R., and T. C. Bjornn. 1991. Salmonid Distribution and Life Histories. In W. R. Meehan  
2 (ed.), *Influence of Forest and Rangeland Management on Salmonid Fishes and Their Habitats*.  
3 Special Publication 19. Gethesda, MA: American Fisheries Society.
- 4 Meng, L., and S. A. Matern. 2001. Native and Introduced Larval Fishes of Suisun Marsh, California:  
5 The Effects of Freshwater Flow. *Transactions of the American Fisheries Society* 130:750–765.
- 6 Morrow, J. E. 1980. *The Freshwater Fishes of Alaska*. Anchorage, AK: Alaska Northwest Publishing  
7 Company.
- 8 Moyle, P. B. 2002. *Inland Fishes of California, Revised and Expanded*. Berkeley, CA: University of  
9 California.
- 10 Moyle, P. B., B. Herbold, D. E. Stevens, and L. W. Miller. 1992. Life History and Status of Delta Smelt in  
11 the Sacramento–San Joaquin Estuary, California. *Transactions of the American Fisheries Society*  
12 821:67–77.
- 13 Moyle, P. B., P. J. Foley, and R. M. Yoshiyama. 1992. *Status of Green Sturgeon (Acipenser medirostris)*  
14 *in California*. Final Report. Prepared by UC Davis Department of Wildlife and Fisheries Biology,  
15 Davis, CA. Prepared for National Marine Fisheries Service, Terminal Island, CA.
- 16 Moyle, P. B., R. D. Baxter, T. Sommer, T. C. Foin, and S. A. Matern. 2004. Biology and Population  
17 Dynamics of the Sacramento Splittail (*Pogonichthys macrolepidotus*) in the San Francisco  
18 Estuary: A Review. *San Francisco Estuary and Watershed Science* 2(2):1–47.
- 19 Moyle, P. B., R. M. Yoshiyama, J. E. Williams, and E. D. Wikramanayake. 1995. *Fish Species of Special*  
20 *Concern in California*. Second Edition. Prepared for California Department of Fish and Game,  
21 Rancho Cordova, CA.
- 22 Myers, J. M., R. G. Kope, G. J. Bryant, D. Teel, L. J. Lierheimer, T. C. Wainwright, W. S. Grant, F. W.  
23 Waknitz, K. Neely, S. T. Lindley, and R. S. Waples. 1998. *Status Review of Chinook Salmon from*  
24 *Washington, Idaho, Oregon, and California*. NOAA Technical Memorandum NMFS-NWFSC-35.  
25 February. Prepared by National Marine Fisheries Service, Seattle, WA, Long Beach CA, Newport  
26 OR, and Tiburon, CA.
- 27 Nakamoto, R. J., T. T. Kisanuki, and G. H. Goldsmith. 1995. *Age and Growth of Klamath River Green*  
28 *Sturgeon (Acipenser medirostris)*. Project No. 93-FP-13. January. Prepared by U.S. Forest Service,  
29 Arcata, CA, and U.S. Fish and Wildlife Service, Arcata, CA.
- 30 National Marine Fisheries Service. 2005a. *Green Sturgeon (Acipenser medirostris) Status Review*  
31 *Update*. February. Santa Cruz, CA.
- 32 National Marine Fisheries Service. 2005b. Endangered Species Act—Section 7 Consultation  
33 Biological Opinion and Conference Opinion and Magnuson-Stevens Fishery Conservation and  
34 Management Act Essential Fish Habitat Consultation. Columbia River Channel Operations and  
35 Maintenance Program Columbia River Basin Mouth of the Columbia River to Bonneville Dam.  
36 National Marine Fisheries Service, Northwest Region, Habitat Conservation Division. NMFS  
37 Tracking No. 2004/01041. March 11.
- 38 National Marine Fisheries Service. 2009. *Spreadsheet to Estimate the Levels of Underwater Sound*  
39 *Received by Fishes That Are Exposed to Elevated Levels of Underwater Sound Produced during Pile*

- 1           *Driving*. Available: <[http://www.wsdot.wa.gov/NR/rdonlyres/1C4DD9F8-681F-49DC-ACAF-ABD307DAEAD2/0/BA\\_NMFSpileDrivCalcs.xls](http://www.wsdot.wa.gov/NR/rdonlyres/1C4DD9F8-681F-49DC-ACAF-ABD307DAEAD2/0/BA_NMFSpileDrivCalcs.xls)>.
- 2
- 3           Niemi, G. J., P. DeVore, N. Detenbeck, D. Taylor, A. Lima, J. Pastor, J. D. Yount, and R. J. Naiman. 1990.
- 4           Overview of case studies on recovery of aquatic systems from disturbance. *Environmental*
- 5           *Management*. Volume: 14 (5), 571–587.
