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16 Attorneys for  
 17 EAST BAY MUNICIPAL UTILITY DISTRICT

18 BEFORE THE  
 19 CALIFORNIA STATE WATER RESOURCES CONTROL BOARD  
 20

21 HEARING IN THE MATTER OF  
 22 CALIFORNIA DEPARTMENT OF WATER  
 RESOURCES AND UNITED STATES  
 23 BUREAU OF RECLAMATION REQUEST  
 FOR A CHANGE IN POINT OF  
 24 DIVERSION  
 FOR CALIFORNIA WATER FIX  
 25

TESTIMONY OF JOSE D SETKA  
 (Hearing Part 2)

1 I, JOSE D. SETKA, do hereby declare:

2 **I. INTRODUCTION**

3 I am the Manager of the Fisheries & Wildlife Division at the East Bay Municipal  
4 Utility District (EBMUD). I have been employed by EBMUD working on Mokelumne  
5 River fisheries issues for over 20 years, since 1995. I graduated from the University of  
6 California, Davis, with a Bachelor of Science Degree in Wildlife & Fisheries Biology,  
7 and, prior to my joining EBMUD, was a fisheries biologist at the Natural Resources  
8 Consulting Services. I've also worked on fisheries projects in Alaska, Oregon, and  
9 Nevada. I have taught courses in Salmonid Biology at the University of California  
10 Extension, have authored or co-authored numerous reports and publications, and have  
11 given multiple presentations. During the course of my 25 year career working on the  
12 Mokelumne River and Delta, I have conducted field studies, worked on restoration  
13 project design teams, published research results, and continued work on collaborative  
14 stakeholder teams to improve the ecology of the river. As a result of my work, I have a  
15 deep knowledge of the Mokelumne River fishery ecosystem and the Mokelumne-origin  
16 anadromous fishery.

17 My testimony concerns the impacts of the Delta Cross Channel (DCC) on the  
18 Mokelumne-origin fall-run Chinook salmon anadromous fishery. While the fishery  
19 impacts have long occurred, the changes requested by Petitioners California  
20 Department of Water Resources (DWR) and United States Bureau of Reclamation  
21 (USBR) for their proposed WaterFix Project (Project) could make the problems worse,  
22 thereby unreasonably affecting the fishery and associated public trust resources. To  
23 avoid these additional Project-related fishery and public trust impacts, EBMUD  
24 proposes a mitigating condition.

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1 **II. BACKGROUND**

2 **A. The Mokelumne River**

3 The Mokelumne River is a snow-fed system, draining approximately 627 square  
4 miles of the central Sierra Nevada. Its headwaters originate in the El Dorado National  
5 Forest, some 40 miles south of Lake Tahoe, at approximately 10,000 feet (ft) above  
6 mean sea level. The Lower Mokelumne River stretches approximately 70 river miles  
7 from Camanche Dam to its confluence with the San Joaquin River within the central  
8 Sacramento-San Joaquin Delta (Delta) (Appendix A, Figure 1). The main tributaries to  
9 the Lower Mokelumne River are Murphy Creek, Dry Creek, and the Cosumnes River.  
10 Between Thornton (at New Hope Landing) and the San Joaquin River confluence, the  
11 Mokelumne River is connected to the Sacramento River via the DCC and Georgiana  
12 Slough and to the central Delta via Little Potato and Little Connection Sloughs. The  
13 Mokelumne is a small river, constituting about 1% of the total Central Valley/Delta  
14 watershed.

15 **1. The Mokelumne River Anadromous Fishery**

16 EBMUD began a comprehensive fisheries management program on the  
17 Mokelumne River in 1990. The program assumed its present form in 1998 with the  
18 development of a partnership between EBMUD, the California Department of Fish &  
19 Wildlife (CDFW) and the U.S. Fish and Wildlife Service (USFWS), formally known as  
20 the Lower Mokelumne River Partnership. This Partnership was codified in the Joint  
21 Settlement Agreement (JSA), a multi-pronged settlement between EBMUD and the  
22 resource agencies designed to enhance protection of lower Mokelumne River fishery  
23 resources. The JSA includes a schedule of flows that EBMUD must release to the  
24 lower Mokelumne River. EBMUD's water releases vary depending on water year type  
25 and time of year and are tailored to the life stages of the anadromous fisheries. The  
26 JSA also requires riparian corridor habitat enhancement work which EBMUD has  
27 completed and continues to expand upon, including annual gravel enhancement  
28 projects in the Mokelumne River to successfully promote natural spawning, riparian

1 restoration, the Murphy Creek dam removal and habitat improvement project, and  
2 construction of juvenile rearing side channels and floodplain habitat. EBMUD also  
3 conducts a detailed study and monitoring program of the anadromous fisheries and the  
4 riparian ecosystem. Monitoring activities include upstream migration counts, redd  
5 counts (salmon and steelhead nests), outmigration counts, and fish community surveys.

6 A key component of the JSA and associated fisheries management is the  
7 Mokelumne River Fish Hatchery, which was completed in 1964 as mitigation for  
8 Camanche Dam. The facility is located on the south bank of the Mokelumne River at the  
9 base of Camanche Dam. The facility is owned and funded by EBMUD, and operated by  
10 CDFW. As part of the JSA, EBMUD implemented a \$13 million rebuild of the facility  
11 completed in 2002. Programs currently run at the facility include mitigation for fall-run  
12 Chinook salmon and steelhead trout, along with an enhancement program funded by  
13 Commercial Salmon Stamp proceeds.

14 As a result of the JSA and the efforts of the Lower Mokelumne River Partnership,  
15 the annual average adult Chinook salmon escapement on the lower Mokelumne River  
16 has more than doubled since the implementation of JSA flow and non-flow measures,  
17 from a pre-JSA average of 3,636 fish to a post-JSA average of approximately 9,000 fish  
18 as of 2016 (Appendix A, Figure 2). Five of the fishery's top six returns ever recorded  
19 occurred between 2011-2016, including a record return of 18,596 fall-run Chinook  
20 salmon in 2011. The continued string of above average returns is indicative of the  
21 fishery's positive response to the adaptive management actions implemented by  
22 EBMUD and the Lower Mokelumne River Partnership. Even when California was mired  
23 in the 2012 to 2015 drought, which saw widespread altered flow regimes and poor  
24 ocean conditions, the lower Mokelumne River Chinook salmon population continued to  
25 demonstrate characteristics consistent with long-term sustainability.

26 In fact, the Mokelumne River's salmon population is one of the few nearing the  
27 established Central Valley Project Improvement Act (CVPIA) fish doubling goal  
28 established by the USFWS Anadromous Fish Restoration Program (AFRP). The

1 AFRP's established fish doubling goal for the Mokelumne River is 9,300 Chinook  
2 salmon. As of 2015, the Mokelumne River had achieved an AFRP population target of  
3 8,976, which represents a higher percentage toward meeting the fish doubling goal than  
4 nearly all other Central Valley river populations.

5 In addition to the substantial returns to the river, Mokelumne River origin salmon  
6 significantly contribute to the Central Valley Chinook salmon population and associated  
7 commercial and recreational sport fisheries. Even though the Mokelumne is a small  
8 river that comprises approximately 1 % of the Delta watershed, in most years  
9 Mokelumne River origin salmon make up approximately 15% to 20% of the ocean  
10 commercial and recreational catch off the California coast.

11 The lower Mokelumne River also supports a population of federally threatened  
12 Central Valley steelhead. Under the current federal listing the lower Mokelumne River  
13 is included as habitat for the species and any non-hatchery steelhead within the lower  
14 river are covered under the listing.

15 **B. The Delta Cross Channel**

16 The DCC was completed by USBR in 1951 to reroute Sacramento River water  
17 out of its natural channel and into the Central and Eastern Delta for conveyance  
18 southward to the Central Valley Project (CVP) and State Water Project (SWP) export  
19 facilities. The DCC is located on the Sacramento River near Walnut Grove, California  
20 (Appendix A, Figure 3). The DCC connects to Snodgrass Slough, which ultimately  
21 connects to the Delta forks of the Mokelumne River. Flows into the DCC are controlled  
22 by two 60 x 30ft radial gates, and the Cross Channel's capacity is approximately 3,500  
23 cfs. In essence, when it is open, the DCC reconfigures the Delta by channeling flows  
24 away from the Sacramento River to the Central and Eastern Delta. The gates are  
25 generally open from June through September to convey water to the SWP and CVP  
26 project pumps while meeting water quality standards contained in SWRCB D-1641.  
27 Additionally, as part of Action Suite IV.1 included in the National Marine Fisheries  
28 Service (NMFS) 2009 Biological Opinion on the Long Term Coordinated Operation of

1 the CVP and SWP, the DCC is required to be closed during October and November  
2 when fish monitoring indicates that juvenile salmonids are entering the Delta and  
3 D-1641 water quality criteria are met (Appendix A, Table 1).

4 **C. DCC Impacts to the Mokelumne River Anadromous Fishery**

5 The use of the DCC to convey Sacramento River water through the Mokelumne  
6 River Delta forks results in the straying of salmon. In this section of testimony I  
7 summarize what straying is, then review agency recognition of the fishery problems  
8 caused by having the DCC open in the critical up-migration period for fall-run Chinook  
9 salmon. I include a summary of efforts to implement periodic closures of the DCC  
10 during this critical period.

11 **1. Straying**

12 Pacific salmon, of which Chinook salmon is one species, generally return to their  
13 river of origin (their natal river) to spawn. The term “stray” is used to describe an  
14 individual salmon that returns to a river other than its natal river. While some small  
15 percentage of straying is normal, larger straying rates can potentially lead to a number  
16 of problems. The most significant issue related to excess straying is the reduction of  
17 fish returning to their natal river, which reduces the overall population for that river.  
18 Another issue is related to the concept of river specific stocks or populations. There is  
19 evidence that salmon are able to adapt to conditions within their natal watershed. When  
20 fish stray they may negatively impact existing population genetics within the non-natal  
21 river to which they stray. Finally, under the CVPIA/AFRP, the Mokelumne River has an  
22 established fish doubling goal. When operations of other facilities, such as the DCC,  
23 lead to increases in straying of Mokelumne-origin anadromous fish, it affects the ability  
24 to meet that goal.

25 **2. When the DCC is Open During the Fall, It Causes Adverse**  
26 **Impacts**

27 When the DCC is open during October – November in the up-migration season  
28 for Mokelumne-origin fall-run Chinook salmon, it causes adverse impacts on that fishery

1 by providing false migratory cues, leading to straying. This issue was acknowledged as  
2 early as 1989 by the Mokelumne River Technical Advisory Committee, noting that the  
3 high flows of the Sacramento River entering the Mokelumne River through the open  
4 DCC impeded upstream migration of salmon. As summarized by example, below, the  
5 issues caused by such fall openings of the DCC have since been repeatedly raised by  
6 the fishery resource and other agencies.

7 *a. CDFW's 2012 Recommendations Regarding DCC Operations*

8 In CDFW's<sup>1</sup> May 2, 2012 written comments to the State Water Board in response  
9 to the Notice of Preparation for Environmental Documentation on the Update and  
10 Implementation of the Bay-Delta Plan, CDFW addressed DCC Gate Closure Objectives,  
11 noting that:

12 During October, adult fall-run Chinook salmon are moving up  
13 through the Delta towards their natal spawning grounds and the  
14 open DCC gates can cause straying of adult salmon as  
15 Sacramento River water is sent into the Mokelumne and San  
16 Joaquin Rivers. Recent studies in the Mokelumne River have  
17 shown that a combination of pulse flows along with the closure of  
18 the DCC gates in October can not only increase the number of  
19 salmon returns, it can also cut down on the stray rates of salmon  
20 from the Mokelumne going into other rivers, in particular the  
21 American River. (Appendix B, May 2, 2012 CDFW Comment  
22 Letter, page 3.)

23 CDFW therefore recommended the State Water Board evaluate potential  
24 changes to DCC Gate Closure Objectives "that would close the gate during all or a  
25 portion of October" to strengthen migration cues for fish and benefit both Sacramento  
26 and Mokelumne-origin fall-run Chinook salmon.<sup>2</sup>

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<sup>1</sup> The May 2, 2012 letter was sent by the California Department of Fish & Game, before its name  
changed to the California Department of Fish & Wildlife (CDFW). For purposes of this testimony, I refer to  
the agency by its current name, CDFW.

<sup>2</sup> Part of the support for the request was based on results from 2010 and 2011 DCC closures.  
Specifically, the SWRCB was informed by CDFW that 2010-2011 studies involving October pulse flows  
and DCC closures increased salmon returns to the Mokelumne River and decreased the stray rates of  
Mokelumne-origin salmon to the American River.

1                                    *b. Lower Mokelumne River Partnership DCC Closure Requests*

2            As part of the Lower Mokelumne River Partnership, the resource agencies  
3 (CDFW and USFWS) have repeatedly requested USBR to close the DCC during the fall  
4 up-migration season to reduce straying of Mokelumne-origin fall-run Chinook salmon.  
5 The details of the closure requests are explained more fully below, but it is important to  
6 note that these requests call out the fact that operation of the DCC during the up-  
7 migration season “is believed to increase straying” and noting that “When the DCC is  
8 open, the Mokelumne River becomes the conveyance corridor for Sacramento River  
9 water serving the export pumps in the south Delta.” (Appendix C, Partnership’s  
10 April 20, 2010 letter to USBR.)

11                                    *c. USBR Plan to Study DCC Closures*

12            In September 2012, USBR prepared a Final Environmental Assessment of a  
13 proposed Delta Cross Channel Temporary Closure Multi-Year Study (2012 Closure  
14 Study). (EBMUD-182.) In describing the rationale for the proposed study, USBR  
15 explained that when the DCC is open, water flows from the Sacramento River into the  
16 South and North Delta forks of the Mokelumne River toward the SWP and CVP export  
17 pumps, and that the Delta forks of the Mokelumne River are the key upstream migratory  
18 pathway for fall-run Chinook salmon and Central Valley steelhead returning to the  
19 Lower Mokelumne River to spawn. “Based on the volume of water transferred through  
20 the DCC and Mokelumne forks, the olfactory cue from the Mokelumne River can be  
21 diminished due to Sacramento River flows diverted through the DCC.” (2012 Closure  
22 Study, Page 7.) The 2012 Closure Study hypothesized that closures of the DCC would  
23 strengthen the migration olfactory cues for up-migrating fish.

24                                    *d. The BDCP 2010 Working Draft*

25            The November 18, 2010 Working Draft of the Bay Delta Conservation Plan  
26 (Working Draft)<sup>3</sup> summarized problems associated with the DCC, noting in particular

27 \_\_\_\_\_  
28 <sup>3</sup> The Working Draft was included in the Project’s December 2016 Final Environmental Impact  
Report/Environmental Impact Statement (Final EIR/EIS) at Chapter 3, Appendix 3A, Attachments 6 and 7.  
The Final EIR/EIS is identified as SWRCB-102.

1 that when the DCC is open during the fall-run up-migration period, anadromous fish are  
2 impacted by the creation of false migratory cues. (Working Draft, pages 3-327 to  
3 3-328.) False migratory cues can lead to delayed spawning. The Working Draft  
4 reported that increasing the duration of DCC closures would allow more anadromous  
5 fish below the DCC to directly sense cues to migrate to upstream habitat. To address  
6 these problems, the Working Draft proposed long-term operational criteria, including  
7 additional DCC gate closures in October and November of 15 days per month if fish are  
8 present (Working Draft, Table 3-13). Doing so would improve the strength of migratory  
9 cues and reduce false cues for adult migrating steelhead trout and Chinook salmon.

10 *e. 2017 DCC Closures to Protect Coleman Fish*

11 Due to poor temperatures in the Sacramento River related to drought conditions,  
12 Coleman National Fish Hatchery trucked 50% of their production in 2013 and 100% in  
13 2014. Initial return data from the 2013 releases indicate that significant straying  
14 occurred. In order to prevent or minimize straying of the 2014 releases when they  
15 returned three years later, CDFW requested a closure of the DCC in fall 2017, from  
16 September 11, 2017 potentially through mid-November 2017, in order to improve  
17 migratory cues for the fall run adult Sacramento origin salmon and to reduce straying of  
18 these fish to the interior Delta. In response to this request, the DCC was repeatedly  
19 closed for five days a week (Monday through Friday) beginning September 18, 2017.

20 While this 2017 DCC closure measure was designed to benefit the Sacramento  
21 River Coleman National Fish Hatchery stock, the fall closure of the DCC appears to  
22 have also benefitted the Mokelumne River fishery. Although the current season is far  
23 from over, returns to the Mokelumne River through mid-November 2017 have already  
24 exceeded 16,000 fish, well above the full year post-JSA average of 9,000 fish.

25 **3. Past Efforts to Address the DCC Problem by Requesting**  
26 **Closure of the DCC**

27 The 2008 collapse of the Central Valley fall-run Chinook salmon resulted in a  
28 renewed interest in the DCC's effects on salmonid migration. In 2008, over 90% of

1 Mokelumne-origin Chinook salmon strayed to other systems, most of which went to the  
2 American River (Appendix A, Figure 4). Numerous management actions were  
3 implemented through the Lower Mokelumne River Partnership to reduce straying of  
4 Mokelumne-origin salmon, including relocating release sites for hatchery production,  
5 implementing pulse flows and adding netpens for releases. Based on the known  
6 problems caused by an open DCC during the fall migration season, efforts were initiated  
7 to begin advocating for periodic closures of the DCC during the key migration month of  
8 October.

9         Beginning in the fall of 2009, the Lower Mokelumne River Partnership began  
10 requesting periodic closures of the DCC. For example, in September 2009, CDFW, on  
11 behalf of the Lower Mokelumne River Partnership, asked USBR to close the DCC from  
12 October 5 through 17. The requested closure coincided with planned pulse flows by  
13 EBMUD from the Mokelumne River designed to attract Mokelumne River adult Chinook  
14 salmon into the Mokelumne River. The Lower Mokelumne River Partnership requested  
15 the closure of the DCC at that time in order to allow the pulse flows to have their  
16 intended effect of attracting Mokelumne-origin fall-run Chinook salmon to the  
17 Mokelumne River, and to avoid the rush of Sacramento River water coming through the  
18 DCC and overpowering those Mokelumne olfactory cues that would occur with an open  
19 DCC. The request was made in an attempt to minimize the straying of Mokelumne fish.  
20 Unfortunately the request was rejected by the USBR due, in part, to the short advance  
21 notice of the request.

22         In 2010, the process to request a closure of the DCC therefore began earlier, in  
23 April 2010, when the Lower Mokelumne River Partnership, including CDFW, USFWS  
24 and EBMUD, requested the USBR to close the DCC from October 3 through 15, 2010.  
25 In response to this request, USBR implemented a short 2.5 day closure in October  
26 2010.

27         In 2011, the Lower Mokelumne River Partnership requested the USBR to close  
28 the DCC in October 2011. In response to this request, the USBR implemented a 10 day

1 DCC closure in October 2011, which helped contribute to a much lower 2011 stray rate  
2 of under 20% for Mokelumne River origin fall-run Chinook salmon (Appendix A, Figure  
3 4).