- 6           Nightingale, B., and C. Simenstad. 2001. Overwater structures: Marine issues. Aquatic Habitat
- 7           Guidelines: An integrated approach to marine, freshwater, and riparian habitat protection and
- 8           restoration. Prepared for Washington Department of Fish and Wildlife, Washington Department
- 9           of Ecology and Washington State Department of Transportation by University of Washington,
- 10           Seattle, WA.
- 11           Nobriga, M., and B. Herbold. 2009. *Sacramento–San Joaquin Delta Regional Ecosystem Restoration*
- 12           *Implementation Plan: The Little Fish in California’s Water Supply: A Literature Review and Life-*
- 13           *History Conceptual Model for Delta Smelt (*Hypomesus transpacificus*) for the Delta Regional*
- 14           *Ecosystem Restoration and Implementation Plan (DRERIP)*. Prepared by California Department of
- 15           Fish and Game, Sacramento, CA, and U.S. Environmental Protection Agency, Washington, DC.
- 16           Nobriga, M., and P. Cadrett. 2003. Differences among Hatchery and Wild Steelhead: Evidence from
- 17           Delta Fish Monitoring Programs. *Interagency Ecological Program for the San Francisco Estuary*
- 18           *Newsletter* 14(3):30–38.
- 19           Pacific States Marine Fisheries Commission. 1996. White sturgeon. Available:
- 20           <[http://www.psmfc.org/habitat/edu\\_wsturg\\_fact.html](http://www.psmfc.org/habitat/edu_wsturg_fact.html)>. Accessed: June 16, 2007.
- 21           Parsley, M. J., N. D. Popoff, C. D. Wright, and B. K. van der Leeuw. 2008. Seasonal and Diel Movements
- 22           of White Sturgeon in the Lower Columbia River. *Transactions of the American Fisheries Society*.
- 23           127 (4) 1007–1017.
- 24           Renaud, C. B. 2008. Petromyzontidae, *Entosphenus tridentatus*: Southern Distribution Record, Isla
- 25           Clarión, Revillagigedo Archipelago, Mexico. *Check List* 4(1):82–85.
- 26           Roffe, T. J., and B. R. Mate. 1984. Abundances and Feeding Habits of Pinnipeds in the Rogue River,
- 27           Oregon. *Journal of Wildlife Management* 48:1262–1274.
- 28           Rosenfield, J. A. 2010. *Sacramento–San Joaquin Delta Regional Ecosystem Restoration Implementation*
- 29           *Plan: Life History Conceptual Model and Sub-Models for Longfin Smelt, San Francisco Estuary*
- 30           *Population*. Prepared by Aquatic Restoration Consulting, Berkeley, CA.
- 31           Rosenfield, J. A., and R. D. Baxter. 2007. Population Dynamics and Distribution Patterns of Longfin
- 32           Smelt in the San Francisco Estuary. *Transactions of the American Fisheries Society* 136(6):1577–
- 33           1592.
- 34           Ruggerone, G. T., S. Goodman, and R. Miner. 2008. *Behavioral Response and Survival of Juvenile Coho*
- 35           *Salmon Exposed to Pile Driving Sounds*. July. Prepared by Natural Resources Consultants, Inc.,
- 36           Seattle, WA. Prepared for Port of Seattle, Seattle, WA.
- 37           Ruiz-Campos, G., and S. Gonzalez-Guzman. 1996. First freshwater record of Pacific lamprey,
- 38           *Lampetra tridentata*, from Baja California, Mexico. *California Fish and Game* 82:144–146.
- 39           S.P. Cramer and Associates, Inc. 2000. *Stanislaus River data report*. Oakdale, CA.

- 1 S.P. Cramer and Associates, Inc. 2001. *Stanislaus River data report*. Oakdale, CA.
- 2 Sakhalin Energy. 2004. Comparative Environmental Analysis of the Piltun Pipeline Route Options  
3 (Figure 4.7). Available:  
4 <[http://www.sakhalinenergy.com/en/library.asp?p=lib\\_sel\\_western\\_gray\\_whale&l=whale\\_ceap](http://www.sakhalinenergy.com/en/library.asp?p=lib_sel_western_gray_whale&l=whale_ceap)  
5 pro2004>.
- 6 Schaffter, R. G. 1980. Fish occurrences, size and distribution in the Sacramento River near Hood,  
7 California during 1973 and 1974. *California Fish and Game Anadromous Fish Branch*  
8 *Administrative Report 80-3*. 76 p.