4 *a. The 2012 Closure Study*

5 Based on the significant reduction in straying that occurred between 2008 and  
6 2011 (from over 90% straying in 2008 to under 20% straying in 2011), a group  
7 consisting of representatives from the CDFW, USFWS, and, EBMUD worked with  
8 USBR staff to develop the 2012 Closure Study described above. The study looked to  
9 be a promising initiative to analyze the effects of DCC closures on migratory cues and  
10 straying for adult Mokelumne River origin fall-run Chinook salmon. Unfortunately, due  
11 to the subsequent drought and the difficulty in meeting interior Delta water quality  
12 standards, the study was never completed.

13 However, as shown by the reduced stray rates resulting from DCC closures in  
14 2010 and 2011, DCC operations have significant influence on the stray rates of  
15 Mokelumne-origin salmon.

16 The repeated efforts by the Lower Mokelumne River Partnership in requesting  
17 USBR to periodically close the DCC have resulted in some closures of the DCC in the  
18 key fall up-migration period, and those closures have been helpful. There is a need to  
19 continue these efforts to address this long-running fishery impact. Just as important, it  
20 is imperative that the problem not be made worse by opening the DCC *more* during the  
21 fall up-migration period. Based on the documentation described below, it appears that  
22 is precisely what is proposed.

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1 **III. THE PROJECT WOULD INCREASE DCC FISHERY IMPACTS**

2 **A. The Project Would Increase DCC-Related Fishery Impacts on the**  
3 **Mokelumne River Fall Run Chinook Fishery**

4 **1. Petitioners Have Not Submitted an Operations Plan Explaining**  
5 **How the Project and the DCC Would be Operated**

6 Petitioners have not yet presented an operations plan explaining how the SWP  
7 and CVP would be operated once the Project facilities are constructed. As a result, the  
8 public does not know exactly how the Project, including the DCC, will be operated once  
9 the Project is complete. However, based on documentation associated with the Project,  
10 it is apparent that at times the DCC would be open *more* in the critical fall up-migration  
11 period under Project conditions than it would be without the Project. This is a significant  
12 concern. If the DCC is open more under Project conditions during the October-  
13 November up-migration season for returning adult Mokelumne-origin fall-run Chinook  
14 salmon, the Project will increase impacts on that Mokelumne fishery. This impact to  
15 fishery and public trust resources must be addressed, as we propose below.

16 **2. Petitioners Have Not Conducted an Analysis of the Impacts of**  
17 **the Project on the Mokelumne River Anadromous Fishery**

18 Petitioners produced several environmental review documents for the Project, yet  
19 none of them assess the Project's potentially significant environmental impacts on the  
20 Mokelumne River anadromous fishery. As summarized briefly below, EBMUD  
21 submitted extensive comments on those documents.

22 Petitioners' 2013 Bay Delta Conservation Plan Draft Environmental Impact  
23 Report/Environmental Impact Statement (2013 EIR/EIS) did not address or analyze the  
24 potential impacts of the Project on the Mokelumne River fishery. To address this  
25 omission, EBMUD submitted a July 28, 2014 comment letter on the EIR/EIS. That letter  
26 pointed out the omission of analysis of Project impacts on the Mokelumne fishery,  
27 including potential impacts associated with DCC operations. In particular, that comment  
28 letter noted the long-recognized relationship between DCC operations and salmonid

1 migration, concluding that “[w]ithout specific DCC operating criteria and associated  
2 impact analysis, the conclusions regarding fisheries impacts are less than certain,” and  
3 noting that “the document fails to identify that one of the leading factors driving straying  
4 of Mokelumne-origin Chinook salmon is the operation of the DCC.” (EBMUD BDCP EIR  
5 Comment Letter (July 28, 2014), Attachment 2.) (EBMUD-176.)

6 Despite this explicit identification by EBMUD of Project impacts on the  
7 Mokelumne River fishery, the subsequent 2015 Bay Delta Conservation Plan/California  
8 WaterFix Partially Recirculated Draft EIR/Supplemental Draft EIS (2015 RDEIR/SDEIS)  
9 did not address the issues.

10 Accordingly, in its October 28, 2015 comment letter on the RDEIR/SDEIS,  
11 EBMUD again advised that the Project would impact the Mokelumne River fishery and  
12 noted the failure to consider the issue. In this comment letter, EBMUD focused on the  
13 potential for Project-related changes in DCC operations to cause significant impacts on  
14 Mokelumne River salmonids:

15 In sum, it is well settled that operation of the DCC can  
16 impact Mokelumne River fisheries. Therefore, any new  
17 operating regime resulting from Alternative 4A needs to  
18 include a full evaluation of the DCC impacts on migrating  
19 Mokelumne-origin adult salmon, and a commitment to  
implement measures to reduce those impacts (i.e., reduce  
straying) to a level of insignificance in the RDEIR/SDEIS.

20 (EBMUD BDCP/WaterFix Comments, October 28, 2015 at p. 2.) (EBMUD-176.)

21 Although Petitioners acknowledged, for the first time, the potential for the Project  
22 to impact the Mokelumne fishery in the *Final* EIR/EIS, that analysis focused on  
23 steelhead and completely failed to address the potential impacts on the Mokelumne-  
24 origin fall-run Chinook salmon, including potentially significant impacts stemming from  
25 Project-caused increases in DCC openings during the fall salmonid migration  
26 period. With respect to steelhead, the analysis was also inadequate because it relied  
27 exclusively on modeling of potential changes in olfactory cues using a method known as  
28 DSM2-QUAL fingerprinting. As EBMUD noted in its comments on the Final EIR/EIS,

1 that analysis failed “to meet standards needed to identify Project impacts because the  
2 volume, timing, and pathway of water also play key roles in salmonid migratory route  
3 selection.” EBMUD BDCP/WaterFix Final EIR/EIS Comments (January 30, 2017).

4 **3. Petitioners’ Documentation Demonstrates That the Project**  
5 **Would Cause the DCC to be Open for Longer Periods in the**  
6 **Fall**

7 As explained above, DCC openings during fall migration increase straying of  
8 Mokelumne River salmonids into other river systems. Petitioners’ own modeling  
9 demonstrates that the Project will exacerbate this negative impact on the Mokelumne  
10 River fishery by causing the DCC to be open more in October and November, the key  
11 months for fall salmonid migration.

12 Modeling completed for the July 2016 Biological Assessment for the California  
13 WaterFix (2016 BA) (SWRCB-104), shows that the Project would cause the DCC to be  
14 open more during the fall salmonid migration period. The 2016 BA provides insight on  
15 this impact using two different metrics: (i) the duration of a given DCC opening (i.e.,  
16 once the DCC opens, the length of time it remains open); and (ii) the overall number of  
17 days per month during which the DCC is open (e.g., the number of days the DCC is  
18 open during the month of November).

19 *a. The 2016 BA Shows The Project Will Cause Substantial*  
20 *Increases In The Duration Of Openings Of The DCC During The*  
21 *Month Of November (The Only Month For Which DCC Opening*  
22 *Duration Is Considered)*

23 As stated in the 2016 BA’s discussion comparing the No Action Alternative (NAA)  
24 to the Project Alternative (PA):

25 Assessing the duration of DCC openings in each month for  
26 the NAA and PA and the potential effects on upstream-  
27 migrating adult salmonids is complicated by overlaps of  
28 closure periods across months (e.g., DCC opening in one  
month, followed by closure in the subsequent month). The  
month of November perhaps illustrates best how the  
duration of DCC opening could differ between NAA and PA.  
Openings commencing in November occurred at a similar  
frequency under NAA (n = 25 openings over the 82-year  
CalSim period) and PA (n = 22 openings). Openings tended

1           to be longer under the PA (mean = 14.0 days, median = 8  
2           days, mode = 20 days) than the NAA (mean = 8.6 days,  
3           median = 6 days, mode = 3 days). (2016 BA at 5-120  
4           through 121.) (Emphasis added.)

5           In other words, across the 82-year modeling period, the Project caused DCC  
6           openings in November to be approximately 39 percent longer in duration, on average,  
7           than without the Project.

8           Equally important, across the same modeling period, the most common duration  
9           for November DCC openings increased significantly from a length of three days without  
10          the Project, to twenty days with the Project. Stated differently, a “typical” November  
11          DCC opening without the Project would last for three days, while a “typical” November  
12          DCC opening with the Project would last for twenty days. This stark difference is best  
13          illustrated by 2016 BA Figure 5.4-7, attached here as Appendix A, Figure 5. Such  
14          increased, lengthy openings of the DCC during the critical fall up-migration period would  
15          have a substantially negative impact on fishery and public trust resources. In particular,  
16          the extended openings of the DCC, and resulting influx of Sacramento River water, will  
17          mute the cues upon which the Mokelumne-origin fall-run Chinook salmon rely to  
18          migrate, thereby causing a substantial increase in straying of Mokelumne salmon to  
19          other Central Valley rivers.

20                                   *b. The 2016 BA Shows The Project Will Substantially Increase The*  
21                                   *Total Number Of Days Per Month The DCC Will Be Open*  
22                                   *During The Fall Up-Migration Period*

23          The 2016 BA modeling also indicates the Project would result in an overall  
24          increase in the total number of days during which the DCC is open in October and  
25          November (i.e. the total number of days across all DCC openings in October or  
26          November during the 82-year modeling period).

27          The 2016 BA modeling shows similar Project-caused increases in DCC openings  
28          during October, arguably the most important month for fall salmonid migration. The  
29          modeling shows that in October, the Project would cause increases in both the mean  
30          (8%) and median (7%) number of days the DCC would be open in October. (2016 BA

1 Appendix 5A, Table 5.A.6-31.) (Appendix A, Table 2). In addition, in November, also an  
2 important month for fall salmonid up-migration, this BA modeling indicates that the  
3 Project caused the DCC openings to increase a mean 26% and median 58%.

4 The 2016 BA concludes that a potential effect of the DCC being open more  
5 frequently and for longer durations under the Project would be delayed migration of  
6 adult salmonids moving upstream towards the Sacramento River. Mechanisms causing  
7 the delay include reduced migratory cues in the Sacramento River due to reduced flow  
8 and false cues in the Mokelumne River due to conveyance of Sacramento River water.  
9 Unfortunately, the 2016 BA failed to include any analysis related to impacts of the  
10 Project on adult Mokelumne River origin salmonids. Existing information previously  
11 provided on various occasions to the project proponents indicates that DCC operations  
12 significantly affect migratory cues within the Mokelumne River Delta forks, and that  
13 frequent and/or continuous openings lead to increased straying.

14 *c. The NMFS Biological Opinion Also Shows Impacts Associated*  
15 *With Additional Openings*

16 The NMFS June 2017 biological opinion for the Project (NMFS Project BiOp)  
17 (SWRCB-106) also provides evidence that the Project will result in increased and longer  
18 openings of the DCC. Although much of the NMFS Project BiOp analysis focused on  
19 residency times, predation risk, survival and probability of Sacramento fish entering the  
20 DCC, it also confirmed that during the fall, the DCC gates would be open more  
21 frequently under the Project Alternative operations. (See NMFS Project BiOp, page  
22 658.) Although the NMFS Project BiOp does not analyze the resulting impacts of the  
23 increased DCC openings on Mokelumne-origin salmonids, the conclusion that the DCC  
24 gates would be open more frequently in the fall under the Project Alternative lends  
25 further credence to EBMUD's concern that the Project will have a substantial negative  
26 impact on up-migrating Mokelumne-origin fall-run Chinook salmon.

27 ///

28 ///

1 **IV. MITIGATING CONDITIONS**

2 **A. Conditions Should Be Imposed to Prevent the Project from Harming**  
3 **Mokelumne-Origin Salmonids**

4 **1. Mandatory Closures of the DCC in the Fall**

5 To address the fishery and public trust impacts resulting from the Project causing  
6 the DCC to be open more and for longer periods in October and November, EBMUD  
7 requests the State Water Board include a water right condition in any approval of the  
8 Petitioners' requested change petition to require additional closures of the DCC as  
9 follows:

10 The DCC closure plan (daily or based on tidal cycles) shall be modified  
11 to include the following closure periods during the months of October  
12 and November: The DCC shall be closed for 15 days per month  
13 during the months of October and November, with said closures to be  
14 coordinated, to the extent feasible, with October-November pulse flows  
15 from the Lower Mokelumne River.

16  
17 **V. CONCLUSION**

18 The DCC negatively impacts the Mokelumne-origin fall-run Chinook salmon  
19 fishery by muting migratory cues and causing straying. If granted, Petitioners'  
20 requested change petition would enable the WaterFix project to be built and operated,  
21 thereby resulting in increased openings of the DCC during the critical up-migration  
22 period for the Mokelumne-origin fall-run Chinook salmon fishery. Such openings would  
23 unreasonably affect that fishery by causing even greater negative impacts. To avoid  
24 that fishery and public trust impact, EBMUD requests that, if the State Water Board

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1 grants the change petition, it impose a condition requiring closure of the DCC in the  
2 months of October and November as requested by EBMUD in its proposed condition.

3 Executed this 29th day of November 2017 in Oakland, California.

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Jose D. Setka

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# APPENDIX A

## List of Figures & Tables Testimony of Jose D. Setka

- Figure 1** Map of Central Valley, California with detailed location of Lower Mokelumne River
- Figure 2** Mokelumne River Fall-run Chinook salmon annual escapement 1940 to 2016
- Figure 3** Map of Delta Cross Channel and Mokelumne River
- Figure 4** Estimated inland recovery proportions of Mokelumne River origin Chinook salmon 2008 and 2011
- Figure 5** Difference in number and duration of Delta Cross Channel openings between NAA and PA from California Water Fix Biological Assessment July 2016
- Table 1** Operations of the Delta Cross Channel under State Water Board Decision 1641 and the 2009 NMFS BIOP
- Table 2** Excerpt from California Water Fix Biological Assessment depicting increased probability of gates being opened in October and November. (Appendix 5A CalSim II Modeling and Results)

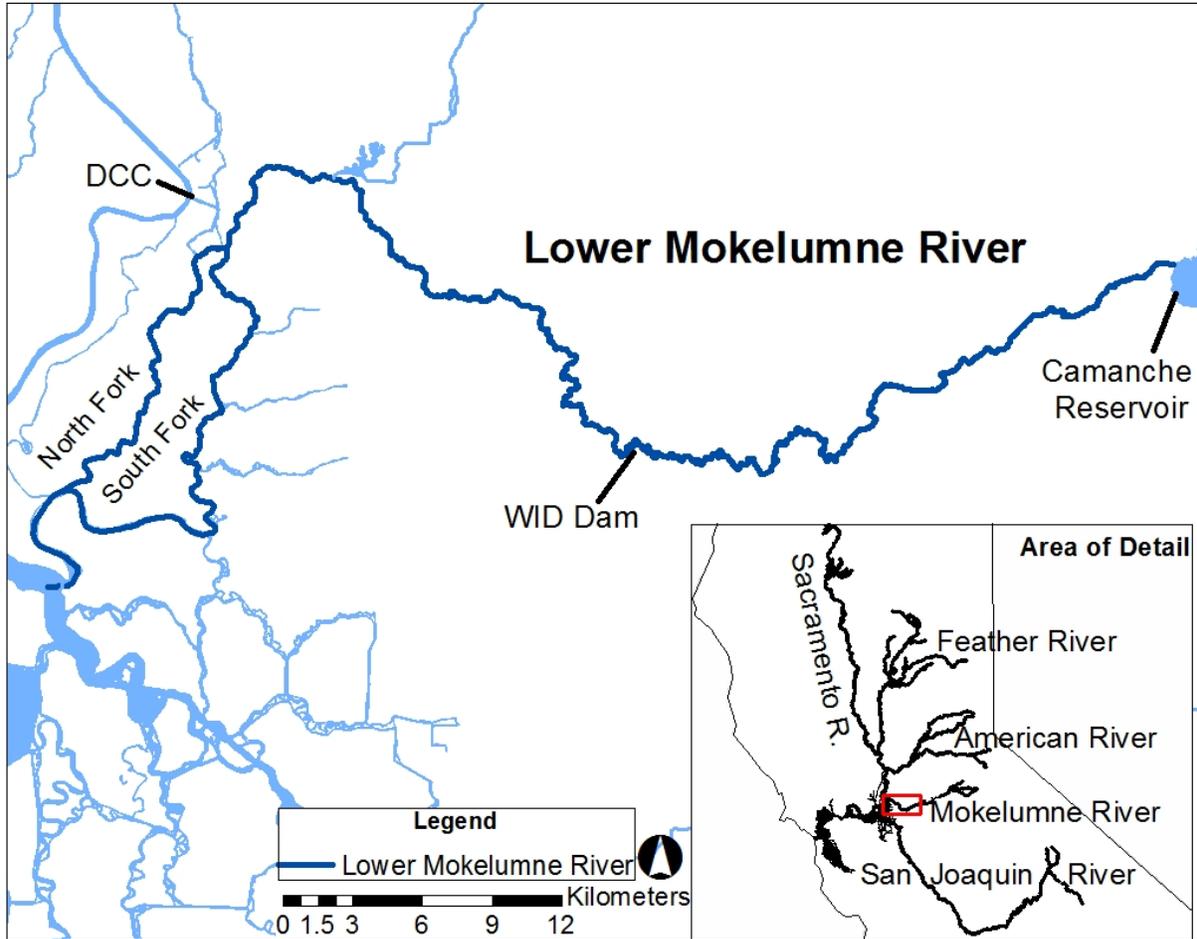


Figure 1. Map of Central Valley, California with detailed location of Lower Mokelumne River.