- 9 Schaffter, R. 1997. White Sturgeon Spawning Migrations and Location of Spawning Habitat in the  
10 Sacramento River, California. *California Department of Fish and Game 83:1-20*.
- 11 Snider, B., and R. G. Titus. 2000. *Timing, Composition, and Abundance of Juvenile Anadromous*  
12 *Salmonid Emigration in the Sacramento River near Knights Landing, October 1996-September*  
13 *1997*. Technical Report No. 00-04. California Department of Fish and Game, Habitat  
14 Conservation Division, Stream Evaluation Program, Sacramento, CA.
- 15 Sommer, T. R., B. Harrell, M. Nobriga, R. Brown, P. Moyle, W. Kimmerer, and L. Schemel. 2001.  
16 California's Yolo Bypass: Evidence That Flood Control Can Be Compatible with Fisheries,  
17 Wetlands, Wildlife, and Agriculture. *Fisheries 26(8):6-16*.
- 18 Sommer, T. R., F. Mejia, M. Nobriga, F. Feyrer, and L. Grimaldo. 2011. The Spawning Migration of  
19 Delta Smelt in the Upper San Francisco Estuary. *San Francisco Estuary and Watershed Science*  
20 *9(2):1-16*.
- 21 Sommer, T. R., R. Baxter, and B. Herbold. 1997. Resilience of Splittail in the Sacramento-San Joaquin  
22 Estuary. *Transactions of the American Fisheries Society 126:961-976*.
- 23 State Water Project and Federal Water Project. Undated. Unpublished Fish Salvage Data 1981-1988.  
24 Available: <<http://www.dfg.ca.gov/delta/apps/salvage/Default.aspx>>.
- 25 Streif, B. 2008. *Fact Sheet Pacific Lamprey (Lampetra tridentate)*. U.S. Fish and Wildlife Service,  
26 Portland, OR. Available at:  
27 <[http://www.fws.gov/oregonfwo/Species/Data/PacificLamprey/Documents/012808PL-](http://www.fws.gov/oregonfwo/Species/Data/PacificLamprey/Documents/012808PL-FactSheet.pdf)  
28 [FactSheet.pdf](http://www.fws.gov/oregonfwo/Species/Data/PacificLamprey/Documents/012808PL-FactSheet.pdf)>.
- 29 Surface Water Resources, Inc. 2004. *Matrix of Life History and Habitat Requirements for Feather River*  
30 *Fish Species, SP-F3.2 Task 2- White Sturgeon*. Oroville Facilities Relicensing FERC Project No.  
31 2100. Sacramento, CA.
- 32 SWCA Environmental Consultants. 2007. 2007-2008 Fisheries Monitoring Plan for the Stockton and  
33 Sacramento Deepwater Ship Channel ID/IQ Maintenance Dredging Operations and IEP Program  
34 Element 2007-113. July. Prepared for the U.S. Army Corps of Engineers, Sacramento District by  
35 SWCA Environmental Consultants, Portland, OR.
- 36 SWCA Environmental Consultants. 2008. *Stockton and Sacramento Deepwater Ship Channel*  
37 *Maintenance Dredging Project 2007 Fish Community and Entrainment Monitoring Report*. March.  
38 Prepared for the U.S. Army Corps of Engineers, Sacramento District by SWCA Environmental  
39 Consultants, Portland, OR.

- 1 SWCA Environmental Consultants. 2009. *Stockton and Sacramento Deepwater Ship Channel*  
2 *Maintenance Dredging Project 2008 Fish Community and Entrainment Monitoring Report*. April.  
3 Prepared for the U.S. Army Corps of Engineers Sacramento District by SWCA Environmental  
4 Consultants, Portland, OR.
- 5 Swift, C. C., T. R. Haglund, M. Ruiz, and R. N. Fisher. 1993. The Status and Distribution of the  
6 Freshwater Fishes of Southern California. *Bulletin Southern California Academy of Sciences*  
7 92(3):101–167.
- 8 Thorson, P. and J. A. Reyff. 2004. *Marine mammal and acoustic monitoring for the eastbound*  
9 *structure*. San Francisco-Oakland Bay Bridge East Span Seismic Safety Project. Report submitted  
10 for Incidental Harassment Authorization issued November 14, 2003 to California Department of  
11 Transportation.
- 12 U.S. Army Corps of Engineers, Sacramento District. 2007. Sacramento River Bank Protection Project  
13 Revetment Database.
- 14 U.S. Department of the Interior, Bureau of Reclamation, U.S. Fish and Wildlife Service, and California  
15 Department of Fish and Game. 2011. *Suisun Marsh Habitat Management, Preservation, and*  
16 *Restoration Plan*. Final Environmental Impact Statement/Environmental Impact Report, ICF No.  