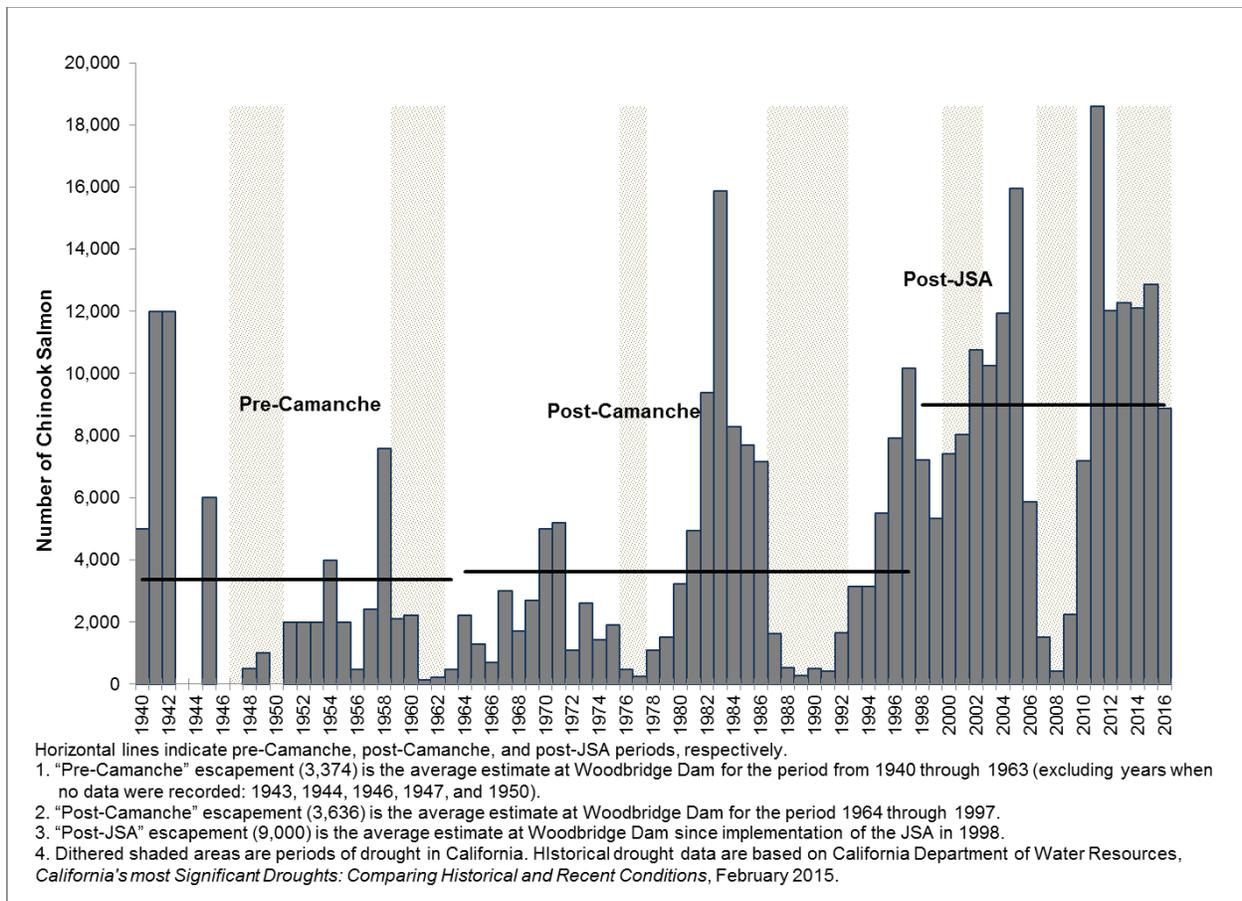


Figure 2. Mokelumne River Fall-run Chinook salmon annual escapement 1940 to 2016 including annual averages for pre-Camanche, post-Camanche and post- Joint Settlement Agreement (JSA) periods.

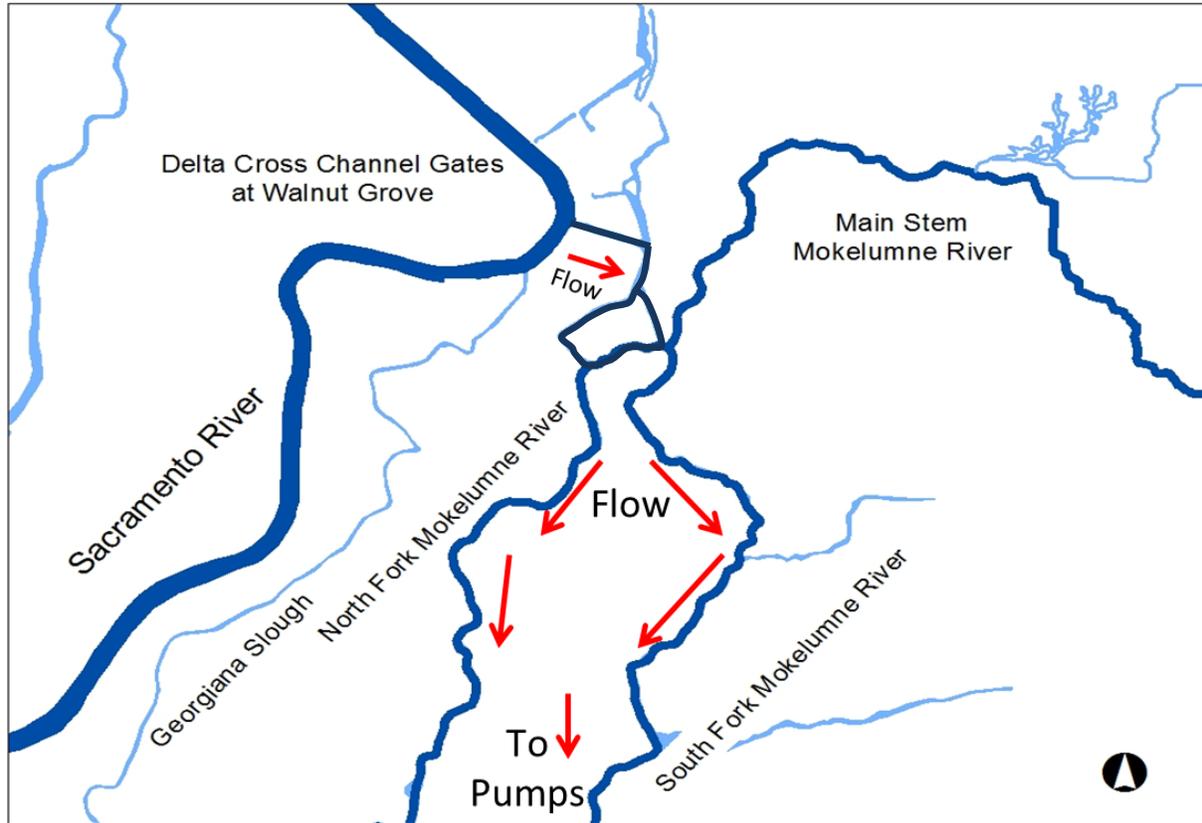


Figure 3. Map of Delta Cross Channel and Mokelumne River vicinity including depiction of outflow directions.

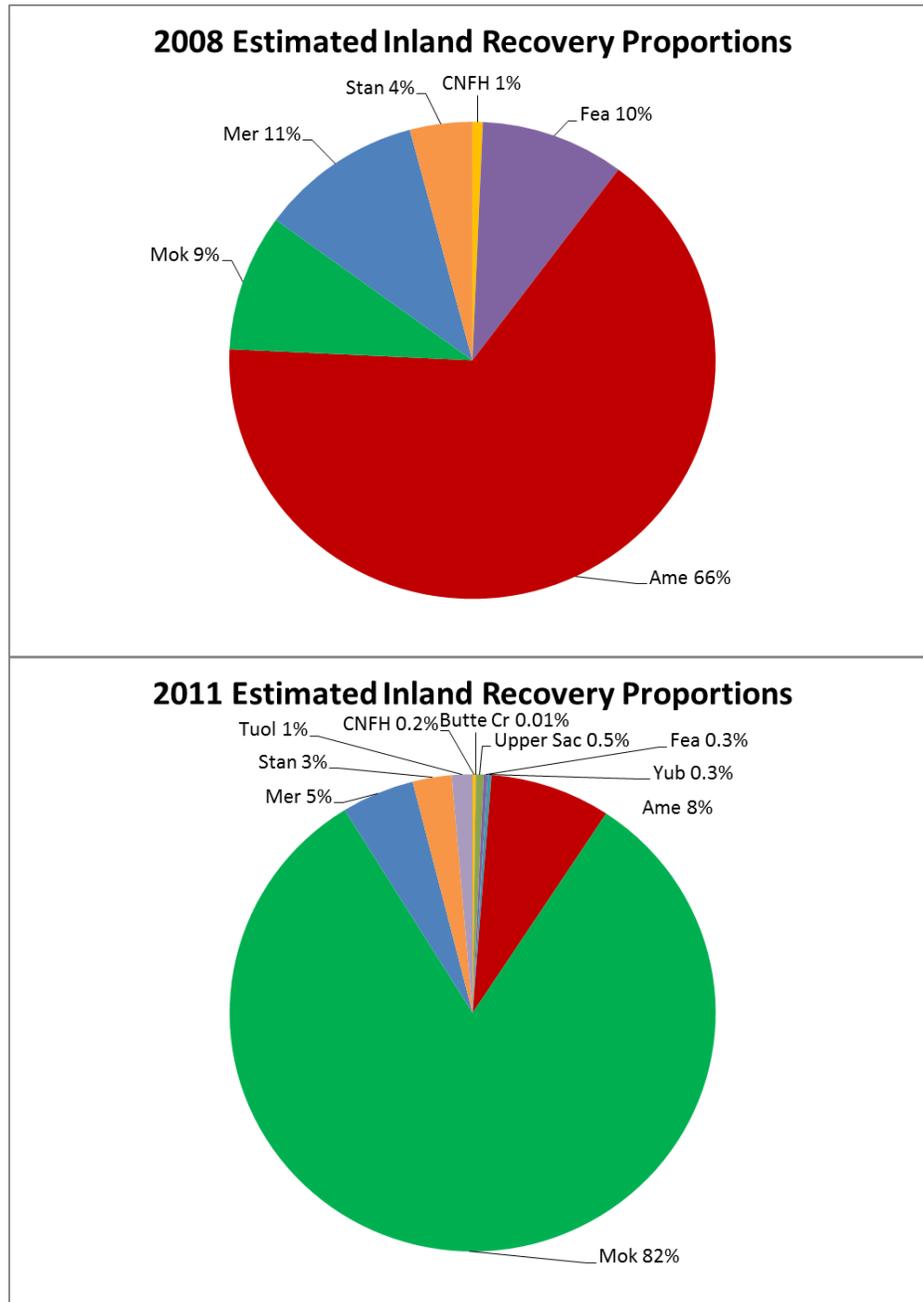


Figure 4. Estimated inland recovery proportions of Mokelumne River origin Chinook salmon 2008 and 2011. (Prepared from Regional Mark Processing Center data 2017)

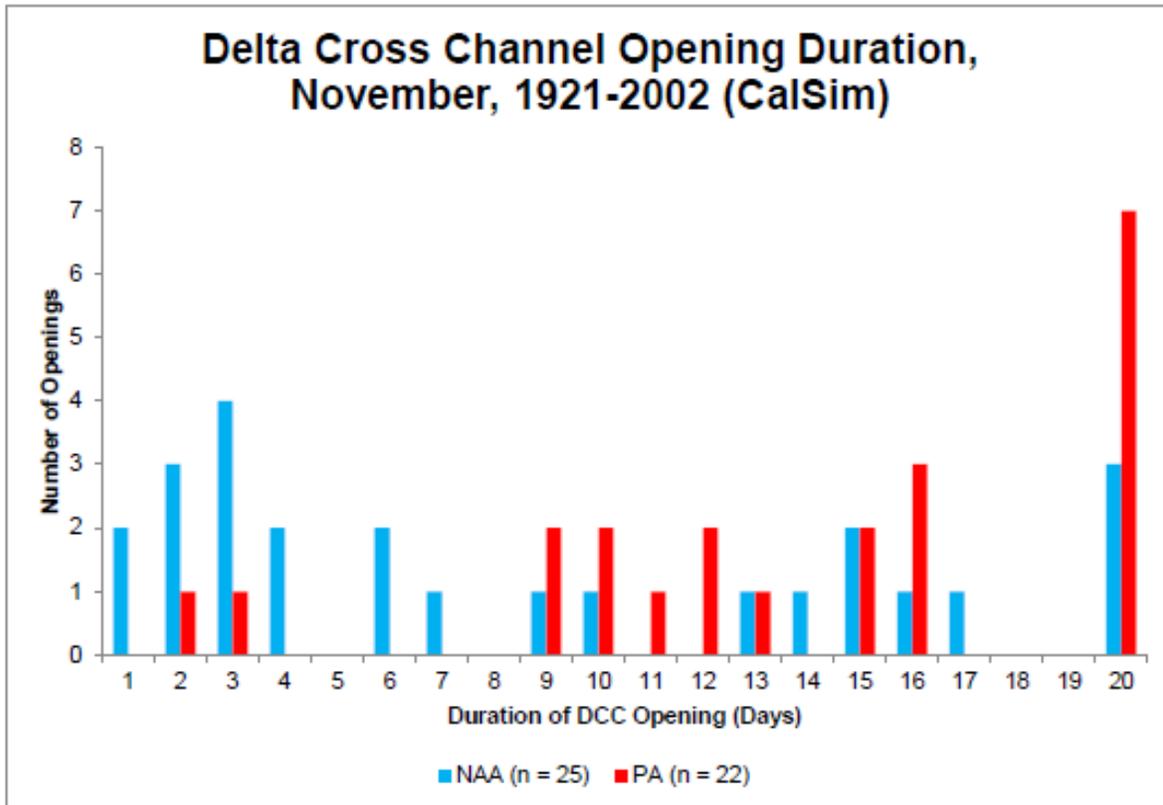


Figure 5.4-7. Duration of Delta Cross Channel Openings that Began in November, from CalSim Modeling of 1921-2002.

Figure 5. Difference in number and duration of Delta Cross Channel openings between NAA and PA from California Water Fix Biological Assessment July 2016. (Page 5-122)

Table 1. Operations of the Delta Cross Channel under State Water Board Decision 1641 and the 2009 NMFS BIOP. (Delta Cross Channel Temporary Closure Multi-Year Study Final EA, USBR 2012)

D-1641		NMFS 2009 BIOP		
Date	Action	Date	VI. Action Triggers	Action Responses
November 1- January 30	DCC gates may be closed for up to a total of 45 days.	October 1- November 30	Water quality criteria per D-1641 are met and either the the KLCI or SCI is greater than 5 fish per day	Within 24 hours, close the DCC gates and keep closed until the catch index is less than 3 fish per day at both the Knights Landing and Sacramento monitoring sites.
			Water quality criteria per D-1641 are met, niether Knights Landing Catch Index or the Sacramento Catch Index are greater than 3 fish per day but less than or equal to 5 fish per day	Within 24 hours of trigger, DCC gates are closed. Gates will remain closed for 3 days
			The KLCI or SCI triggers are met but water quality critera are not met per D-1641 criteria	DOSS reviews monitoring data and makes recommendation to NMFS and WOMT per procedutes in Action IV.5

Table 2. Excerpt from California Water Fix Biological Assessment depicting increased probability of gates being opened in October and November. (Appendix 5A CalSim II Modeling and Results)

**Table 5.A.6-31. Delta Cross Channel, Average Number of Days Gates Open**

Statistic	October				November			
	NAA	PA	Diff.	Perc. Diff.	NAA	PA	Diff.	Perc. Diff.
Probability of Exceedance <sup>a</sup>								
10%	31	31	0	0%	20	20	0	0%
20%	31	31	0	0%	20	20	0	0%
30%	31	31	0	0%	17	20	3	20%
40%	31	31	0	0%	14	16	2	18%
50%	29	31	2	7%	10	15	6	58%
60%	27	30	3	11%	4	11	7	185%
70%	24	28	4	17%	2	9	7	350%
80%	18	26	8	43%	0	3	3	-
90%	13	18	5	36%	0	0	0	-
Long Term Full Simulation Period <sup>b</sup>	25	27	2	8%	10	12	3	26%

a. Exceedance probability is defined as the probability a given value will be exceeded in any one year.

b. Based on the 82-year simulation period.

# APPENDIX B

DFW May 2, 2012 Letter  
Testimony of Jose D. Setka



State of California - Natural Resources Agency  
 DEPARTMENT OF FISH AND GAME  
 1416 9<sup>th</sup> Street  
 Sacramento, CA 95814  
<http://www.dfg.ca.gov>

EDMUND G. BROV...  
 CHARLTON H. B...

Public Comment  
 Bay-Delta Plan Supplemental NOP  
 ERMUD-155  
 Deadline: 04/25/12 by 12 noon

**LATE COMMENT**



May 2, 2012

Charles R. Hoppin, Chair  
 State Water Resources Control Board  
 1001 I Street  
 Sacramento, CA 95814

**Subject: Comments on the Supplemental Notice of Preparation and Notice of Scoping Meeting for Environmental Documentation for the Update and Implementation of the Water Quality Control Plan for the San Francisco Bay/Sacramento-San Joaquin Delta Estuary: Comprehensive Review**

Dear Mr. Hoppin:

The Department of Fish and Game (Department) appreciates the opportunity to provide comments on the above referenced Supplemental Notice of Preparation (Supplemental NOP). As described in the Supplemental NOP, the State Water Resources Control Board (State Water Board) is currently preparing a Substitute Environmental Document (SED) for the review of the southern Delta salinity and San Joaquin River flow objectives included in the 2006 Water Quality Control Plan for the San Francisco Bay/Sacramento-San Joaquin Delta Estuary (Bay-Delta Plan). The State Water Board is now requesting comments regarding the scope and content of environmental information that should be included in a separate SED relating to the comprehensive review of the other components in the Bay-Delta Plan, which includes the current water quality objectives, potential establishment of new objectives, modifications to the program of implementation for those objectives, and potential changes to the monitoring and special studies program.

We agree with the State Water Board's staff recommendation in the 2009 Staff Report for the Periodic Review of the 2006 Bay-Delta Plan (2009 Staff Report) that further review of certain key issues in the Bay-Delta Plan is necessary and provide the following information and comments for your consideration:

**Delta Outflow, Export/Inflow, and Old and Middle River Flow Objectives**

Water flow through the Delta is one of the primary drivers of ecosystem function. The timing, magnitude, quality of flows, and way in which water is diverted all influence habitat features such as temperature, turbidity, transport, nutrient loadings, pollutant dispersal, and other factors. Changes in Delta flows resulting from upstream diversions and operations of the State Water Project (SWP) and Central Valley Project (CVP) upstream of and in the Delta have resulted in modification of the hydrologic and physical habitat of the Delta system, which in turn has altered the Delta ecosystem

(Healey et al. 2008)<sup>1</sup>. Fish population declines coupled with these hydrologic and physical changes suggest that current Delta water flows for environmental resources are not adequate to maintain, recover, or restore the functions and processes that support native Delta fish. Salmon in the Central Valley are also in decline. Two of the four races of Chinook salmon are listed under the federal Endangered Species Act (FESA) and California Endangered Species Act (CESA) and fall-run Chinook salmon, a species of concern, is at historic low abundance. Delta smelt is listed under both FESA and CESA and longfin smelt is listed under the CESA reflecting their precipitous declines in abundance.

The Department's 2010 report "Quantifiable Biological Objectives and Flow Criteria for Aquatic and Terrestrial Species of Concern Dependent on the Delta" (2010 report)<sup>2</sup> provides biological goals for terrestrial and aquatic species that are dependent on the Delta, including goals for recovery and self-sustaining populations of certain at-risk species; protection and restoration of natural communities; halting species population declines; and establishing water flows through the Delta that will benefit particular species, community, or ecosystem functions. With these goals in mind, the report includes biological objectives for 27 terrestrial and 20 aquatic species, and flow criteria (timing, magnitude, and quality) for eight identified species of concern in the Delta. We recommend the State Water Board evaluate and implement water quality objectives for Delta Outflow, the Export/Import Ratio, and reverse flows in the Old and Middle Rivers that consider the biological goals and objectives in the 2010 report.