17 06888.06. November. Sacramento, CA. Prepared with assistance from: ICF International,  
18 Sacramento, CA.
- 19 U.S. Fish and Wildlife Service. 2001. *Abundance and Survival of Juvenile Chinook Salmon in the*  
20 *Sacramento–San Joaquin Estuary: 1997 and 1998*. Annual Progress Reports. December. Stockton,  
21 CA.
- 22 U.S. Fish and Wildlife Service. 2002a. *Spawning Areas of Green Sturgeon (Acipenser medirostris) in the*  
23 *Upper Sacramento River California*. Red Bluff, CA.
- 24 U.S. Fish and Wildlife Service. 2002b. Field data collection protocol for the riprapped banks GIS,  
25 Sacramento River Bank Protection Project. Planning Aid Letter (PAL) to Kenneth Hitch, U.S.  
26 Army Corps of Engineers, on October 22, 2002, by David Harlow, Sacramento U.S. Fish and  
27 Wildlife Service Office, Sacramento CA.
- 28 U.S. Fish and Wildlife Service. 2006. Abundance and Survival of Juvenile Chinook Salmon in the  
29 Sacramento-San Joaquin Estuary: 2000. Annual progress report Sacramento–San Joaquin  
30 Estuary. Sacramento, CA.
- 31 Vladikov, V. D., and W. I. Follett. 1958. Redescription of *Lampetra ayersi* (Gunther) of Western North  
32 America, A Species of Lamprey (Petromyzontidae) Distinct from *Lampetra fluviatilis* (Linnaeus)  
33 of Europe. *Journal of the Fisheries Research Board of Canada* 15(1):47–77.
- 34 Vogel, D. A. 2010. *Evaluation of Acoustic-Tagged Juvenile Chinook Salmon Movements in the*  
35 *Sacramento–San Joaquin Delta during the 2009 Vernalis Adaptive Management Program*. Draft  
36 report, Natural Resource Scientists, Inc. Red Bluff, CA.
- 37 Wang, J. C. S. 1986. *Fishes of the Sacramento–San Joaquin Estuary and Adjacent Waters, California: A*  
38 *Guide to the Early Life Histories*. Interagency Ecological Program Technical Report No. 9.  
39 Prepared by National Environmental Sciences, Clayton, CA. Prepared for U.S. Department of the  
40 Interior, Bureau of Reclamation, Mid-Pacific Region, Byron, CA.

- 1 Ward, P. D., T. R. McReynolds, and C. E. Garman. 2002. *Butte and Big Chico Creeks Spring-Run Chinook*  
2 *Salmon (Oncorhynchus tshawytscha) Life History Investigation, 2000–2001*. Administrative  
3 Report. California Department of Fish and Game, Inland Fisheries, Sacramento, CA.
- 4 Ward, P. D., T. R. McReynolds, and C. E. Garman. 2003. *Butte and Big Chico Creeks spring-run Chinook*  
5 *salmon, Oncorhynchus tshawytscha life history investigation, 2001–2002*. Administrative Report.  
6 California Department of Fish and Game, Inland Fisheries. Sacramento, CA.
- 7 Welch, D. W., S. Turo, S. D. Batten. 2006. Large-scale marine and freshwater movements of white  
8 sturgeon. *Transactions of the American Fisheries Society*. 135: 386–389.
- 9 Wones, A. 2008a. *Washington Department of Fish and Wildlife Scientific Collecting Permit Annual*  
10 *Report Form: Big Hanaford Creek Site*. Permit Number 07-304A. Olympia, WA.
- 11 Wones, A. 2008b. *Washington Department of Fish and Wildlife Scientific Collecting Permit Annual*  
12 *Report Form: Smith Island Mitigation Site*. Permit Number 07-304A. Olympia, WA.
- 13 Yoshiyama, R. M., F. W. Fisher, and P. B. Moyle. 1998. Historical Abundance and Decline of Chinook  
14 Salmon in the Central Valley Region of California. *North American Journal of Fisheries*  
15 *Management* 18:487–521.

## 16 **5.H.9.2 Personal Communications**

- 17 Ford, Tim. Biologist. Turlock and Modesto Irrigation Districts. February 16, 1994—fax and phone  
18 call to Randall Baxter, California Department of Fish and Game. Documenting splittail catches in  
19 the Tuolumne and lower San Joaquin Rivers 1986–1992.
- 20 Heyne, Tim. Biologist. California Department of Fish and Game. October 3, 2003—email to Randall  
21 Baxter, California Department of Fish and Game. Documenting splittail counts from the  
22 Tuolumne (RM 5) and Merced (RM 13) rotary screw traps 1999–2003.
- 23 Horvath, Mike. Fish and wildlife technician. California Department of Fish and Game. April 16,  
24 1999—email to Randall Baxter, California Department of Fish and Game, describing the catch in  
25 a screw trap of a gravid female splittail the previous day.