The flow criteria contained in the 2010 report includes recommendations for Delta Outflow and Old and Middle Rivers reverse flows with the suggestion that before any specific flow criteria are implemented, consideration should be given to new research and monitoring not available when the report was completed. This suggestion is in-line with the management goals in the report – that an adaptive management process be established to evaluate Delta environmental conditions, periodically review the scientific underpinnings of the biological objectives and flow criteria to ensure that they remain relevant and scientifically supportable, and to change the objectives and criteria when warranted. For example, we believe ample evidence exists that improved Delta outflows are critical to the survival of important Delta species. For many species that live in, or move through the Delta, abundance is related to the timing and quantity of Delta outflow (or the placement of X2). Although longfin smelt production has been negatively impacted by recent changes in the estuarine food web, there continues to be a strong association between longfin smelt production and winter-spring outflow levels and/or X2 position. In addition, substantial evidence exists suggesting that summer-fall X2 position strongly influences the amount and quality of suitable habitat for juvenile delta smelt and subsequent adult abundance. The initial findings of the 2011 Interagency Ecological Program (IEP) fall habitat studies appear to confirm the importance of summer-fall flows in delta smelt production. We recommend the State Water Board establish an adaptive management process to review and modify flow

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<sup>1</sup> Healey, M.C., M.D. Dettinger, and R.B. Norgaard, eds. 2008. The State of Bay-Delta Science, 2008. Sacramento, CA: CALFED Science Program. 174 pp.

<sup>2</sup> <http://nrm.dfg.ca.gov/FileHandler.ashx?DocumentID=25987>

criteria in the Delta that allows the use of the latest data and information from research and monitoring to respond to the needs of Delta species.

### **Delta Cross Channel Gate Closure Objectives**

The current objective states that the Delta Cross Channel (DCC) gate shall be closed for a total of up to 45 days for the November through January period. The timing of this closure is important for the protection of juvenile salmon by helping to prevent straying into the interior and then southern Delta towards the SWP and CVP diversion pumps.

This is half of the equation. During October, adult fall-run Chinook salmon are moving up through the Delta towards their natal spawning grounds and the open DCC gates can cause straying of adult salmon as Sacramento River water is sent into the Mokelumne and San Joaquin Rivers. Recent studies in the Mokelumne River have shown that a combination of pulse flows along with the closure of the DCC gates in October can not only increase the number of salmon returns, it can also cut down on the stray rates of salmon from the Mokelumne going into other rivers, in particular the American River.

Escapement Year	Number	Stray rate to American R. (estimated)	Pulse Flow	DCC Closure
2008	412	75%	No	No
2009	2,232	54%	Yes	No
2010	7,196	25%	Yes	Yes (2 day)
*2011	18,462	7%	Yes	Yes (10 day)

Lower Mokelumne Partnership Experimental Action Plan-Delta Cross Channel Closure May 2011 \*Update 2011 numbers by EBMUD.

We recommend that the State Water Board evaluate potential changes to the DCC Gate Closure Objectives that would close the gate during all or a portion of October, which would strengthen migration cues for migratory fish and benefit both Sacramento and Mokelumne origin fall-run Chinook salmon.

### **Suisun Marsh Objectives**

Suisun Marsh is the largest contiguous brackish water marsh remaining on the west coast of North America and is a critical part of the San Francisco Bay/Sacramento–San Joaquin River Delta (Bay-Delta) estuary ecosystem, encompassing more than 10% of California’s remaining natural wetlands. The Suisun Marsh Habitat Management, Preservation, and Restoration Plan (Marsh Plan) <sup>3</sup> is focused on protecting and enhancing Suisun Marsh’s contributions to the Pacific Flyway and endangered fish and

<sup>3</sup> [http://www.usbr.gov/mp/nepa/nepa\\_projdetails.cfm?Project\\_ID=781](http://www.usbr.gov/mp/nepa/nepa_projdetails.cfm?Project_ID=781)

wildlife species habitats, maintaining and improving strategic exterior levees, and restoring tidal marsh and other habitats. We recommend the State Water Board evaluate water quality objectives for the Bay-Delta Plan that contributes to the implementation of the Marsh Plan objectives and purposes, one of which concerns protecting and improving water quality for beneficial uses in Suisun Marsh, including estuarine, spawning, and migrating habitat uses for fish species as well as recreational uses and associated wildlife habitat. Delta outflow, State and federal water export operations, urban and agricultural run-off, and upstream diversions all affect water quality in Suisun Marsh. Improvement of water quality and management practices will benefit the ecological processes for all habitats, including managed and tidal wetlands.

### **Floodplain Habitat Flow Objectives**

Studies have shown that floodplains provide important ecosystem support functions, such as providing rearing habitat for juvenile Chinook salmon, spawning and rearing habitat for splittail, and increased food production at lower trophic levels. We recommend that the State Water Board evaluate flow objectives based on important metrics, such as the frequency, duration, timing, seasonality, and magnitude of inundation that influence these, and other, ecological benefits of floodplains.

One thing to note here is the presence of mercury throughout much of the Delta and its tributaries. In recent years, the production, export, and bioaccumulation of methylmercury have become a focal point with the State Water Board's regulatory efforts (e.g., total maximum daily loads (TMDL)). In the 2009 Staff Report, the discussion on flow objectives to support floodplain habitat and other fish and wildlife beneficial uses identified that methylmercury contamination in fish is associated with floodplain areas and wetlands in the Bay-Delta system and that restoration activities could exacerbate the existing mercury issue. Although methylmercury production is often associated with floodplain areas and seasonal wetlands, restoration of these habitat types is critical to the conservation and protection of the Bay-Delta ecosystem. Wetlands restoration enhances and maintains numerous beneficial uses of water. We recognize the issues of methylmercury production and bioaccumulation and believe it prudent to be cognizant of these issues when restoring floodplain and wetland habitat.

The Department's Ecosystem Restoration Program (ERP) has funded several studies that inform restoration activities on minimizing methylation. ERP is funding several grants that will further contribute to the knowledge base. The Department makes available the results of these studies as they are completed. Creation of new wetlands and new restoration activities in the Delta will need to comply with the Methylmercury TMDL for the Delta. Through this TMDL, additional studies will be conducted to inform restoration design and improve management practices of multiple wetland types to minimize methylmercury production and export. We recommend that the Bay-Delta Plan incorporate an approach to wetlands restoration consistent with the strategic goals and objectives in the Department's DRAFT Ecosystem Restoration Program Conservation Strategy for Restoration of the Sacramento-San Joaquin Delta Ecological

Management Zone and the Sacramento and San Joaquin Valley Regions<sup>4</sup>. This strategy includes recommendations for a variety of floodplain and wetland habitats in the Delta and Delta watersheds that benefit species and ecosystem functions.

### **Changes to the Monitoring and Special Studies Program**

Given the fundamental complexity and dynamic nature of the estuary, there is an ongoing need for well-conceived, strongly supported, and collaboratively conducted scientific monitoring and research. There are many key scientific uncertainties that hamper efficient management of the estuary, including, but not limited to, the role of nutrient stoichiometry in fish species productivity, the mechanisms underlying the flow/longfin smelt abundance association, the mechanisms underlying the associations between sturgeon reproduction and river flow/outflow, and the role of tidal and floodplain habitat in estuarine productivity. The IEP has a demonstrated tradition of providing high quality ecological information and scientific leadership for use in management of the San Francisco Estuary, including the Delta. This multi-agency collaboration will continue to be important for addressing these scientific uncertainties and evaluating multiple stressors that influence Delta issues (e.g., the pelagic organism decline). A high priority of this coordination must be the methodical integration of effectiveness monitoring, long term trends monitoring, compliance monitoring, and the proactive identification of emerging trends. The need to match adaptive management model approaches, provide transparent and timely data sharing, engage common stakeholders efficiently, and coordinate study proposals and study implementation will be essential in maintaining the effectiveness of long- and short-term studies. We look forward to continuing and expanding our support in close coordination with the other IEP agencies and recommend the State Water Board stay engaged and help lead this process.

The scope of studies, such as the Spring Kodiak Trawl indices, longfin smelt surveys, crustacean and lower trophic level surveys (e.g. zooplankton), should be increased to provide complete information in order to fully understand the effects and efficacy of outflow, export and inflow objectives. Enhancing the seasonal or geographic scope of these studies will lead to a better understanding of the population dynamics of target species. For example, the Smelt Larva Survey could be extended to better document the recruitment of delta smelt or other fish species of interest. Expanding the geographic scope or modifying the sampling procedures could allow for the population estimates (or indices) that are needed to assess the performance of actions to meet objectives. We recommend expanding surveys into Cache Slough and the Sacramento Deep Water Ship Channel, which will provide baseline data for these regions prior to planned habitat restoration and better information on the distribution and habitat of delta smelt. The State Water Board should also consider focused extensions of the Tow Net Survey, Fall Midwater Trawl Survey, and Spring Kodiak

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<sup>4</sup> [http://www.dfg.ca.gov/ERP/reports\\_docs.asp](http://www.dfg.ca.gov/ERP/reports_docs.asp)

Trawl Survey in order to understand the health, growth, and fecundity of delta smelt, longfin smelt, and other fishes. There is also a particular need for the development of effective life cycle models for key fish species.

The State Water Board should consider including fish surveys beyond the demersal zone in San Francisco bay and also resuming several key studies that are currently suspended: (1) The shoreline residential fish survey, which develops data on abundance trends and distribution of many shoreline fishes — including predatory largemouth bass; (2) catfish surveys to understand the role of these predators on and competitors with native species; and (3) juvenile sturgeon surveys which are necessary for proper management and for restoration planning.

We recommend that shallow-water habitats associated with floodplains be sampled more thoroughly to provide a suitable baseline. Information on fishes in shallow-water habitats has been periodically gathered by several special studies but very limited sampling is on-going. Current beach seine sampling provides reasonable coverage in the lower Sacramento and San Joaquin rivers and some of the Delta, but sampling is sparse in the western Delta and absent in Suisun Bay. Sampling is currently relatively intensive within Liberty Island, but this effort is not planned to be long-term. We recommend that some modest level of effort be maintained.

It will also be important to ensure that monitoring studies address, and can gather data in a timely manner to address, whether restored habitats and flood plain objectives provide habitat and or nutrition for target species, and (if so) whether or not habitat and nutritional effects on the species compensate (completely mitigate) for negative effects. Similarly, rapid and transparent reporting — as practiced by IEP — must be implemented in any new or proposed long-term fish entrainment monitoring programs (e.g. fish salvage facilities) or regional fish protective actions. To the extent feasible, prior to any changes to objectives, updated baseline studies with any changed study regimes should be completed, thereby ensuring adequate understanding of changes under new objectives.

Additionally, we recommend that the State Water Board take an active role in the coordination of scientific endeavors and management initiatives that — while outside the immediate boundaries of the Delta — contribute to the Delta's health and management. This includes immediate impact drivers (e.g., contaminants discharged upstream of the Delta) as well as organizational factors (e.g., numeric modeling of ecological processes that span jurisdictional boundaries).

### **Coordination with Federal, State and Other Ecosystem Planning and Implementation Programs and Efforts**

As the State Water Board realizes, there are many different efforts underway in the Bay-Delta, and its watershed. Many of these efforts are consistently producing new information, synthesizing on-going studies into new paradigms, and making progress with on-the-ground activities. In updating the Bay-Delta Plan, the State Water Board

will need flexibility to work with multiple groups in order to capture the best science for the basis of the update and must also consider multiple stakeholder concerns when balancing the beneficial uses of the state's water. We suggest that State Water Board review the most significant on-going efforts and develop a strategy to incorporate recent accomplishments and new understandings. For example, on the San Joaquin River and its tributaries, the ERP and Anadromous Fish Restoration Program have successfully funded others and directly implemented multiple floodplain restoration projects. The Department of Water Resources (DWR) is also funding projects through the Flood Plain Corridors Program, and the US Fish and Wildlife Service has made consistent progress on their wildlife refuge lands that enhance floodplains.

As a related issue, the State Water Board should examine the science, both worldwide and that conducted within the Delta system, that addresses the importance of flows in riparian ecosystems. In particular, it is critical to incorporate current understandings of how inundated flood plains facilitate the interchange of ecosystem services between the river, adjoining wetlands, riparian forests and the contiguous uplands. The Department is aware of the effort the State Water Board has already taken to incorporate a strong science framework into this process and supports the continued reliance on the scientific information developed and/or submitted as part this process to date.

The Supplemental NOP states that information produced as part of the Bay Delta Conservation Plan (BDCP) may also be considered. In addition to the BDCP, there are many other on-going local, state and federal programs such as: Natural Community Conservation Plans, San Joaquin River Restoration Program, Central Valley Project Improvement Act, Delta Stewardship Plan, Central Valley Flood Protection Plan, California Climate Action Team and Climate Action Initiative, and various Integrated Regional Water Management Plans whose coordination/integration should be described in the Bay-Delta Plan.

### **Program of Implementation and Mitigation Measures**

The Delta is a complex, dynamic ecosystem in which multiple processes and stressors are linked to, and interact with, one another. As the State Water Board re-examines the 2006 Bay-Delta Plan and develops updated objectives and implementation strategies, an attempt needs to be made to mitigate for indirect outcomes that fall outside the goals and objectives set forth. The National Research Council's (NRC) report "Sustainable Water and Environmental Management in the California Bay-Delta" (NRC 2012)<sup>5</sup> gives great guidance on how to view the multiple stressors of this system and should be reviewed by the State Water Board. We recommend that the State Water Board focus attention on the potential interactions of various stressors as they develop objectives in order to more effectively mitigate for indirect outcomes of any

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<sup>5</sup> NRC. 2012. Sustainable Water and Environmental Management in the California Bay-Delta. Available at: [http://www.nap.edu/catalog.php?record\\_id=13394#toc](http://www.nap.edu/catalog.php?record_id=13394#toc)

proposed implementation strategies of the Bay-Delta Plan. For example, the implementation of water quality objectives may require modifications to SWP and CVP operations, which could affect biological resources associated with the water bodies south of the Delta that store, convey, and receive SWP and CVP water.

### **Adaptive Management**

We recommend that the State Water Board develop a clear and concise definition of adaptive management that will be used throughout the Implementation and Monitoring and Special Studies Programs. The recent report by the NRC (NRC 2011)<sup>6</sup> on adaptive management and the BDCP has a very pertinent discussion of this issue. Adaptive management involves the development of hypotheses as the conceptual basis and rationale to support implementation of management actions, followed by monitoring and assessment of outcomes to determine whether the project goals and objectives are being achieved. A rigorous assessment of outcomes in an adaptive management process serves as a test of the established hypotheses and informs potential future changes in management actions. The term “adaptive management” has also been used to describe less rigorous processes that allow flexibility in the implementation of management actions (e.g., the releases of instream flows to accomplish real-time oriented objectives). Adaptive management should not suggest that standards are flexible, but should provide a systematic process for determining whether or not defined and measurable biological goals were met by the management actions (see Poff et al. 2003<sup>7</sup> for a good discussion).

### **Climate Change**

As pointed out by the NRC, the changes to baseline climatic conditions will be one of the most challenging issues facing resources managers as we seek to rehabilitate the Delta ecosystem (NRC 2012). These changes will most likely alter the physical and ecological structure of the Delta, while exacerbating the difficulties in dealing with overall water issues in California. As baseline climatic and physical conditions in the Delta change, habitat and ecosystem services are likely to change, affecting the species that rely on them. The State Water Board should undertake as part of this update of the Bay-Delta Plan, and in coordination with the Department and DWR, a review of the current climate change science that relates to the Delta system. In particular, we believe that a high level of uncertainty exists regarding our (State Water Board and the Department’s) ability to propose changes in the Bay-Delta Plan objectives that will address both current and future needs without a much better focus on the interactions of ecological systems during changing baseline conditions. To help

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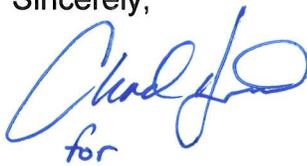
<sup>6</sup> NRC. 2011. A Review of the Use of Science and Adaptive Management in California's Draft Bay Delta Conservation Plan. Available at: [http://www.nap.edu/catalog.php?record\\_id=13148](http://www.nap.edu/catalog.php?record_id=13148)

<sup>7</sup> Poff, N.L. et al. 2003. River flows and water wars: emerging science for environmental decision making. *Front. Ecol. Environ.* 6, 298–306

address this uncertainty, the Bay-Delta Plan should be evaluated regularly for current and future success in meeting species and community needs.

In conclusion, the Department is committed to providing the State Water Board with additional data and information throughout its comprehensive review and update of the 2006 Bay-Delta Plan. We suggest that State Water Board review the most significant on-going efforts underway in the Bay-Delta and develop a strategy to incorporate these recent accomplishments and new understandings of this complex system. Should you have any questions or require clarification regarding our comments, please contact Glenda Marsh, Environmental Program Manager, at (916) 445-1739.

Sincerely,



for  
Scott Cantrell  
Water Branch Chief

ec: Kevin Hunting, DFG  
Sandra Morey, DFG  
Carl Wilcox, DFG  
Jeff Single, DFG  
Stafford Lehr, DFG  
Thomas Gibson, DFG  
Glenda Marsh, DFG

# APPENDIX C

Lower Mokelumne River Partnership Letter  
(April 20, 2010)  
Testimony of Jose D. Setka

# The Lower Mokelumne River Partnership

Partnership Steering Committee:



**California Department of Fish & Game**

**Kent Smith**  
Acting Regional Manager  
1701 Nimbus Rd., Suite A  
Rancho Cordova, CA 95670



**East Bay Municipal Utility District**

**Alexander R. Coate**  
Director of Water & Natural Resources  
375 Eleventh St., M.S. 901  
Oakland, CA 94607



**U.S. Fish & Wildlife Service**

**Kathy Wood**  
Asst. Field Supervisor  
3310 El Camino Ave., Suite 130  
Sacramento, CA 95821

**To Protect and Enhance the Lower Mokelumne River Ecosystem**

April 20, 2010

Mr. Ronald Milligan  
Operations Manager  
Bureau of Reclamation  
Central Valley Operations Office  
3310 El Camino Avenue, Suite 300  
Sacramento, CA 95281

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APR 22 2010

SACRAMENTO FISH & WILDLIFE OFFICE

EBMUD-155

DEPT. OF FISH & GAME

APR 21 2010

REGION 2

Dear Mr. Milligan:

The California Department of Fish and Game (CDFG), the US Fish and Wildlife Service (USFWS) and East Bay Municipal Utility District (EBMUD) jointly oversee the management of the Mokelumne River fishery. With the concurrence of CDFG and USFWS, EBMUD is planning an adaptive management action to store water in Camanche Reservoir for an attraction flow in October 2010 to draw Chinook salmon into the Mokelumne River. The National Marine Fisheries Service also concurs with this adaptive management action. It is anticipated that the attraction flow would occur in the first half of October, contingent with Chinook salmon staging in the Bay and Delta. One independent, non-Mokelumne factor which is believed to increase straying is the operation of the Delta Cross Channel (DCC) during the up-migration season. When the DCC is open, the Mokelumne River becomes the conveyance corridor for Sacramento River water serving the export pumps in the south Delta.

To maximize the effectiveness of the Mokelumne River attraction flow, CDFG, USFWS and EBMUD jointly request that the DCC remain in the closed position during the timeframe of October 3-15. This action will help minimize straying of Mokelumne salmon to the American River and other river systems.

If you have any questions or concerns regarding this correspondence, please contact Robert Vincik of CDFG at [rvicik@cdf.ca.gov](mailto:rvicik@cdf.ca.gov), Donald Ratcliff of USFWS at [donald\\_ratcliff@usfws.gov](mailto:donald_ratcliff@usfws.gov) or Joe Miyamoto of EBMUD at [jmiyamoto@ebmud.com](mailto:jmiyamoto@ebmud.com).

Sincerely,

Kent Smith

Alexander R. Coate

Kathy Wood